Abstract: Glutamatergic neurotransmission, of special importance in the human brain, is implicated in key brain functions such as synaptic plasticity and memory. The excessive activation of N-methyl-D-aspartate (NMDA) receptors may result in excitotoxic neuronal damage; this process has been implicated in the pathomechanism of different neurodegenerative disorders. such as Alzheimer's disease (AD). Memantine is an uncompetitive antagonist of NMDA receptors with a favorable pharmacokinetic profile, and is therefore clinically well tolerated. Memantine is approved for the treatment of AD, but may additionally be beneficial for other dementia forms and pain conditions. Kynurenic acid (KYNA) is an endogenous antagonist of NMDA receptors which has been demonstrated under experimental conditions to be neuroprotective. The development of a well-tolerated NMDA antagonist offer may a novel therapeutic option for the treatment of neurodegenerative disease and pain syndromes. KYNA may be a valuable candidate for future drug development.

**Keywords:** dementia, glutamate, kynurenic acid, memantine, neuroprotection, NMDA

# List of abbreviations:

Aß-42: amyloid beta 1-42

AD: Alzheimer's disease

AMPA: α amino-3-hydroxy-5-methyl-4-isoxazolepropionic acid

Ca<sup>2+</sup>: calcium ion

HD: Huntington's disease

IDO: indoleamine-2,3-dioxygenase

KMO: kynurenine 3-monooxygenase

KP: kynurenine pathway

KYNA: kynurenic acid

LTP: long-term potentiation

Mg<sup>2+</sup>: magnesium ion

NMDA: N-methyl-D-aspartate

PD: Parkinson's disease

QUIN: quinolinic acid

#### Introduction

Glutamate is the main excitatory neurotransmitter in the human brain, and glutamate-mediated neurotransmission is of high importance in

several key brain functions such as synaptic plasticity and memory formation. NMDA receptors are widely distributed in the human brain and they are of special importance in both excitatory neurotransmission and neurodegenerative processes. Under physiological resting potentials, more than 90% of the NMDA receptors are blocked by magnesium ions (Mg<sup>2+</sup>). Postsynaptic depolarization of the membranes results in the release of Mg<sup>2+</sup> and allows NMDA activation. The voltage-dependent Mg<sup>2+</sup> block is able to influence excitatory postsynaptic potentials, and underlies the different responses of the various subtypes of NMDA receptors. The NR2 subunit of the NMDA receptors determines the voltage dependence: the NR2A- and NR2B-containing subtypes are blocked more strongly than the NR2C or NR2D-containing subtypes 1. The NMDA receptors are members of the ionotropic receptor family, and possess high calcium (Ca2+) permeability. The NMDA receptors also play an important role in the induction of long-term potentiation (LTP), e.g. a long-lasting increase of the synaptic strength or long-term depression, e.g. a decrease of it. LTP and long-term depression are the basis of synaptic plasticity, and are considered to be key processes in memory and learning 2.

Overactivation of the NMDA receptors results in excessive Ca<sup>2+</sup> influx into the cells, activating various signaling pathways which may lead to neuronal damage; this process is known as excitotoxicity <sup>3</sup>. Excitotoxicity has been implicated in a number of pathological processes such as cerebral ischemia and neurodegenerative diseases <sup>4, 5</sup>. Earlier attempts to use NMDA antagonists as therapeutic agents failed despite the promising preclinical results, because they were either ineffective in the clinical

setting or resulted in unacceptable side-effects, such as a cognitive impairment 6-8. However, these results promoted a better understanding of the role of the glutamatergic neurotransmission in physiological brain functions such as cognitive processes and memory. On the other hand, in pathological cases, where the excitatory receptors are overactivated, the inhibition of NMDA receptors may be beneficial by reestablishing the physiological glutamatergic balance, and preventing excitotoxic neuronal damage without attenuating the normal neurotransmission 2.

Memantine was the first NMDA antagonist approved for the therapy of moderate to severe Alzheimer's disease (AD)<sup>10, 11</sup>. Currently no other NMDA antagonist agents are available in clinical practice, and it is still a challenge to develop effective neuroprotective drugs capable of preventing the pathological activation of NMDA receptors without impairing their physiological activity.

The kynurenine pathway (KP) of the tryptophan metabolism leads to the formation of several neuroactive molecules, including the NMDA-antagonist kynurenic acid (KYNA), which has shown promise as a neuroprotective agent in the preclinical setting. This review will focus on the neuropharmacological properties of the NMDA-antagonist memantine and KYNA, with special focus on AD, describing the similarities and future potential for drug development.

#### Memantine

Memantine (1-amino-3,5-dimethyladamantane; Figure 1.) was first synthetized in 1968, but its NMDA-antagonistic property was discovered only in the 1980s 12, 13. It is an uncompetitive open-channel blocker which exerts its effect by inhibiting Ca2+ influx at excessive NMDA activation, while it does not interfere with physiological activation (Figure 2.)14. In rats, the administration of 5-10 mg/kg memantine resulted in a plasma level of 1.0-3.2 mM, while the brain levels achieved after the i.p. injection of 10 or 20mg/kg memantine were 1.2 and 2.6 mM, respectively 1.5. The IC<sub>50</sub> of memantine is approximately 3 µM, which is in good accordance with its therapeutic concentration range in humans 16, 17. In AD patients, the recommended therapeutic dose is 20 mg/day 11. The administration of 5-30 mg/day of memantine to humans results in fluid concentrations of 0.05-0.31 cerebrospinal uΜ and concentrations of 0.025 to 0.529 µM 17.18. The elimination half-life of orally administered memantine in the human serum is 60-80 h<sup>19</sup>.

The experimental data indicate that memantine binds to the same channel site as Mg<sup>2+</sup>, and it does not interfere with the glutamate or glycine binding site <sup>15</sup>. The assumption that it shares their binding site with Mg<sup>2+</sup> is supported by the observation that Mg<sup>2+</sup> decreases the NMDA-antagonistic effect of memantine, and that mutations in the NR1 and NR2 subunits which are important for Mg<sup>2+</sup> binding also influence memantine block <sup>17, 20, 21</sup>. Chen et al. described a slow unblocking phase of this substance from the NMDA receptors, which was inhibited by the presence of Mg<sup>2+</sup> <sup>22</sup>. Memantine has no effect on the currents evoked by kainate or quisqualate either <sup>22</sup>. High concentrations of this compound

have been suggested to potentiate a-amino-3-hydroxy-5-methyl-4isoxazolepropionic acid (AMPA)-induced currents in neuronal cultures, but this effect was moderate and therefore its relevance is unclear 15. Memantine displays low affinity for the NMDA receptors; the binding is strongly voltage-dependent, with fast double exponential blocking kinetics, which is strongly dependent on the agonist concentration 23, 24. Besides NMDA antagonism, memantine has been demonstrated influence glutamate levels. The chronic administration of memantine resulted in a decreased hippocampal glutamate level, and decreased glutamatergic neurotransmission in the frontal cortex  $\frac{25}{25}$ ,  $\frac{26}{25}$ . neuroprotective effect of memantine has been confirmed by several studies, indicating that it prevents the toxic effects of NMDA and NMDAreceptor agonists in cultured cortical neurons, cultured retinal ganglion cells or the chick retina in vitro 22, 27-29.

An intriguing aspect of the glutamate antagonist memantine is its ability to improve cognitive functions. The possible explanations of this paradox effect include a decrease of synaptic "noise" induced by NMDA receptor overactivation and restoration of the physiological glutamatergic balance 15, 17. Although NMDA receptors are necessary for some forms of LTP, the basis of the learning process, overactivation may result in impairment. In these cases, memantine may actually improve synaptic plasticity and cognition. Experimental data have indicated that it is able to prolong the duration of LTP in rats 30. Depletion of Mg 2+ results in the impairment of LTP in hippocampal slices, an effect attenuated by memantine 31. In accordance with this, memantine also reverses the

reduction of LTP in the CA1 region of the hippocampus induced by NMDA <sup>32</sup>. Accordingly, this drug significantly improves cognitive functions in moderate to severe AD patients and it has been approved for this indication in both the European Union and the USA <sup>19, 33</sup>. This effect may be partly mediated by its influence on glutamatergic neurotransmission, but it may be related in part to the counteraction of amyloid toxicity. In cultured primary cortical neurons from rats memantine was able to attenuate the tau- phosphorylation induced by Aβ1-42 <sup>34</sup>. In another study, memantine was able to prevent cognitive decline in triple-transgenic (3xTg-AD) mice, and the treatment also resulted in a significant reduction in the levels of insoluble amyloid beta, total tau and hyperphosphorylated tau <sup>35</sup>.

This pharmacon might also offer a therapeutic option in other neurodegenerative disorders, such as Parkinson's disease (PD) or Huntington's disease (HD). In a small study, memantine slowed the progression of the neurodegenerative process in HD 36. It also improves PD dementia and surprisingly displays beneficial symptomatic effects on the motor symptoms too 37-40. Case reports have suggested that memantine may also improve levodopa-induced dyskinesia, but further studies are merited to confirm this 41, 42. Another field of neurology where this drug may be beneficial is migraine. Some smaller studies have suggested that it may be able to reduce the frequency of headache 43, 44. Further largescale studies are awaited to confirm this observation. Neuropathic pain syndromes affect a broad range of the population, but their therapeutic management has not yet been fully resolved. Memantine effectively alleviated the development of neuropathic pain in rats and also achieved significant antinociception in an animal model of diabetic neuropathic pain 45. 46. A clinical trial is ongoing to investigate its efficacy to prevent post-mastectomy neuropathic pain in breast cancer patients 47. The fact that memantine is clinically well tolerated can be attributable to its favorable pharmacokinetic properties 17. 48.

## Kynurenic acid

KYNA is one of the neuroactive metabolites synthesized in the KP of tryptophan metabolism (Figure 3.). The KP produces not only the neuroprotective KYNA, but also several neurotoxic metabolites, such as quinolinic acid (QUIN) and 3-hydroxykynurenine. QUIN, an endogenous agonist of NMDA receptors, additionally induces endogenous antioxidant depletion, contributes to free radical generation and induces lipid peroxidation 49-51. Moreover, QUIN has been confirmed to increase presynaptic glutamate release and reduce glutamate uptake by the astrocytes 52, 53. On the other hand, KYNA is the only known broadspectrum endogenous inhibitor affecting all ionotropic glutamate receptors. It is able to block NMDA, AMPA and kainate subtypes, but has a highest affinity for NMDA receptors which are the most permeable receptors for Ca2+ 7. 54. 55. NMDA receptors are tetramer structures which consist of four subunits. The most prevalent forms in the brain contain NR1 and NR2 subunits. The NR1 subunit contains the glycine-binding site, whereas the NR2 subunit contains the glutamate-binding site. At low micromolar concentrations (EC 50 =7.9 to 15 µM), KYNA binds with high affinity to the strychnineinsensitive glycine-binding site on the NR1 subunit of the NMDA receptors, whereas at 10-20 times higher concentrations (EC50= 200 to 500 µM) it is able to block the glutamate-binding site on the NR2 subunit as well 56, 57. The neuroprotective effect of KYNA is mainly attributed to the prevention of glutamate excitotoxicity via antagonism of the NMDA receptors. KYNA displays properties at the presynaptic  $\alpha$ 7 nicotinic acetylcholine receptors, inhibiting them in a noncompetitive manner, which is involved in the release 58,59. regulation glutamate presynaptic of KYNA concentrations inhibit presynaptic glutamate release, contributing to its neuroprotective effect 60. Interestingly, KYNA exerts a dose-dependent dual effect on the AMPA receptors: in the micromolar concentration range, KYNA inhibits them, whereas in low nanomolar concentrations it evokes facilitation 61,62. The facilitatory effect is probably associated with a positive modulatory binding site at the AMPA receptors. A recent work provided data relating to the possible molecular mechanisms, but further investigations are definitely warranted 63. KYNA has been identified as a ligand for the previously orphan G-proteincoupled receptor too 64. The complex molecular interactions of KYNA with the different receptors underlie its importance in the physiological processes of the central nervous system, and suggest its neuromodulatory and regulatory functions.

Alterations in the delicate balance of the neurotoxic and neuroprotective compounds of the KP have been implicated in the pathomechanisms of

several neurodegenerative diseases, such as AD or PD, and stroke 65. 66. The activity of indoleamine-2,3-dioxygenase (IDO), which is responsible for the rate-limiting step of the KP, has been demonstrated to be increased in AD and stroke, reflecting an increased activation of the metabolic cascade 65. 67. The IDO activity has been confirmed to be increased in the hippocampus of AD patients, together with an elevated immunoreactivity of the neurotoxic QUIN 68. In human macrophages and microglia, Aβ1-42 induces IDO expression and QUIN production 69. QUIN has been described to be co-localized with hyperphosphorylated tau in the cortex of AD patients, and it also results in tau phosphorylation in primary neuron cultures 20. Alterations in the KP have likewise been described in PD, HD and stroke (reviews in 71-72).

Elevated KYNA levels have proved to be neuroprotective under different experimental conditions of neurotoxicity. The promising results in experimental studies can mainly be explained by the prevention of glutamate excitotoxicity, but other possible mechanisms have also been suggested. In an *in vitro* study, KYNA induced the gene expression and activity of neprilysin, an enzyme participating in the metabolism of Aβ, and this resulted in increased neuronal cell survival <sup>23</sup>. These data indicate that the neuroprotective effect of KYNA may be related, at least in part, to the induction of amyloid degradation. Further, KYNA has been confirmed to exert beneficial effects in PD and pain syndromes. In an experimental animal model of PD, KYNA effectively alleviated parkinsonian motor symptoms <sup>24</sup>.

Elevation of the KYNA level in the brain is challenging, because KYNA itself can cross the blood-brain barrier only poorly; however, there are various methods to achieve this.

The first option is the administration of kynurenine, which is the prodrug of KYNA, together with probenecid, an organic aminoacid transporter inhibitor; this was able to prevent the neuronal damage induced by soluble Aβ and also significantly improved spatial memory 75. This treatment was also neuroprotective in the 6-hydroxydopamine animal model of PD<sup>76</sup>. The same treatment regime exerted beneficial effects in an animal model of neuropathic pain by diminishing the allodynia 7. Another possible option is the use of kynurenine-3-monooxygenase (KMO) inhibitors, which results in a shift of the KP toward production of the neuroprotective KYNA. A synthetic KMO inhibitor has been described that exerts beneficial effects in an animal model of AD by preventing neuronal damage and also spatial memory deficits 78. KMO inhibition has additionally been described to improve levodopa-induced dyskinesia without diminishing the antiparkinsonian effect of simultaneously administered levodopa 79,80.

Synthetic kynurenine derivatives may represent another therapeutic option; these molecules have proved neuroprotective in the 1-methyl-4-phenyl-1,2,3,6-tetrahydropyridine model of PD 81. A halogenated KYNA derivative, 4-chlorokynurenine, has also been confirmed to prevent cellular damage in a toxic animal model of AD 82. A novel KYNA amide was neuroprotective in experimental models of HD 83. The same analog demonstrated a significant neuroprotective capacity and prevented

neuronal damage in hippocampal CA1 pyramids in an experimental model of global cerebral forebrain ischemia in rats 84. An *in vitro* comparative electrophysiological study confirmed that this analog displays the same neuromodulatory properties as KYNA 85. In an experimental migraine model, this compound reduced c-fos and nNOS 86.87.

An important aspect of NMDA antagonist therapies is the possibility of side-effects. The novel KYNA analog 2-(2-N, Ncognitive dimethylamine-1-carbonyl)-1H-quinolin-4-one hydrochloride has therefore been investigated in different behavioral paradigms to assess its side-effect profile. The results confirmed that in a dose in which it exerted its neuroprotective effect, this KYNA derivative did not give rise to any significant systemic side-effect 88. Other studies also showed that elevation of KYNA levels in the brain did not result in further worsening of working memory function 89. Its effects have been investigated on locomotor activity, working memory performance and long-lasting, consolidated reference memory by the means of open field, radial arm maze and Morris water maze paradigms. In these experiments, it did not impair the cognitive functions of the brain 90. Furthermore, an electrophysiological study of the effects of this analog on the cognitive functions revealed that did not decrease LTP as might have been expected from its NMDA antagonistic properties, but rather facilitated potentiation of field excitatory postsynaptic potentials 91. The explanation of this somewhat paradoxical effect may be the observation that the elevation of KYNA levels results in a preferential inhibition of the extrasynaptic NMDA receptors and presynaptic nicotinic acetylcholine

receptors, whereas the synaptic NMDA and AMPA receptor-mediated currents are relatively spared (reviewed in 92). The slight facilitatory effect of the KYNA analog may possibly be related to the Janus-faced nature of KYNA, e.g. its concentration-dependent dual effect on AMPA receptors (Figure 4.).

The NMDA receptors play critical roles in normal brain function (memory, synaptic communication and controlling synaptic plasticity). Consistently, their antagonists applied as potential therapeutic drugs frequently failed due to serious side-effects 7, 93. The main parallelity between memantine and KYNA is represented by their effects **NMDA** receptors, both having on been hypothesized to allow their normal physiological activation while inhibiting their pathological excitotoxic overactivation 17, 94. However, their mechanism of action is different. Memantine is a trapping open-channel blocker of these receptors, whereas KYNA binds as an inhibitor at both the strychnine-insensitive glycinebinding site at low concentrations, and at the NMDA recognition site at high concentrations, being a broad-spectrum, non-selective glutamate receptor antagonist 95. Their overlapping therapeutic potential further reflects their similar properties and effects. Memantine is an important player in the therapy of moderate-tosevere AD; however, its beneficial effect has also been suggested in PD, HD, neuropathic pain, epilepsy, and multiple sclerosis as well 11, 15, 46, 48, 96-100. the neuroprotective properties of KYNA might be

also be beneficial in treatment of AD, PD, MS, neuropathic pain as well 75, 101-103.

Moreover the beneficial effect of the NMDA antagonist memantine and KYNA on cognitive functions exhibit marked similarities. Interestingly, memantine has recently been demonstrated to enhance the production of KYNA, which may lead in part to the beneficial therapeutic effect of this compound 104. KYNA may contribute to the NMDA-antagonistic properties of memantine, and both compounds are also able to acetylcholine receptors 104. These cholinergic receptors have recently been suggested to contribute to AD pathology by promoting amyloid accumulation in the neurons 105. Further investigations are merited to assess the potential interactions of memantine and KYNA. On the other hand, KYNA and its analog may serve as promising candidates for the future development of well-tolerated partial NMDA antagonists. Importantly, a recent study demonstrated that orally administered KYNA did not decrease cell viability in different cell cultures, nor affect body gain or blood counts in rodents. The study confirmed that KYNA is welltolerated in rats and mice and does not display any toxic effect. These findings suggest that oral KYNA administration would not be toxic in humans either 106. An important aspect for future drug development is the fact, that KYNA is an endogenous compound; however, synthetic KYNA analogs or nanotechnology-based approaches may hold promise for drug development with the aim to achieve better pharmacological properties.

#### Conclusions

As an open-channel blocker NMDA antagonist memantine is clinically well tolerated and effective for the treatment of AD and other forms of cognitive impairment. Its potential therapeutic benefits have been suggested in other conditions too, such as migraine or neuropathic pain. KYNA and its synthetic derivatives display several similarities to memantine as concerns their mode of action, e.g. partial NMDA receptor inhibition and  $\alpha$  7 nicotinic acetylcholine receptor inhibition. A novel KYNA analog has proved neuroprotective in different experimental settings, and does not induce any significant systemic side-effect; indeed, it improves the LTP. Further investigations are called for to assess the potential therapeutic value of KYNA derivatives with the aim of neuroprotection and cognitive improvement.

# Conflict of interest

The authors declare that they have no conflict of interest and have received no payment in the preparation of their manuscript.

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## Figure legends:

- Figure 1. The chemical structure of memantine
- Figure 2. The affinity of the memantine to the NMDA receptor
- 1: Resting conditions: NMDA receptors with the physiological Mg<sup>2+</sup> block
- ②: Increased background: Left side: low to moderate affinity antagonist memantine binding to the NMDA receptor, Right side: without memantine the NMDA receptor is getting activated after the binding of glycin and glutamate
- ③: Synaptic activity: Left side:after depolarization, without the memantine, the NMDA receptor is activated by the glycin and glutamate, Right side: after the depolarization the NMDA receptor becomes activated by the binding of glycin and glutamate, the Mg<sup>2+</sup> block ceases

:memantine, : glutamate, O:Mg<sup>2+</sup>, ●: glycin

### Figure 3. The kynurenine pathway

- 1: tryptophan dioxygenase (TDO) and indoleamine-2,3-dioxygenase (IDO), 2: formamidase,
- 3: kynurenine aminotransferase, 4: kynurenine-3-monooxygenase (KMO), 5: kynureninase,
- 6:kynurenine aminotransferase, 7:kynureninase, 8: 3-hydroxyanthranilic acid dioxygenase,
- 9: quinolinic acid phosphoribosyltransferase

#### Figure 4.

A: Normal conditions: After  $Ca^{2+}$  influx from the  $\alpha 7$ -nicotinic acetylcholine receptors, the glutamate is releasing and binding to its receptors (extrasynaptic NMDAR, synaptic NMDAR, AMPAR) on the postsynaptic surface of the neurons.

B: With kynurenic acid: After releasing into the perisynaptic area, KYNA exerts inhibition on extrasynaptic NMDARs and  $\alpha$ 7-nicotinic acetylcholine receptors ,while sparing synaptic NMDAR and AMPA receptor- mediated currents. In some articles it is mentioned that it has a Janus-faced impact on the AMPA receptors- e.g. it exerts a concentration-dependent dual effect.

: glutamate, ●: glycin, : Kynurenic acid