Connection Between Version Control Operations and Quality Change of the Source Code

Csaba Faragó; Péter Hegedűs; Ádám Zoltán Végh; and Rudolf Ferenc*

Abstract

Software erosion is a well-known phenomena, meaning that software quality is continuously decreasing due to the ever-ongoing modifications in the source code. In this research work we investigated this phenomena by studying the impact of version control commit operations (add, update, delete) on the quality of the code.

We calculated the ISO/IEC 9126 quality attributes for thousands of revisions of an industrial and three open-source software systems with the help of the Columbus Quality Model. We also collected the cardinality of each version control operation type for every investigated revision. We performed Chisquared tests on contingency tables with rows of quality change and columns of version control operation commit types. We compared the results with random data as well.

We identified that the relationship between the version control operations and quality change is quite strong. Great maintainability improvements are mostly caused by commits containing Add operation. Commits containing file updates only tend to have a negative impact on the quality. Deletions have a weak connection with quality, and we could not formulate a general statement.

 $\bf Keywords:$ Software Maintainability, Software Erosion, Source Code Version Control, ISO/IEC 9126, Case Study

1 Introduction

Software quality plays a crucial role in modern development projects. There is an ever-increasing amount of software systems in maintenance phase, and it is a well-known fact that software systems are eroding [15], meaning that in general their quality is continuously decreasing due to the ever-ongoing modifications in its

^{*}University of Szeged Department of Software Engineering, Árpád tér 2. H-6720 Szeged, Hungary, E-mail: {farago,azvegh,ferenc}@inf.u-szeged.hu

[†]MTA-SZTE Research Group on Artificial Intelligence, Szeged, Hungary, E-mail: hpeter@inf.u-szeged.hu

source code, unless explicit efforts are spent on improvements [3]. Figure 1 shows this phenomena.¹



Figure 1: Maintainability values of the Gremon project

Our aim is to check the connection between the developers' interactions and the quality change. These interactions can be the following: version control operations, development-time IDE interactions and interactions in the issue tracking system. We are motivated to perform this research for several reasons. Determining typical patters which have significant effect on maintainability could help us better allocate software developer efforts. For example, a more strict code review is necessary for those commits which have statistically higher impact on maintainability. On the other hand, we expect that in longer term we will be able to find typical patters, which are bad habits of developers, and eliminating these could have a positive impact on maintainability. We especially expect such findings from the analysis of IDE interactions.

For this first step, we checked the version control operations only; and within this set of information we focused exclusively on the mere number of various operations, i.e. how many files were added, updated and deleted within that commit. Other commit-related information, like the certain files affected, the change itself, the comment, the date or the author, are not considered in this research.

Figure 2 illustrates how this step fits into our longer-term research goals. The general research field is to study how the developer interactions (illustrated with trapezoids) affect various software characteristics (within the rectangle). We identified 3 data sources from which data about developer interactions can be extracted: (1) the version control operations, (2) the IDE micro interactions, and (3) the data found in issue tracking systems. The version control operations are preceded by IDE micro interactions, i.e. the developer typically performs several IDE actions

¹Gremon is one of the four software systems used in this research. See 4.1 for more details about this project.

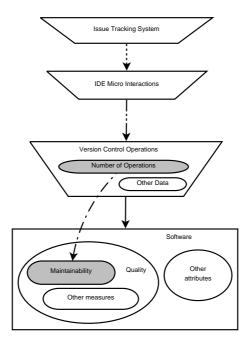


Figure 2: Overview

before committing changes. The most exiting area of research would be the IDE interactions; however, hardly any available data exist, and these are incomplete in most cases. Furthermore, it is not trivial to assign these interactions to concrete commits, therefore we decided that in the first period we concentrate on version control interactions only. Data found in the issue tracking system could be also interesting in longer term, provided that they contain substantive data about the reality. From the software attributes we selected software quality as worth for investigation at first, and among its subcharacteristics, we decided to study maintainability. The figure provides an overview about the possible directions of future investigations.

This and possibly other future results could help identifying the typical patterns where code erosion occurs. These patterns could be very useful information for proactive action planning: to find a better distribution of the efforts intended for code quality improvements.

We were motivated by the question: does the way of introducing code changes (reflected by version control operations of different commits) have a traceable impact on software quality? Do all types of commit operations contribute to software erosion, or are there exceptions?

For the definition of software quality we refer to the ISO/IEC 9126 standard [8], which defines six high-level characteristics that determine the product quality of software: functionality, reliability, usability, efficiency, portability, and maintain-

ability. Due to its direct impact on development costs [3], and being in close relation with the source code, maintainability is one of the most important quality characteristics.

The types of the version control operations and the maintainability of the code are at first glance remote concepts, more or less independent from each other. Furthermore, as no finer grained information is considered at this point (e.g. what was changed in the file, who made the change, or even on which file the change was performed), the distance between the maintainability change and the commit operations is even higher. Therefore, it is a non-trivial question if there is any connection between the two datasets at all.

Supposed that there is a connection between version control operations and maintainability changes in case of each examined projects, we are interested in finding out which are the common patterns, i.e. those connections which are significant for every examined project. These can be formed as general statements.

By performing experiments we tried to find evidences which support or reject some of our more concrete assumptions based on Figure 1. The beginning of the time line is very hectic. This is the start of the project with many additions of new parts. The maintainability becomes smoother later on, and the long-term tendency is negative. This is the phase when modifications on the existing sources are performed, and less new sources are added. Furthermore, based on our experiences, developers tend to pay bigger attention on the quality when adding new code than updating it later due to e.g. bug fixing, and this is especially true for the code originally developed by someone else. It is a hard task in itself to understand the code, reproduce the error, debug and find the solution, therefore developers under time pressure are glad if they find a solution; finding a nice solution is often not reached.

Based on the above explained expectations we formulated the following research questions:

- **RQ1:** Do commits containing file additions to the system have a significant positive impact on its maintainability? Our assumption is that they have, as they introduce new, clean, reasoned code.
- RQ2: Is it true that the commits containing source file updates only tend to significantly decrease the maintainability? Our assumption is that it is, as the ongoing development activity without planned improvements in quality tends to decrease maintainability, and having only file updates is a sign of this phase of the software development.
- **RQ3:** Do commits containing file deletion improve the maintainability of the system? Our assumption is that they do, as file deletions could be a sign of refactoring; therefore, better maintainability is expected if there is such an operation present in the commit.

The paper is organized as follows. Section 2 introduces works that are related to ours. Then, in Section 3 we present the methodology used to test the underlying relationship between version control operations and maintainability changes.

Section 4 discusses the results of the performed statistical tests and summarizes our findings. In Section 5 we list the possible threats to the validity of the results, while Section 6 concludes the paper.

2 Related Work

The version control system and other types of development related repositories (e.g. bug tracking system) provide a rich source for data mining approaches. These approaches can be used for collecting different kinds of process metrics, identify bug introducing or bug fixing changes, create bug prediction models, etc. In the presented paper we focus on finding traceable evidences of the relationship between the changes in software maintainability and the different types of version control operations in developer commits; but first, we collect the works dealing with similar researches to ours.

There are works which focus on the effect of software processes to the product quality [10]. Hindle et al. [7] deal with understanding the rationale behind large commits. They contrast large commits against small commits and show that large commits are more perfective, while small commits are more corrective. Bachmann and Bernstein [4] explore among others if the process quality, as measured by the process data, has an influence on the product quality. They showed that the product quality – measured by number of bugs reported – is affected by process data quality measures.

There are also others who utilize process metrics to detect failure-prone components of the software [9,12]. Nagappan et al. show that applying different process metrics significantly improves the accuracy of the fault-prone class prediction [14]. They also present an empirical case study [13] of two large-scale commercial operating systems, Windows XP and Windows Server 2003, where they leverage various historical in-process and product metrics to create statistical predictors to estimate the post-release failures. We think that the number of defects revealed in the code is only one aspect of maintainability. Moreover, our aim is not to predict fault-prone parts of the source code, but to get a general picture about the effect of the way changes are introduced (i.e. version control operations in the commit) to software maintainability.

Lots of works build models for predicting refactorings based on version control history analysis [18,19]. Moser et al. [11] developed an algorithm for distinguishing commits resulted by refactorings from those of other types of changes. Peters and Zaidman [16] investigate the lifespan of code smells and the refactoring behavior of developers by mining the software repository of seven open-source systems. The results of their study indicate that engineers are aware of code smells, but are not very concerned by their impact, given the low refactoring activity.

There are also papers that try to reveal the change-proneness of different source code elements [20, 23] based on version control history. Giger et al. [5] explore prediction models for whether a source file will be affected by a certain type of source code change. For that, they use change data of the Eclipse platform and the

Azureus 3 project. Ying et al. [21] have developed an approach that applies data mining techniques to determine change patterns – sets of files that were changed together frequently in the past – from the change history of the code base. Our focus is not on introducing a new sophisticated repository mining technique and applying it for some kind of prediction. We use the number and types of different version control operations and examine the effect they have on software maintainability.

In this research we analyzed Java source code, as the used quality model handles that programming language. A quality model for C# was presented by Hegedűs [6].

3 Methodology

This section summarizes the types of collected data during the experiment and describes the methodology of analyzing them. Particularly, we describe what we exactly mean under version control operations and maintainability change, and the methodology used to analyze the data.

3.1 Version Control Operations

In this work we investigated the number of various version control operations of the examined commits. Only the mere numbers of various operations were considered, e.g. 2 files were added, 5 files were updated and 1 file was deleted within the examined commit. We omitted every other version control-related data, e.g. the date, the names of the affected files, the author of the file, or the comment of the files. These data will be used for finer-grained analysis in the future.

We analyzed only Java source files, so we skipped all other types of file system entries like directories or non-Java files (e.g. xml files). We did this because the current version of the used quality model considers only the Java source files. Besides Add, Update, and Delete, there is a fourth version control operation: Rename. As there were hardly any Rename operations in the examined data (it occurred only in one of the analyzed projects with very low cardinality) this operation was not considered. Therefore, the input data collected from the version control system was an integer triple for each commit containing at least one Java source file:

- A the total number of file additions,
- U the total number of file updates,
- D the total number of file deletions.

3.2 The Applied Quality Model

To calculate the absolute maintainability values for every revision of the systems we used ColumbusQM, our probabilistic software quality model [2] that is based on the quality characteristics defined by the ISO/IEC 9126 [8] standard. The computation of the high-level quality characteristics is based on a directed acyclic

graph (see Figure 3) whose nodes correspond to quality properties that can either be internal (low-level) or external (high-level). Internal quality properties characterize the software product from an internal (developer) view and are usually estimated by using source code metrics. External quality properties characterize the software product from an external (end user) view and are usually aggregated somehow by using internal and other external quality properties. The nodes representing internal quality properties are called *sensor nodes* as they measure internal quality directly (white nodes in Figure 3). The other nodes are called *aggregate nodes* as they acquire their measures through aggregation. In addition to the aggregate nodes defined by the standard (dark gray nodes) we also introduced new ones (light gray nodes).

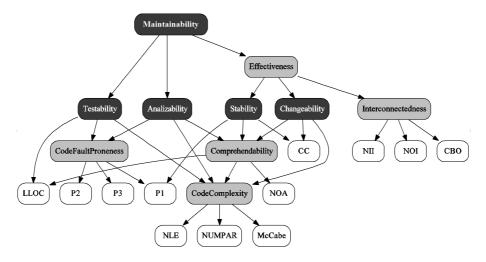


Figure 3: ColumbusQM - Java ADG

The current version of the model applies the following source code metrics:

- LLOC (Logical Lines Of Code) the LLOC metric is the number of non-comment and non-empty lines of code.
- NOA (Number Of Ancestors) NOA is the number of classes that a given class directly or indirectly inherits from.
- NLE (Nesting Level Else-if) NLE for a method is the maximum of the control structure depth. Only if, switch, for, foreach, while, and do...while instructions are taken into account and in the if-else-if constructs only the first if instruction is considered.
- CBO (Coupling Between Object classes) a class is coupled to another if the class uses any method or attribute of the other class or directly inherits from it. CBO is the number of coupled classes.

- *CC* (*Clone Coverage*) clone coverage is a real value between 0 and 1 that expresses what amount of the item is covered by code duplication.
- NUMPAR (NUMber of PARameters) the number of parameters of the methods.
- McCC (McCabe's Cyclomatic Complexity) the value of the metric is calculated as the number of the following instructions plus 1: if, for, foreach, while, do-while, case label (which belongs to a switch instruction), catch, conditional statement (?:).
- NII (Number of Incoming Invocations) the number of other methods and attribute initializations which directly call the method. If a method is invoked several times from the same method or attribute initialization, it is counted only once.
- NOI (Number of Outgoing Invocations) the number of directly called methods. If a method is invoked several times, it is counted only once.
- WarningP1/P2/P3 (Serious/medium/minor coding rule violations) the number of serious/medium/minor PMD (http://pmd.sourceforge.net/) rule violations in the class.

The edges of the graph represent dependencies between an internal and an external or two external properties. The aim is to evaluate all the external quality properties by performing an aggregation along the edges of the graph, called Attribute Dependency Graph (ADG). We calculate a so called *goodness value* (from the [0,1] interval) for each node in the ADG that expresses how good or bad (1 is the best) is the system regarding that quality attribute. The probabilistic statistical aggregation algorithm uses a so-called benchmark as the basis of the qualification, which is a source code metric repository database with 100 open source and industrial software systems. For further details about ColumbusQM, see our previous work by Bakota et al. [2].

3.3 Contingency Table

The contingency table is a two-dimensional table with the maintainability changes in the rows and version control operation categories in columns, and the cells containing the total number of commits in the category causing that kind of maintainability change.

The maintainability changes were partitioned into three sets:

- +: positive change,
- 0 : no traceable change,
- -: negative change.

The maintainability change is positive if the calculated value of the actual commit is higher than the value of the previous commit, negative if it is lower and 0 if the two values are the same.

The commits were divided into several disjoint categories based on the version control operations they include. The categories were defined based on intuition coming from the principal component analysis (PCA) of the industrial project's data set. We defined the following categories:

- D: commits containing at least one Delete operation,
- A: commits containing no *Delete* operation, containing at least one *Add* operation,
- *U*+: commits containing *Update* operations only; the number of *Update* operations is at least 2,
- *U*1: commits consisting of exactly one *Update* operation.

Please note that the union of these commits is the full set of examined commits. Commits affecting no Java files do not have any effect on the calculated maintainability, therefore they were omitted from the calculation.

3.4 Bar Plot Diagrams

In order to visualize the data found in the contingency tables we used proportional bar plot diagrams (see e.g. Figure 5). Each commit category is represented by a bar, which is divided into 3 parts: the proportion of positive, zero and negative maintainability changes within that category. For a better comparison the proportions of the full commit set are also presented.

We can also get intuitions about the answers of the research questions based on these diagrams. If there are spectacular differences among categories within a project, and there are similarities in the diagrams among projects, then it suggests that the connection between the version control operation types and the maintainability is quite strong, and it even adumbrates the answers on some of the research questions.

3.5 Contingency Chi-squared Test

To give well-grounded answers to our research questions we performed Chi-squared tests [1] (similarly to the method presented by Ying and Robillard [22]) on the contingency tables.

This test calculates the expected values based on the sum of rows and columns, i.e. what were the values if there were no connection between version control operations and maintainability. Then it determines if the differences between the actual and the expected values are significant or not. The null-hypothesis is that these values are the same, and the reason of the differences are random. The final

result of this test is practically the p-value, indicating the chance of the result being at least as extreme as the observed, provided that the null-hypothesis is true.

The test was performed using the chisq.test() R function [17]. This function calculates the standard residuals (stdres) as well for each cell, i.e. what would the value be if the data were of standard normal distribution. E.g. if this value was -2.0, then it would mean that the number of the observed elements was less than the expected (see the negative sign), and the difference was as much likely to be random as a standard normally distributed variable is at least as extreme as 2.0 (i.e. less than -2.0 or greater than 2.0).

Based on these standard residuals the p-value is calculated as follows. The R function pnorm() calculates the distribution of the given values, i.e. the proportion of elements less than or equal to the provided one. E.g., this value is 0.5 for 0.0, 0.023 for -2.0, 0.977 for 2.0 etc. Based on the definition of the p-value, the result for value 0.0 would be 1.0, i.e. there is no deviation from the expected value at all. To go on with the running example, for -2.0 we need to calculate the proportion left to -2.0 and right to 2.0, and sum it. As mentioned, the first value is 0.023, while the second one is also 1.0-0.977=0.023. Therefore the p-value is 0.046.

This process is illustrated on Figure 4. The size of both gray areas is 0.023. The lower dashed line is at 0.023, while the upper one is at 0.977.

To summarize, we have the following formula for calculation:

$$2 \cdot pnorm(-abs(x))$$

where x is the value of standard normal distribution. The cells containing small p-values can be considered as significant results.

In order to provide a quick and easy overview of the results, one last step was performed: the number of zeros between the decimal point and the first non-zero digit of the p-value were calculated, with the appropriate sign, denoting the direction of the deviation from the expected value (negative if it is less than the expected, positive if it is greater). More formally, if the canonical form of the p-value is $(a \cdot 10^b)$, the transformed value is the absolute value of the exponent minus one (i.e. |b|-1), with the sign of the standard residual. E.g., in the above example the p-value in canonical form is $4.6 \cdot 10^{-2}$, and the sign of -2.0 is negative, therefore the transformed value is -1. 0 means that the random probability is at least 10%, 1 and -1 means that it is between 1% and 10% and so on. Formally, this transformation was calculated by the following function:

$$f = \left\lfloor log \frac{1}{p} \right\rfloor \cdot sign(stdres)$$

This test also gives a common p-value, i.e. not only cell based p-values. Having a low enough such p-value (p < 0.01) would answer positively the base question if there is a connection between version control operations and maintainability.

For answering the research questions formally, we take the last, transformed table. In case of the cell-based approach we consider those values significant, where the absolute values are at least 2 (p < 0.01) for all of the checked software systems.

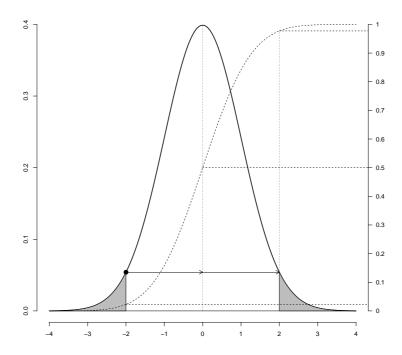


Figure 4: Standard normal distribution

3.6 Random Checks

To validate the results, a random analysis was performed as well. This was done in the following way:

- \bullet We kept the source control operation data as it was.
- We also kept the values of the quality changes, but we permuted randomly the order of the revisions it was originally assigned to, just like a pack of cards. The sample() R function was used to permute the order.

We performed randomization several times, permuting the already permuted series. We executed the same analysis with the randomized data and checked the appropriate random results as well to be able to assess the significance of our results.

Results 4

4.1 **Examined Software Systems**

For the data analysis we used one industrial and three open-source software systems. For the industrial one we had all the information from the very beginning. For most of the open-source projects this is not the case; generally the initial source was merged from another version control system.

In order to gain as adequate results as possible, we considered only those projects for which we had at least 1,000 commits affecting at least one Java file. Furthermore, the too small code increase could also have significant bias, therefore we considered only those systems where the ratio of the maximal logical lines of code (typically the size of the system after the last available commit) and the minimal one (which was typically the size of the initial commit) was at least 3. We ended up with three such open-source systems.

Table 1 shows the basic properties of the systems on which the statistical analysis was performed. These are:

- Gremon a greenhouse work-flow monitoring system.² It was developed by a local company between June 2011 and March 2012.
- Ant a command line tool for building Java applications.³
- Struts 2 a framework for creating enterprise-ready java web applications.⁴
- Tomcat an implementation of the Java Servlet and Java Server Pages technologies.⁵

Table 1: Analyzed systems

Name	Min.	Max.	Total	Java	Total	numbe	r of	Rev	. with	1+	Rev	. with	only
	TLI	${ m OC}_6$	Com	$_{ m mits}$	A	U	D	A	U	D	A	U	D
Gremon	23	55,282	1,653	1,158	1,071	4,034	230	304	1,101	89	42	829	8
Ant	2,887	106,413	6,118	6,102	1,062	20,000	204	488	5,878	55	196	5,585	19
Struts 2	39,871	152,081	2,132	1,452	1,273	4,734	308	219	1,386	94	41	1,201	12
Tomcat	13,387	46,606	1,330	1,292	797	3,807	485	104	1,236	77	32	1,141	23

The first commit of the open-source projects started with a great amount of addition. In order to neutralize this bias we defined the quality change of the first commit to be 0.0. In case of Gremon, all the commits were analyzed from the very beginning to the very end.

²http://www.gremonsystems.com

³http://ant.apache.org ⁴http://struts.apache.org/2.x

⁵http://tomcat.apache.org

⁶Total Logical Lines Of Code – Number of non-comment and non-empty lines of code

4.2 The Input Contingency Tables

The contingency tables created for the examined projects can be found in Tables 2, 3, 4 and 5.

Table 2: Gremon

	A	D	U+	U1	Σ
+	118	43	122	54	337
0	13	3	126	223	365
-	109	43	198	106	456
\sum	240	89	446	383	1158

Table 4: Struts 2

	A	D	U+	U1	Σ
+	123	43	183	149	498
0	17	25	166	503	711
-	82	46	233	179	540
\sum_{i}	222	114	582	831	1749

Table 3: Ant

	A	D	U+	U1	Σ
+	277	18	472	715	1482
0	13	12	625	2401	3051
-	172	25	467	905	1569
\sum	462	55	1564	4021	6102

Table 5: Tomcat

Г		A	D	U+	U1	Σ
Γ	+	39	31	91	108	269
Γ	0	8	14	159	523	704
Γ	-	27	32	100	160	319
Γ	Σ	74	77	350	791	1292

There are a couple of notable facts about the tables. First of all, the distributions of the positive, neutral and negative commits within each commit category are different. Second, these distributions seem to be similar in every project. This is promising, and worth the effort of the detailed analysis.

A graphical overview of the data is shown in Figure 5, where the proportions of each commit category are illustrated on bar plot diagrams. The bars with different colors indicate the proportions of the positive (light gray), neutral (gray) and negative commits (dark gray) for each category, and the overall proportion is also displayed. In order to see the differences between the random and the actual data, the results of random executions for each project is also included (see Figure 6).

The following can be seen on these diagrams:

- The middle bars (gray) are smaller than expected in case of A, D and U+, and higher in case of U1.
- The upper bar (light gray) is the tallest in case of A on every diagram.
- In case of U+ and U1 the lower bars (dark gray) are bigger than the upper ones (light gray) in most of the cases.

The relevance of these results are very spectacular if we compare them to the bar plots of the randomized data (see Figure 6). In case of randomized data, there are no obvious differences in any category bar, compared to the bar of all commits (or with the bar of any other category). Furthermore, even the viewable small differences in the bars do not tend to be relevant: one difference on one diagram mostly differs on the other ones.

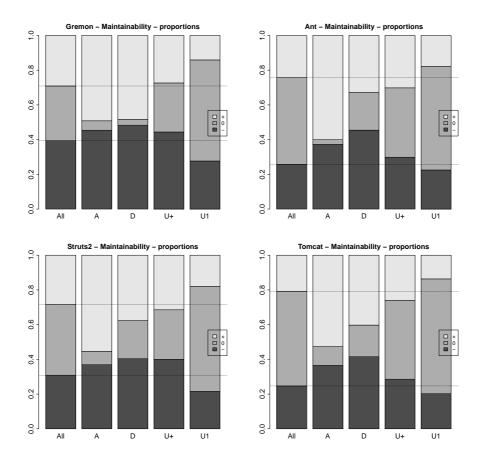


Figure 5: Maintainability proportions

4.3 Results of Contingency Chi-Squared Tests

Based on the bar plot diagrams (see Figure 5) we already have an assumption about the answers to our research questions, but for a more grounded answer let us check the results of the Chi-squared tests on the contingency tables. In case of the Gremon project we present all the details. For the open-source systems only the input and the final results are shown from which the main conclusions can be drawn.

As already mentioned, Table 2 presents the original contingency table for the Gremon project on which the test was performed on. For example, the meaning of the upper left value (118) is the following: the total number of commits containing no deletion, containing at least one addition (i.e. belongs to category A based on the definition) and the maintainability change caused by that commit was positive. The last row and the last column contains the sum of the values of the appropriate

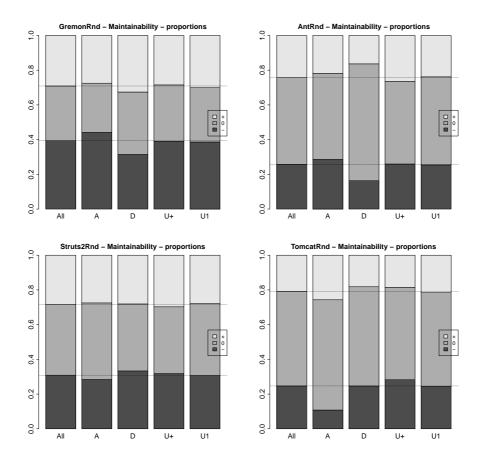


Figure 6: Maintainability proportions in random cases

rows and columns, respectively. This is the consolidated input of the contingency Chi-squared test.

Table 6 contains the calculated expected values. Practically, this is the null-hypothesis: if the row and column sums would be the same as in the case of measured data, then in case of uniform distribution these were the cell values. The average values of random cases would tend to this matrix. The sums of rows and columns are the same as in the previous table. The meaning of the upper left value (69.8) is the following: if there was no connection between version control operations and maintainability change, and the number of commits in each category would be the same as in case of the input, furthermore, the total numbers of positive, neutral and negative maintainability changes were also the same, then this value would be an integer close to this number. In other words: the average value of this cell in the random cases would tend to this value. In this case the value 69.8 is much smaller than the value 118 found in the previous matrix (see Table 2).

Table 7 shows the standard residuals. This table illustrates if the previous difference is significant or not using the well-known standard normal distribution. The difference between the expected and the measured value is exactly as extreme as the difference between 0 and the values found in this table assuming a standard normal distribution. E.g., in the upper left case this is the chance of resulting in 7.69. Based on this, we already have a feeling that this is a very extreme value; the probability of resulting such value only by chance is very low.

Table 6: Gremon: expected values

	A	D	U+	U1	Σ
+	69.8	25.9	129.8	111.5	337
0	75.6	28.1	140.6	120.7	365
-	94.5	35.0	175.6	150.8	456
Σ	240	89	446	383	1158

Table 7: Gremon: standard residuals

	A	D	U+	U1
+	7.69	4.15	-1.04	-7.90
0	-9.78	-5.95	-1.89	13.75
-	-2.15	1.80	2.77	-5.73

In Table 8 we present the p-values related to the standard normal distribution. These values answer the question of how low the previously mentioned chances are. Consider the upper left value again. The difference between the actual value (118) and the expected value (69.8) is 48.2. The other value with the same difference from the expected one is 21.6 (=69.8-48.2). The definition of the p-value is the following: the chance of the value being at least as extreme as measured, provided that the null-hypothesis is true. Therefore the meaning of the value in the upper left corner $(1.52 \cdot 10^{-14})$ is the following: the chance that the measured value is at least 118 or at most 21.6. Taking into consideration that its reciprocal is about $6.58 \cdot 10^{13}$ it means that in random case this would statistically happen once in about every 66 trillion cases (and about once in every 132 trillion cases if the direction also matters).

Table 8: Gremon: p-values

	A	D	U+	U1
+	$1.52 \cdot 10^{-14}$	$3.28 \cdot 10^{-5}$		$2.76 \cdot 10^{-15}$
0	$1.43 \cdot 10^{-22}$	$2.70 \cdot 10^{-9}$	$5.81 \cdot 10^{-2}$	$5.06 \cdot 10^{-43}$
-	$3.15 \cdot 10^{-2}$	$7.25 \cdot 10^{-2}$	$5.69 \cdot 10^{-3}$	$1.01 \cdot 10^{-8}$

Table 9 contains the exponents calculated as described in Section 3.5. Theoretically, the previous tables contain everything we need: the standard residuals provide the directions and the p-values table provide the absolute values; but the tables containing the exponents are easier to read and comprehend.

Table 9 is composed of the exponents and the directions. Consider the upper left value (13). The absolute value comes from the exponent (14) minus one (in order to convert the absolutely not significant results (having p-value > 0.1) to 0 instead of 1). The sign means the direction: the positive in this case means that the actual value is higher than the expected one. Also note that although this value is high, it is still far from the highest.

Tables 10, 11 and 12 show the resulted exponents for the Ant, Struts 2 and Tomcat projects, respectively.

Table 9: Gremon: exponents

	A	D	U+	U1
+	13	4	0	-14
0	-22	-8	-1	42
-	-1	1	2	-7

Table 11: Struts 2: exponents

	A	D	U+	U1
+	20	1	1	-19
0	-26	-4	-12	57
-	1	1	8	-15

Table 10: Ant: exponents

	A	D	U+	U1
+	76	1	9	-60
0	-98	-4	-19	98
-	8	3	4	-14

Table 12: Tomcat: exponents

	A	D	U+	U1
+	11	4	2	-14
0	-14	-10	-4	25
-	1	3	1	-5

Table 13 summarizes the overall p-values of each contingency Chi-Squared test (the previous p-values are calculated on a per cell basis).

Table 13: Overall p-values

Project	p-value
Gremon	$1.19 \cdot 10^{-52}$
Ant	$1.60 \cdot 10^{-151}$
Struts 2	$4.47 \cdot 10^{-64}$
Tomcat	$4.84 \cdot 10^{-33}$

Based on these extremely low overall p-values in every case, we can state that there is a strong connection between the version control operations and the maintainability changes.

For getting a better overview, the resulted exponents are summed up and presented in Table 14, indicating those cells where the results are significantly similar for the systems. Dark cell means that the absolute value in every case was at least 2 (p < 0.01). The darkness indicates the degree of similarities in the significance. If there are 2 or 3 significant results and 1 not significant, it is indicated with a lighter color. 0 or 1 significant result is denoted by an even lighter cell fill. White is reserved for significant contradictions, i.e. if a cell would contain -2 or less in one case, and \pm 2 or more in the other.

Table 14: Overview: sum of the exponents

	A	D	U+	U1
+	120	10	12	-107
0	-160	-26	-36	222
-	9	8	15	-41

Half of the cells are dark; these indicate those results which are significant for every checked project. Please note that the table does not contain any white cells.

4.4 Random Check Result

We were also interested in the random case: does it also result in the same high numbers as presented previously or not. Based on the definition of the exponent table, theoretically, in random case the proportion of 0 should be 90%, the proportion of absolute values 1 should be 9% (half of them negative and half of them positive), the proportion of absolute values 2 should be 0.9%, and so on. We received approximately the same kind of distributions in practice. Table 15 illustrates the results of one concrete execution with an overall p-value of 0.53. There are hardly any non-null values in these executions.

Table 15: Random: exponents

		A	D	U+	U1
\dashv	-	0	0	0	0
()	0	0	0	0
	-	1	0	0	0

4.5 Answers to the Research Questions

The answers to the research questions are primarily based on Table 14.

RQ1: Do commits containing file additions to the system have a significant positive impact on its maintainability?

The values in the first column are related to these commits. Value 120 and the dark color cell in the upper left cell indicates that the positive impact on the maintainability is very high for those commits which do not contain deletion but contain at least one addition. This supports our assumption that adding new source files to the system has a significant positive impact on its maintainability.

On the other hand, the lower left cell of the table is also positive (+9), but the color is lighter. In 3 out of the 4 cases it contained a value close to 0, and one higher value. If we check the input, we see that the absolute number of commits in the positive cell is also higher than those in the negative cell in every case. Therefore we can also say that the overall effect of the add operation is positive.

RQ2: Is it true that the commits containing source file updates only tend to significantly decrease the maintainability?

The third and fourth columns are related to commits containing updates only. All the colors of the cells found in the fourth column (commits containing exactly one update) are dark and the values are negative both in the + and - cells. But the value found in the + row is much lower than the value found in the - row, and this is true for every input. We should also take into account that the maintainability tends to decrease, therefore if these values were equal, that would also

mean maintainability decrease as an overall result. Thus in case of commits containing one update our assumption that the source file updates tend to decrease the maintainability is supported with high significance.

The cell colors in the third column (commits containing exclusively at least 2 updates) are lighter. Both of the values found in the + and - rows are positive. However, the value found in the - cell is higher than the value in the + cell. Therefore in case of more updates our assumption is also supported, but with lower significance.

RQ3: Do commits containing file deletion improve the maintainability of the system?

The second column is related to this research question. The values found in these cells are small in absolute values compared to those found in other columns and their colors are also not the darkest ones. The number in the + cell (10) is higher than the number in the - cell (8). Based on this we cannot formulate a general statement. Seemingly we could say that deletions have no positive effect on the maintainability as 10 > 8. But that could be a false conclusion, because in general the number of commits causing negative maintainability change is in general higher than those causing positive change. Therefore 10 in the + cell does not necessarily mean higher number of absolute values than 8 in the - cell. And if we check the inputs, we see that just the opposite is true, i.e. the absolute number in the - cells in columns D are less than or equal with the values in the + cells. If we consider the input as well we find that there are more such commits of category D which resulted in maintainability decrease than those of increase. Therefore the third assumption that commits containing deletion improve the maintainability of the system is not supported by the results.

4.6 Other Results

Considering Table 14 other results can also be read out, not covered by the original research questions.

First of all, the highest absolute value is 222, in row 0, column U1. All the other values in row 0 are negative. This means that no traceable maintainability changes are primary related to small updates. This is a trivial statement, of course, and it rather validates the used quality model than a real usable result of this research.

The second highest value in absolute is -160, also in row 0, but column A. Therefore adding a new source code almost always has some traceable effect on the maintainability.

Considering the negative (-) row alone, it would lead the false result that every commit category have negative effect on the maintainability, except the small updates. This is not true, because the value found in the positive (+) row should also be considered in case of every category. On the other hand, these values tell us that with the exception of small updates there are too many maintainability decreases. Eliminating some of these decreases would result in a well maintainable code, even without an explicit code quality increase campaign.

5 Threats to Validity

In some cases we achieved very convincing results; however, there are some facts that may threat the validity of some of them.

In case of the open-source projects, the first unknown number of commits are missing (most probably they were migrated from another version control system). On the other hand, in the case of Gremon, all the commits were available from the very beginning. This inconsistency may lead to false results in some cases; however, it would be interesting to investigate the differences between the commits in the beginning and commits in a later phase of the development. For that, a much greater amount of data would be necessary.

There are a few diverging results: in most of these cases there are two or three similar results, but the other system(s) do(es) not support that. In general it does not contradict them either so it does not mean necessarily that the results are invalid. This may be caused by several issues: the divergence may be caused by the domain differences, technological differences, differences in development processes, the different phases examined, or simply that there are maybe exceptions under the general rule, and some of the examined systems fall into these exceptions. This is by all means worth further investigations.

6 Conclusions and Future Work

In this work we studied the impact of version control commit operations on maintainability change. We found that commits containing Update operation only have negative impact on maintainability, while great maintainability improvements are mostly caused by those commits which contain Add operations as well. Commits containing operation Delete have a weak connection with maintainability, and we could not formulate a general statement. Operation Rename was not investigated on its own due to the very small number of its occurrences and due to the fact that this on its own does not have any measurable effect on the maintainability.

Another conclusion is that commits consisting of a single Update tend to have no traceable impact on maintainability. On the other hand, other types of commits tend to have significant impact on maintainability.

Based on these results it might make sense for developers to improve the way they add new features and use the opportunity to also perform refactorings. The new features should be implemented in new files, containing sound code (adding new files typically improve maintainability), and the existing code should be refactored to accept the new code in the proper way (refactorings typically introduce file deletions and additions). Modifications are of course more expensive in this way, but the extra investment returns in mid-term.

During the analysis we used only a subset of the available data. Extending the analysis with other types of commit-related data, like the file name, the date and time of the commit, the developer, or the comment belongs to our short-term plans. As already mentioned among the threats to validity, we did not take into consideration the domain and other attributes of the software. That could be an important extension of this work for a mid-term future research. In longer term, we plan to include non-version control related data into consideration as well. For instance, useful information may be extracted from the issue tracking systems. Finally, we have a great expectation from the results of those tests where the developer interactions collected by the IDE are also considered.

Acknowledgments

This research was supported by the Hungarian national grant GOP-1.1.1-11-2011-0006, and the European Union and the State of Hungary, co-financed by the European Social Fund in the framework of TÁMOP 4.2.4. A/2-11-1-2012-0001 "National Excellence Program".

References

- [1] Agresti, Alan. An Introduction to Categorical Data Analysis. Wiley-Interscience, 2 edition, March 2007.
- [2] Bakota, T., Hegedűs, P., Körtvélyesi, P., Ferenc, R., and Gyimóthy, T. A Probabilistic Software Quality Model. In Proceedings of the 27th IEEE International Conference on Software Maintenance (ICSM 2011), pages 368–377, Williamsburg, VA, USA, 2011. IEEE Computer Society.
- [3] Bakota, Tibor, Hegedűs, Péter, Ladányi, Gergely, Körtvélyesi, Péter, Ferenc, Rudolf, and Gyimóthy, Tibor. A Cost Model Based on Software Maintainability. In *Proceedings of the 28th IEEE International Conference on Software Maintenance (ICSM 2012)*, pages 316–325, Riva del Garda, Italy, 2012. IEEE Computer Society.
- [4] Bernstein, A and Bachmann, A. When Process Data Quality Affects the Number of Bugs: Correlations in Software Engineering Datasets. In *Proceedings of the 7th IEEE Working Conference on Mining Software Repositories, MSR '10*, pages 62–71, 2010.
- [5] Giger, Emanuel, Pinzger, Martin, and Gall, Harald C. Can We Predict Types of Code Changes? An Empirical Analysis. In *Proceedings of the 9th IEEE Working Conference on Mining Software Repositories (MSR)*, pages 217–226. IEEE, 2012.
- [6] Hegedűs, Péter. A Probabilistic Quality Model for C# an Industrial Case Study. Acta Cybernetica, 21(1):135–147, 2013.
- [7] Hindle, Abram, German, Daniel M., and Holt, Ric. What Do Large Commits Tell Us?: a Taxonomical Study of Large Commits. In *Proceedings of the 2008*

- International Working Conference on Mining Software Repositories, MSR '08, pages 99–108, New York, NY, USA, 2008. ACM.
- [8] ISO/IEC. ISO/IEC 9126. Software Engineering Product quality 6.5. ISO/IEC, 2001.
- [9] Khoshgoftaar, Taghi M., Allen, Edward B., Halstead, Robert, Trio, Gary P., and Flass, Ronald M. Using Process History to Predict Software Quality. Computer, 31(4):66-72, April 1998.
- [10] Koch, S. and Neumann, C. Exploring the Effects of Process Characteristics on Product Quality in Open Source Software Development. *Journal of Database Management*, 19(2):31, 2008.
- [11] Moser, Raimund, Pedrycz, Witold, Sillitti, Alberto, and Succi, Giancarlo. A Model to Identify Refactoring Effort during Maintenance by Mining Source Code Repositories. In Proceedings of the 9th International Conference on Product-Focused Software Process Improvement, PROFES '08, pages 360–370, Berlin, Heidelberg, 2008. Springer-Verlag.
- [12] Moser, Raimund, Pedrycz, Witold, and Succi, Giancarlo. A Comparative Analysis of the Efficiency of Change Metrics and Static Code Attributes for Defect Prediction. In Proceedings of the 30th International Conference on Software Engineering (ICSE '08), pages 181–190, New York, NY, USA, 2008. ACM.
- [13] Nagappan, Nachiappan, Ball, Thomas, and Murphy, Brendan. Using Historical In-Process and Product Metrics for Early Estimation of Software Failures. In Proceedings of the 17th International Symposium on Software Reliability Engineering (ISSRE '06), pages 62–74, Washington, DC, USA, 2006. IEEE Computer Society.
- [14] Nagappan, Nachiappan, Ball, Thomas, and Zeller, Andreas. Mining Metrics to Predict Component Failures. In *Proceedings of the 28th International Con*ference on Software Engineering (ICSE '06), pages 452–461, New York, NY, USA, 2006. ACM.
- [15] Parnas, David Lorge. Software Aging. In Proceedings of the 16th International Conference on Software Engineering, ICSE '94, pages 279–287, Los Alamitos, CA, USA, 1994. IEEE Computer Society Press.
- [16] Peters, Ralph and Zaidman, Andy. Evaluating the Lifespan of Code Smells using Software Repository Mining. In Proceedings of the 2012 16th European Conference on Software Maintenance and Reengineering, CSMR '12, pages 411–416, Washington, DC, USA, 2012. IEEE Computer Society.
- [17] R Core Team. R: A Language and Environment for Statistical Computing. R Foundation for Statistical Computing, Vienna, Austria, 2013.

- [18] Ratzinger, Jacek, Sigmund, Thomas, Vorburger, Peter, and Gall, Harald. Mining Software Evolution to Predict Refactoring. In Proceedings of the First International Symposium on Empirical Software Engineering and Measurement, ESEM '07, pages 354–363, Washington, DC, USA, 2007. IEEE Computer Society.
- [19] Schofield, Curtis, Tansey, Brendan, Xing, Zhenchang, and Stroulia, Eleni. Digging the Development Dust for Refactorings. In *Proceedings of the 14th IEEE International Conference on Program Comprehension*, ICPC '06, pages 23–34, Washington, DC, USA, 2006. IEEE Computer Society.
- [20] van Rysselberghe, F. and Demeyer, S. Mining Version Control Systems for FACs (frequently Applied changes). In *Proceedings of the International Workshop on Mining Repositories*, Edinburgh, Scotland, UK, 2004.
- [21] Ying, Annie T. T., Murphy, Gail C., Ng, Raymond, and Chu-Carroll, Mark C. Predicting Source Code Changes by Mining Change History. *IEEE Transactions on Software Engineering*, 30(9):574–586, September 2004.
- [22] Ying, Annie T. T. and Robillard, Martin P. The Influence of the Task on Programmer Behaviour. In *Proceedings of the 2011 IEEE 19th International Conference on Program Comprehension*, ICPC '11, pages 31–40, Washington, DC, USA, 2011. IEEE Computer Society.
- [23] Zimmermann, Thomas, Weissgerber, Peter, Diehl, Stephan, and Zeller, Andreas. Mining Version Histories to Guide Software Changes. *IEEE Transactions on Software Engineering*, 31(6):429–445, June 2005.

Received 20th November 2013