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Effect of Linagliptin vs Placebo on Major Cardiovascular Events in Adults With Type 2 Diabetes and High Cardiovascular and Renal Risk The CARMELINA Randomized Clinical Trial

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IMPORTANCE Type 2 diabetes is associated with increased cardiovascular (CV) risk. Prior trials have demonstrated CV safety of 3 dipeptidyl peptidase 4 (DPP-4) inhibitors but have included limited numbers of patients with high CV risk and chronic kidney disease.

OBJECTIVE To evaluate the effect of linagliptin, a selective DPP-4 inhibitor, on CV outcomes and kidney outcomes in patients with type 2 diabetes at high risk of CV and kidney events.

DESIGN, SETTING, AND PARTICIPANTS Randomized, placebo-controlled, multicenter noninferiority trial conducted from August 2013 to August 2016 at 605 clinic sites in 27 countries among adults with type 2 diabetes, hemoglobin A_{1c} of 6.5% to 10.0%, high CV risk (history of vascular disease and urine-albumin creatinine ratio [UACR] >200 mg/g), and high renal risk (reduced eGFR and micro- or macroalbuminuria). Participants with end-stage renal disease (ESRD) were excluded. Final follow-up occurred on January 18, 2018.

INTERVENTIONS Patients were randomized to receive linagliptin, 5 mg once daily (n = 3494), or placebo once daily (n = 3485) added to usual care. Other glucose-lowering medications or insulin could be added based on clinical need and local clinical guidelines.

MAIN OUTCOMES AND MEASURES Primary outcome was time to first occurrence of the composite of CV death, nonfatal myocardial infarction, or nonfatal stroke. Criteria for noninferiority of linagliptin vs placebo was defined by the upper limit of the 2-sided 95% CI for the hazard ratio (HR) of linagliptin relative to placebo being less than 1.3. Secondary outcome was time to first occurrence of adjudicated death due to renal failure, ESRD, or sustained 40% or higher decrease in eGFR from baseline.

RESULTS Of 6991 enrollees, 6979 (mean age, 65.9 years; eGFR, 54.6 mL/min/1.73 m²; 80.1% with UACR >30 mg/g) received at least 1 dose of study medication and 98.7% completed the study. During a median follow-up of 2.2 years, the primary outcome occurred in 434 of 3494 (12.4%) and 420 of 3485 (12.1%) in the linagliptin and placebo groups, respectively, (absolute incidence rate difference, 0.13 [95% CI, -0.63 to 0.90] per 100 person-years) (HR, 1.02; 95% CI, 0.89-1.17; P < .001 for noninferiority). The kidney outcome occurred in 327 of 3494 (9.4%) and 306 of 3485 (8.8%), respectively (absolute incidence rate difference, 0.22 [95% CI, -0.52 to 0.97] per 100 person-years) (HR, 1.04; 95% CI, 0.89-1.22; P = .62). Adverse events occurred in 2697 (77.2%) and 2723 (78.1%) patients in the linagliptin and placebo groups; 1036 (29.7%) and 1024 (29.4%) had 1 or more episodes of hypoglycemia; and there were 9 (0.3%) vs 5 (0.1%) events of adjudication-confirmed acute pancreatitis.

CONCLUSIONS AND RELEVANCE Among adults with type 2 diabetes and high CV and renal risk, linagliptin added to usual care compared with placebo added to usual care resulted in a noninferior risk of a composite CV outcome over a median 2.2 years.

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ype 2 diabetes is a major risk factor for cardiovascular (CV) and kidney disease. 1,2 Because of a number of CV safety concerns associated with some glucoselowering agents,³⁻⁵ since 2008 evaluation of the CV safety of new glucose-lowering medications, by conducting large noninferiority studies compared with placebo, has been a requirement of both US and European regulators.6,7 Three CV outcome trials of dipeptidyl peptidase 4 (DPP-4) inhibitors have previously demonstrated a noninferior risk of a composite CV outcome vs placebo but not incremental CV efficacy.8-10 One concern has been the within-class heterogeneity observed for risk of hospitalization for heart failure, ranging from no effect of sitagliptin¹⁰ to increased risk with saxagliptin.8 Previous CV outcome trials evaluating glucose-lowering medications for type 2 diabetes enrolled limited numbers of patients with concomitant chronic kidney disease, a group of patients with very high CV risk.2

Linagliptin is a selective, once-daily, DPP-4 inhibitor approved for glycemic management of type 2 diabetes. 11-13 Preliminary early phase 3 clinical data demonstrated that linagliptin had glucose-lowering efficacy and hypothesized potential CV¹² and kidney benefits. 13 The Cardiovascular and Renal Microvascular Outcome Study With Linagliptin (CARMELINA) was designed to evaluate the CV safety and kidney outcomes of linagliptin in patients with type 2 diabetes at high cardiorenal risk.

Methods

The study protocol was approved by the institutional review board or independent ethics committee from each site and all patients provided written informed consent; the trial protocol and statistical analysis plan are available in the online supplement (Supplement 2 and Supplement 3).

The trial was conducted in accordance with the principles of the Declaration of Helsinki and the Harmonized Tripartite Guideline for Good Clinical Practice from the International Conference on Harmonization and was approved by local authorities.

Study Design

The study design has previously been described. ¹⁴ In brief, this was a randomized, double-blind, placebo-controlled clinical trial conducted in 605 centers across 27 countries that aimed to continue until at least 611 participants had an adjudication-confirmed primary outcome event.

Study Participants

Adults with type 2 diabetes, hemoglobin $A_{\rm lc}$ (HbA $_{\rm lc}$) values of 6.5% to 10.0% inclusive, and high CV and renal risk were eligible for inclusion. High CV risk was defined as a history of coronary artery disease, stroke or peripheral vascular disease, and microalbuminuria or macroalbuminuria, defined as urinary albumin:creatinine ratio (UACR) higher than 30 mg/g or equivalent; high renal risk was defined as (1) estimated glomerular filtration rate (eGFR) of 45 to

Key Points

Question What is the effect of linagliptin compared with placebo on risk of major cardiovascular (CV) events in type 2 diabetes at high CV risk?

Findings In this randomized noninferiority trial that included 6979 patients followed up for a median 2.2 years, use of linagliptin compared with usual care resulted in an incidence of the primary composite outcome (CV death, nonfatal myocardial infarction, or nonfatal stroke) of 12.4% vs 12.1%. The hazard ratio had a 1-sided 97.5% confidence limit of 1.17, which met the criterion for noninferiority (upper confidence limit <1.3).

Meaning Among patients with type 2 diabetes and high CV risk, linagliptin, compared with placebo, demonstrated noninferiority with regard to risk of major CV events over a median of 2.2 years.

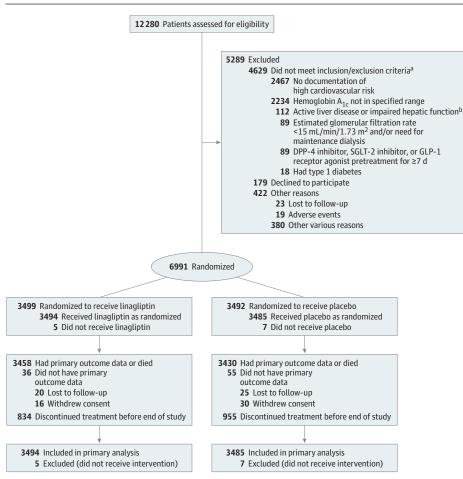
75 mL/min/1.73 m² and UACR higher than 200 mg/g or equivalent or (2) eGFR of 15 to 45 mL/min/1.73 m² regardless of UACR. Participants with end-stage renal disease, defined as an eGFR less than 15 mL/min/1.73 m² or requiring maintenance dialysis, were excluded. Full eligibility criteria are provided in Supplement 1.

Information on race and ethnicity was based on selfclassification by study participants following written informed consent as reported in the electronic case record form (fixed categories) by investigators to allow for outcome subgroup analysis. This information was included partly because of regulatory requests to assess drug effects across different races and ethnicities.

Study Procedures

Eligible individuals were randomized 1:1 using an interactive telephone/web-based system in a block size of 8 to receive once-daily double-blind oral linagliptin, 5 mg, or matching placebo. Treatment assignment was determined by computer-generated random sequence with stratification by geographical region (North America, Latin America, Europe [plus South Africa], and Asia). Following randomization, participants returned for study visits after 12 weeks and then every 24 weeks until study end. A final follow-up visit was scheduled 30 days after the end of treatment. In an attempt to maintain glycemic equipoise, investigators were encouraged to monitor and use additional medication for glycemic control (except DPP-4 inhibitors, glucagon-like peptide 1 receptor agonists, and sodium-glucose cotransporter 2 inhibitors) according to applicable standard of care throughout the trial, independent of study treatment assignment that remained masked. Treatment of other CV risk factors was encouraged in accordance with applicable guidelines and current standards of care. Patients who prematurely discontinued study medication were followed up for ascertainment of CV and secondary kidney outcome events, and attempts were made to collect vital status information on every randomized patient at study completion, in compliance with local law and regulations.

Figure 1. Flow of Participants in the CARMELINA Trial of Linagliptin



DPP-4 indicates dipeptidyl peptidase 4; SGLT-2, sodium-glucose cotransporter 2; GLP-1, glucagon-like peptide 1.

- ^a Patients could have more than 1 reason, so numbers sum to more than 4692
- ^b Defined as serum levels of alanine aminotransferase, aspartate aminotransferase, or alkaline phosphatase at least 3 times the upper limit of normal.

Outcome

The primary outcome was defined as the time to first occurrence of CV death, nonfatal myocardial infarction, or nonfatal stroke (3-point major adverse CV event [MACE]). The original protocol included hospitalization for unstable angina pectoris in the primary outcome (a 4-point MACE). However, this was changed by the steering committee in a protocol amendment in 2016 based on emerging evidence that a primary outcome definition based on 3-point MACE was preferred by regulators and consistent with other CV outcome trials. 15,16

The secondary outcome was defined as time to first occurrence of a composite of adjudication-confirmed ESRD, death due to renal failure, or a sustained decrease of at least 40% in eGFR from baseline. The eGFR criterion was changed from the original decrease of at least 50% in eGFR in accord with recommendations emerging from a workshop convened by the National Kidney Foundation and the US Food and Drug Administration (FDA).^{17,18} Use of the originally planned decrease of at least 50% in eGFR in the kidney composite was evaluated as a tertiary outcome.

Multiple tertiary or exploratory outcomes also were assessed. Among these were time to hospitalization for heart failure, all-cause death, the composite of renal death or

ESRD, and a microvascular composite outcome that included albuminuria, sustained ESRD, sustained decrease of at least 50% in eGFR, death due to renal failure, and major ocular events. Additional tertiary outcomes were progression in albuminuria category and change from baseline in HbA_{1c} . Included in Supplement 1 are definitions of all clinical outcomes assessed as well as a complete list of all predefined end points detailed in the statistical analyses plans.

Adverse events were assessed based on reported events, coded using the *Medical Dictionary for Drug Regulatory Activities*, version 20.1. Adverse events prespecified as being of special interest were hypersensitivity reactions, skin lesions, kidney adverse events, pancreatitis, pancreatic cancer, benign thyroid neoplasms, thyroid cancer, hepatic events, and hypoglycemia.

Cardiovascular outcome events (including hospitalization for heart failure), deaths, secondary kidney outcomes, and pancreatitis were prospectively captured and centrally adjudicated by clinical events committees masked to treatment assignment. Solid cancer cases were assessed for potential relatedness to therapy by independent oncology experts. An independent, unmasked data monitoring committee regularly reviewed trial data throughout the study.

Table 1. Baseline Participant C	haracteristics ^a

Sex, No. (%) Male Female 1344 Race, No. (%) White Asian 307 Black/African American 0therb 166 Region, No. (%) Europe (plus South Africa) Latin America 115 North America 593 Asia 272 Smoking status, No. (%) Never smoker 189 Ex-smoker 123 Current smoker Missing data 4 (0 History of heart failure, No. (%) Stehemic heart disease, No. (%) History of hypertension, No. (%) 202: History of hypertension, No. (%) 317 Atrial fibrillation, No. (%) 290 245 to <60 230 to <45 30 30-300 133 Body mass indexd Hemoglobin A₁c, % Fasting plasma glucose, mg/dL Diabetes duration, y Systolic blood pressure, mm Hg Diastolic blood pressure, mm Hg T7. 8	48 (61.5) 2 46 (38.5)	65.6 (9.1)
Male 214 Female 134 Race, No. (%) White 282 Asian 307 Black/African American 194 Otherb 166 Region, No. (%) 147 Latin America 115 North America 593 Asia 272 Smoking status, No. (%) 89 Ex-smoker 123 Current smoker 362 Missing data 4 (0 History of heart failure, No. (%) 952 Ischemic heart disease, No. (%) 202 History of hypertension, No. (%) 317 Atrial fibrillation, No. (%) 319 eGFR (MDRD), mL/min/1.73 m² 54.7 No. (%) ≥90 363 ≥60 129 ≥45 to <60	46 (38.5)	
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No. (%) ^c <30		546 (15.7)
 <30 696 30-300 146 >300 133 Body mass index^d Hemoglobin A_{1c}, % 7.9 0 Fasting plasma glucose, mg/dL Diabetes duration, y Systolic blood pressure, mm Hg Diastolic blood pressure, mm Hg 77.8 	2 (43-700)	162 (44-750)
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Body mass index ^d 31.4 Hemoglobin A _{1c} , % 7.9 Fasting plasma glucose, mg/dL 151. Diabetes duration, y 15.0 Systolic blood pressure, mm Hg 140. Diastolic blood pressure, mm Hg 77.8		1431 (41.1)
$ \begin{array}{cccc} \text{Hemoglobin A}_{1c}, \% & 7.9 \text{ G} \\ \text{Fasting plasma glucose, mg/dL} & 151 \\ \text{Diabetes duration, y} & 15.0 \\ \text{Systolic blood pressure, mm Hg} & 140 \\ \text{Diastolic blood pressure, mm Hg} & 77.8 \\ \end{array} $		1357 (38.9)
Fasting plasma glucose, mg/dL 151. Diabetes duration, y 15.0 Systolic blood pressure, mm Hg 140. Diastolic blood pressure, mm Hg 77.8		31.3 (5.4)
Diabetes duration, y 15.0 Systolic blood pressure, mm Hg 140 Diastolic blood pressure, mm Hg 77.8		8.0 (1.0)
Systolic blood pressure, mm Hg 140. Diastolic blood pressure, mm Hg 77.8		151.2 (45.0)
Diastolic blood pressure, mm Hg 77.8		14.5 (9.3)
		140.6 (18.0)
Heart rate, /min 69.8		77.9 (10.4)
		69.8 (12.3)
		171 (47)
		91 (39)
		44 (13)
Triglycerides, mg/dL 190	0 (136)	187 (130)
≥1 Glucose-lowering medication, No. (%) 337	78 (96.7)	3376 (96.9)
Metformin 188		1927 (55.3)
Sulfonylurea 110	81 (53.8)	1140 (32.7)
Insulin 205		1995 (57.2)

Table 1. Baseline Participant Characteristics^a (continued)

Characteristics	Linagliptin (n = 3494)	Placebo (n = 3485)
No. of background glucose-lowering therapies, No. (%)		
1	1756 (50.3)	1769 (50.8)
2	1424 (40.8)	1420 (40.7)
3	192 (5.5)	180 (5.2)
≥4	6 (0.2)	7 (0.2)
≥1 Antihypertensive medication, No. (%)	3337 (95.5)	3354 (96.2)
ACE inhibitors or ARBs	2860 (81.9)	2798 (80.3)
β-Blockers	2080 (59.5)	2073 (59.5)
Diuretics	1892 (54.1)	1936 (55.6)
Calcium antagonists	1433 (41.0)	1446 (41.5)
Aspirin, No. (%)	2166 (62.0)	2178 (62.5)
Statins, No. (%)	2495 (71.4)	2523 (72.4)

Abbreviations: ACE, angiotensin-converting enzyme; ARB, angiotensin receptor blocker; eGFR estimated glomerular filtration rate; IQR, interquartile range; MDRD, Modification of Diet in Renal Disease study equation; UACR, urinary albumin: creatinine ratio.

SI conversions: To convert glucose to mmol/L, multiply by 0.0555; to convert total, low-density lipoprotein, and high-density lipoprotein cholesterol to mmol/L, multiply by 0.0259; to convert triglycerides to mmol/L, multiply by 0.0113.

- ^a Data are expressed as mean (SD) unless otherwise specified.
- ^b American Indian/Alaska Native or Native Hawaiian/other Pacific Islander.
- $^{\rm c}$ Data were missing for 3 patients: 2 (0.1%) in the linagliptin group and 1 (<0.1%) in the placebo group.

Statistical Analysis

The primary aim was to establish noninferiority of linagliptin compared with placebo for time to 3-point MACE, defined by the upper limit of the 2-sided 95% confidence interval for the hazard ratio (HR) of linagliptin relative to placebo being less than $1.3.^6$ A sequentially rejective multiple test procedure was applied, first testing the primary hypothesis of noninferiority for linagliptin, and, only if this first test was significant, followed by 2 parallel confirmatory superiority tests of (1) 3-point MACE at a 1-sided α level of 0.5% (ie, $0.2 \times 2.5\%$) and (b) the secondary kidney outcome at a 1-sided α level of 2.0% (ie, $0.8 \times 2.5\%$). ¹⁴ If either had demonstrated superiority, then the other would be tested at a 1-sided α level of 2.5%.

A total of 611 individuals with an adjudication-confirmed 3-point MACE would provide 90% power to demonstrate noninferiority of linagliptin vs placebo at the overall 1-sided α level of 2.5%, assuming an HR of 1.0, and result in a power of 79% to test for superiority at a 1-sided α level of 2.5%, assuming an HR of 0.80. A total of 432 individuals with an adjudication-confirmed secondary kidney composite outcome would provide 85% power to demonstrate superiority of linagliptin vs placebo at a 1-sided α level of 2.5%, assuming an HR of 0.75.

Outcomes were analyzed in all randomized patients treated with at least 1 dose of study drug (treated set) using

(continued)

^d Calculated as weight in kilograms divided by height in meters squared.

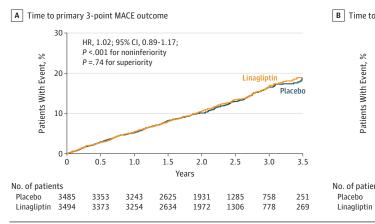
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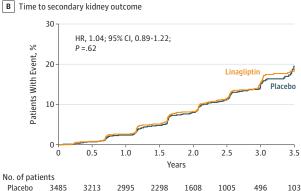
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518

Figure 2. Time to Primary and Secondary Outcomes





Hazard ratio (HR) based on Cox regression analyses in patients treated with at least 1 dose of study drug. A, Time to 3-point major adverse cardiovascular event (MACE) primary outcome (first cardiovascular death, nonfatal myocardial infarction, or nonfatal stroke). Median observation time was 2.1 (interquartile range [IQR], 1.5-2.9) years for linagliptin and 2.1 (IQR, 1.5-2.8) years for placebo.

B, Time to secondary kidney outcome (first sustained end-stage renal disease, death due to renal failure, or sustained decrease of ≥40% in estimated glomerular filtration rate from baseline). Median observation time was 1.9 (IQR, 1.2-2.6) years for linagliptin and 1.7 (IQR, 1.2-2.5) years for placebo.

2345

3018

3227

the intention-to-treat principle. Patients were analyzed according to their randomized treatment group. Additional sensitivity analyses are described in Supplement 1 and included different censoring approaches depending on treatment exposure; eg, excluding patients with important protocol violations or patients with a minimum treatment duration. Subgroup analyses included treatment × subgroup interaction terms and testing. Handling of missing data is described in the statistical analysis plan (Supplement 3). For time-to-event analyses, censoring was applied the day a patient was last known to be free of the specific outcome event. The change from baseline over time was evaluated with a restricted maximum likelihood-based mixed-model repeated-measures approach.

Time-to-event outcomes were analyzed using Cox proportional hazards regression models, with randomized treatment group and geographical region (North America, Latin America, Europe [plus South Africa], and Asia) as factors. Proportional hazards assumptions were explored for the primary and secondary outcomes by plotting log(-log [survival function]) against the log of time by treatment group and checked for parallelism. The interaction of treatment and geographic region with log of time was included in the model described above for an exploratory analysis. Furthermore, Schoenfeld residuals were plotted against time and log (time). For all Cox proportional hazards analyses, the proportional hazard assumption was met.

Adverse event assessments were conducted using descriptive statistics.

There was no adjustment for multiple comparisons; therefore, the results of the subgroup analyses and the analyses for tertiary and exploratory end points should be interpreted as exploratory.

Analyses were conducted with SAS software, version 9.4 (SAS Institute Inc).

Results

Study Participants

3494

Between August 2013 and August 2016, 6991 patients were randomized in 605 centers, of whom 6979 received at least 1 dose of study drug and were included in the primary analysis (Figure 1). Overall, 98.7% of participants completed the study, with 25.6% of patients prematurely discontinuing study drug, producing 6766 patient-year exposures in the linagliptin group vs 6586 patient-year exposures in the placebo group. Vital status was available for 99.7% of patients at study completion, data for the primary MACE outcome were available for 98.7%, and data for the key secondary kidney outcome were available for 88.0% (Figure 1).

Baseline clinical characteristics were balanced between groups (**Table 1**) and patients' CV and kidney disease risk factors were well managed overall: 57% had established CV disease, 74% had prevalent kidney disease (defined as eGFR <60 mL/min/1.73 m² and/or UACR >300 mg/g creatinine), 33% had both CV and kidney disease, and 15.2% had an eGFR less than 30 mL/min/1.73 m². Median treatment duration was 1.9 years in both the linagliptin group and the placebo group, and the median observation time was 2.2 years in both groups.

Primary Outcome

The primary composite 3-point MACE outcome occurred in 434 (12.4%) of 3494 patients randomized to linagliptin (5.77 per 100 person-years) and 420 (12.1%) of 3485 patients randomized to placebo (5.63 per 100 person-years), for an absolute incidence rate difference of 0.13 (95% CI, -0.63 to 0.90) per 100 person-years (HR, 1.02; 95% CI, 0.89-1.17; P<.001 for noninferiority), meeting the criterion for noninferiority (**Figure 2**A). The subsequent testing for superiority

Table 2. Primary, Secondary, and Exploratory Outcomes

	Linagliptin (n = 3494) ^a		Placebo (n = 3485) ^a		Incidence		
Outcomes	No. (%)	Rate per 100 Patient-Years	No. (%)	Rate per 100 Patient-Years	Rate Difference, Linagliptin – Placebo (95% CI)	Hazard Ratio (95% CI) ^b	P Value
Primary Outcome (3-Point MACI	E)						
Cardiovascular death, nonfatal myocardial infarction, or nonfatal stroke	434 (12.4)	5.77	420 (12.1)	5.63	0.13 (-0.63 to 0.90)	1.02 (0.89-1.17)	<.001 ^c ; .74 ^d
Cardiovascular deathe	221 (6.3)		225 (6.5)				
Nonfatal myocardial infarction ^e	154 (4.4)		132 (3.8)				
Nonfatal stroke ^e	59 (1.7)		63 (1.8)				
Secondary Kidney Composite Ou	itcome						
Sustained ESRD, death due to kidney failure, or sustained decrease of ≥40% in eGFR from baseline	327 (9.4)	4.89	306 (8.8)	4.66	0.22 (-0.52 to 0.97)	1.04 (0.89-1.22)	.62
ESRDe	63 (1.8)		64 (1.8)				
Death due to renal failure ^e	1 (0.03)		1 (0.03)				
Sustained decrease of ≥40% in eGFR ^e	263 (7.5)		241 (6.9)				
Exploratory Cardiovascular and	Death Outcome	s					
All-cause death	367 (10.5)	4.69	373 (10.7)	4.80	-0.11 (-0.79 to 0.58)	0.98 (0.84-1.13)	.74
Cardiovascular death	255 (7.3)	3.26	264 (7.6)	3.40	-0.14 (-0.71 to 0.44).	0.96 (0.81-1.14)	.63
Noncardiovascular death	112 (3.2)	1.43	109 (3.1)	1.40	0.03 (-0.34 to 0.40)	1.02 (0.78-1.33)	.89
Fatal myocardial infarction	11 (0.3)	0.14	14 (0.4)	0.18	-0.04 (-0.17 to 0.09)	0.78 (0.36-1.72)	.54
Nonfatal myocardial infarction	156 (4.5)	2.06	135 (3.9)	1.80	0.27 (-0.18 to 0.71)	1.15 (0.91-1.45)	.23
Fatal or nonfatal myocardial infarction	165 (4.7)	2.18	146 (4.2)	1.94	0.24 (-0.22 to 0.70)	1.12 (0.90-1.40)	.30
Fatal stroke	17 (0.5)	0.22	16 (0.5)	0.21	0.01 (-0.13 to 0.16)	1.05 (0.53-2.09)	.88
Nonfatal stroke	65 (1.9)	0.85	73 (2.1)	0.96	-0.12 (-0.42 to 0.19)	0.88 (0.63-1.23)	.45
Fatal or nonfatal stroke	81 (2.3)	1.06	88 (2.5)	1.16	-0.11 (-0.44 to 0.23)	0.91 (0.67-1.23)	.53
4-point MACE (3-point MACE plus hospitalization for unstable angina)	463 (13.3)	6.20	459 (13.2)	6.21	-0.02 (-0.82 to 0.79)	1.00 (0.88-1.13)	.96
Hospitalization for unstable angina	42 (1.2)	0.55	48 (1.4)	0.63	-0.09 (-0.33 to 0.16)	0.87 (0.57-1.31)	.50
Coronary revascularization procedure	160 (4.6)	2.12	149 (4.3)	1.99	0.13 (-0.33 to 0.59)	1.07 (0.85-1.33)	.57
Hospitalization for heart failure	209 (6.0)	2.77	226 (6.5)	3.04	-0.27 (-0.82 to 0.28)	0.90 (0.74-1.08)	.26
Exploratory Kidney and Microva	scular Outcome	S					
Sustained ESRD, death due to kidney failure, or sustained decrease of ≥50% in eGFR from baseline	230 (6.6)	3.39	227 (6.5)	3.42	-0.03 (-0.65 to 0.60)	0.98 (0.82-1.18)	.87
Death due to renal failure or sustained ESRD	136 (3.9)	1.78	154 (4.4)	2.04	-0.26 (-0.70 to 0.18)	0.87 (0.69-1.10)	.24
Albuminuria progression	763 (35.3)	21.36	819 (38.5)	24.54	-3.18 (-5.44 to -0.92)	0.86 (0.78-0.95)	.003
Composite microvascular end point ^f	785 (36.3)	22.14	843 (39.6)	25.42	-3.28 (-5.59 to -0.97)	0.86 (0.78-0.95)	.003
Composite ocular end point ^g	36 (1.0)	0.47	49 (1.4)	0.65	-0.18 (-0.41 to 0.01)	0.73 (0.47-1.12)	.15

Abbreviations: eGFR, estimated glomerular filtration rate; ESRD, end-stage renal disease; MACE, major adverse cardiovascular events.

74

^a The mean observation time and cumulative time in study, respectively, were $2.2\,\mbox{years}$ and $7829.1\,\mbox{person-years}$ for linagliptin and $2.2\,\mbox{years}$ and $7781.6\,$ patient years for placebo.

 $^{^{\}rm b}$ Hazard ratio based on Cox regression analyses in patients treated with at least 1 dose of study drug.

^c P value for noninferiority.

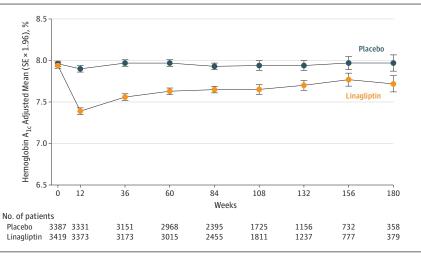
^d P value for superiority.

^e Events for individual components of composite outcomes were counted only when they were the first event in the composite.

 $^{^{\}rm f}$ Time to first ESRD, death due to renal failure, sustained decrease of at least 50% in eGFR, albuminuria progression, retinal photocoagulation, anti–vascular endothelial growth factor injection therapy for diabetic $\,$ retinopathy, vitreous hemorrhage, and diabetes-related blindness.

 $^{^{\}rm g}$ Time to first use of retinal laser coagulation therapy or treatment with intravitreal injection(s) of an anti-vascular endothelial growth factor therapy for diabetic retinopathy or vitreous hemorrhage or diabetes-related blindness.

Figure 3. Hemoglobin A_{1c} Measurements Over Time by Treatment Group



Median time in study was 2.0 (interquartile range [IQR], 1.3-2.6) years for linagliptin and 2.0 (IQR, 1.2-2.6) years for placebo. Baseline values are descriptive; postbaseline data from mixed-model repeated-measures analysis are adjusted for treatment, region, baseline hemoglobin A_{1c} value, week, treatment × week interaction, and baseline hemoglobin A_{1c} value × week interaction.

according to the prespecified testing procedure was not statistically significant (P = .74).

Secondary Outcome

The risk of the secondary kidney composite outcome was not significantly different between the groups randomized to linagliptin (9.4%; 4.89 per 100 person-years) and placebo (8.8%; 4.66 per 100 person-years) (absolute incidence rate difference, 0.22 [95% CI, -0.52 to 0.97] per 100 person-years), and the test for superiority did not achieve statistical significance (HR, 1.04; 95% CI, 0.89-1.22; P = .62) (Figure 2B). Prespecified sensitivity and subgroup analyses demonstrated similar results (Supplement 1), except for some indication of heterogeneity for duration of type 2 diabetes (P = .04 for interaction).

Exploratory CV Analyses

Prespecified sensitivity analyses of the primary outcome yielded consistent results (Supplement 1). Overall, the estimate of effect for the primary outcome was consistent across prespecified subgroups, except for some indication of heterogeneity for subgroups of hemoglobin $A_{\rm lc}$ (P=.04 for interaction) and use of calcium channel blockers (P=.04 for interaction), but no adjustment for multiple comparisons was made. No significant differences between randomized groups were observed for the risk of individual components of the primary MACE outcome, including CV death (Table 2).

There was no significant difference between the groups in the incidence of death due to any cause with linagliptin (10.5%; 4.69 per 100 person-years) and placebo (10.7%; 4.80 per 100 person-years), for an absolute incidence rate difference of -0.11 (95% CI, -0.79 to 0.58) (HR, 0.98; 95% CI, 0.84-1.13; P=.74) (Table 2). The 4-point MACE outcome occurred in 463 (13.3%) of 3493 patients in the linagliptin group vs 459 (13.2%) of 3485 patients in the placebo group, for an absolute incidence rate difference of -0.02 (95% CI, -0.82 to 0.79) (HR, 1.00; 95% CI, 0.88-1.13; P=.96) (Table 2 and Supplement 1).

Hospitalization for heart failure occurred in 209 of 3494 patients randomized to linagliptin (6.0%; 2.77 per 100 person-years) and in 226 of 3485 patients randomized to placebo (6.5%; 3.04 per 100 person-years), for an absolute incidence rate difference of -0.27 (95% CI, -0.82 to 0.28), with no significant difference between the 2 treatment groups (HR, 0.90; 95% CI, 0.74-1.08; P = .26) (Table 2 and Supplement 1).

Exploratory Kidney and Microvascular Analyses

The exploratory composite of sustained ESRD, death due to renal failure, or sustained decrease of 50% or more in eGFR showed results similar to the secondary kidney composite outcome (Table 2). An additional exploratory outcome of the components of the composite outcome comprising a composite of sustained ESRD or death due to renal failure was also not statistically different (linagliptin, 3.9%; 1.78 per 100 person-years vs placebo, 4.4%; 2.04 per 100 personyears; absolute incidence rate difference, -0.26; 95% CI, -0.70 to 0.18) (HR, 0.87; 95% CI, 0.69-1.10; P = .24). Progression of albuminuria category (ie, change from normoalbuminuria to microalbuminuria/macroalbuminuria or change from microalbuminuria to macroalbuminuria) occurred less frequently in the linagliptin group (763/2162 [35.3%]; 21.4 per 100 person-years) than in the placebo group (819/2129 [38.5%]; 24.5 per 100 person-years; absolute incidence rate difference, -3.18; 95% CI, -5.44 to -0.92) (HR, 0.86; 95% CI, 0.78-0.95; P = .003) (Table 2 and Supplement 1). Another prespecified microvascular composite outcome including both kidney and major ocular events occurred less frequently in linagliptin-treated participants (Table 2 and Supplement 1).

Exploratory Glycemic and CV Risk Factor Analyses

After 12 weeks of treatment, the adjusted mean difference in hemoglobin A_{1c} with linagliptin vs placebo was -0.51% (95% CI, -0.55% to -0.46%) (Figure 3), with an overall difference over the full study duration of -0.36% (95% CI, -0.42% to -0.29% based on least-square means), without

Table 3. Adverse Events, Including Hypoglycemic Events, by Treatment Group^a

	No. (%)		
Adverse Events	Linagliptin (n = 3494)	Placebo (n = 3485)	
Any adverse events	2697 (77.2)	2723 (78.1)	
Serious adverse events	1293 (37.0)	1343 (38.5)	
Adverse events leading to study drug discontinuation	359 (10.3)	402 (11.5)	
Hypersensitivity reactions ^b	114 (3.3)	109 (3.1)	
Angioedema events with concomitant ACE inhibitor/ ARB use at baseline	13 (0.5)	16 (0.6)	
Pemphigoid	7 (0.2)	0 (0.0)	
Skin lesions	5 (0.1)	1 (<0.1)	
Acute pancreatitis (adjudication confirmed)	9 (0.3) ^c	5 (0.1)	
Chronic pancreatitis (adjudication confirmed)	2 (0.1)	3 (0.1)	
All cancers	116 (3.3)	134 (3.8)	
Colon cancer	6 (0.2)	8 (0.2)	
Pancreatic cancer (adjudication confirmed)	11 (0.3)	4 (0.1)	
Gastric cancer	0	3 (0.1)	
Hypoglycemic events			
Investigator-reported hypoglycemia	1036 (29.7)	1024 (29.4)	
Confirmed hypoglycemic adverse events with plasma glucose <54 mg/dL (<3.0 mmol/L) or severe events ^d	557 (15.9)	572 (16.4)	
Severe events ^d	106 (3.0)	108 (3.1)	

Abbreviations: ACE, angiotensin-converting enzyme; ARB, angiotensin receptor blocker.

an increase in overall hypoglycemia observed (linagliptin group, 19.9 per 100 person-years compared with placebo group, 20.2 per 100 person-years) and in the context of a higher use of additional glucose-lowering medications in the placebo group (HR, 0.76; 95% CI, 0.69-0.84; P < .001); notably fewer patients in the linagliptin group initiated or increased doses of preexisting insulin therapy (HR, 0.72; 95% CI, 0.65-0.81; P < .001). There were no significant differences in new introductions of blood pressure-lowering medications, anticoagulants, or low-density lipoprotein cholesterol-lowering drugs between the linagliptin and placebo groups (Supplement 1), and overall, changes in weight, systolic and diastolic blood pressure, and low- and high-density lipoprotein cholesterol were not different between groups (Supplement 1).

Adverse Events

The frequency of occurrence of adverse events, serious adverse events, and adverse events leading to study drug dis-

continuation for patients treated with linagliptin vs placebo were 77.2% vs 78.1%, 37.0% vs 38.5%, and 10.3% vs 11.5%, respectively (Table 3). Numerical imbalances for pemphigoid events (linagliptin, n=7 [0.2%] vs placebo, n=0], skin lesions (linagliptin, n=5 [0.2%] vs placebo, n=1 [<0.1%)]), and adjudication-confirmed acute pancreatitis events (linagliptin, n=9 [0.3%] vs placebo, n=5 [0.1%]) were observed.

The frequency of malignancies was 3.3% (n = 116) in the linagliptin group and 3.8% (n = 134) in the placebo group. Overall, reports of pancreatic cancers were rare but were numerically higher in the linagliptin group (n=11 [0.3%]) than in the placebo group (n=4 [0.1%]). The oncology expert assessment committee deemed 1 case in each treatment group to be possibly related to study drug treatment.

The frequency of confirmed hypoglycemic adverse events (including severe hypoglycemia) was 15.9% in the linagliptin group and 16.4% in the placebo group. A numerically higher rate of hypoglycemia was observed with linagliptin compared with placebo in patients taking sulfonylurea at baseline (15.5 per 100 person-years compared with placebo, 13.7 per 100 person-years) but not in other subgroups at increased risk of hypoglycemia (Supplement 1).

Discussion

In this large, multicenter, randomized clinical trial involving a population of patients with type 2 diabetes at high risk of CV events and with a high prevalence of kidney disease, linagliptin added to usual care was noninferior to placebo added to usual care for the primary outcome of 3-point MACE and did not demonstrate evidence of CV benefit. Similarly, there was no significant benefit of linagliptin compared with placebo for the incidence of the secondary kidney composite outcome. The MACE and composite kidney findings were consistent across all prespecified sensitivity analyses and most subgroups.

Patients with advanced chronic kidney disease have largely been excluded from previous CV outcome trials of glucose-lowering drugs, resulting in limited available information about use of these drugs in this particular population.¹⁹ This was not the case for the present trial, in which 74% of patients had prevalent chronic kidney disease, 43% had an eGFR below 45 mL/min/1.73 m², and 15.2% had an eGFR below 30 mL/min/1.73 m². Overall, the high event rate for 3-point MACE observed (5.63 per 100 person-years) demonstrates that this trial recruited one of the highest-risk cohorts studied to date in CV outcome trials of glucoselowering medications conducted in accordance with FDA requirements for assessing CV safety of drugs for type 2 diabetes, and underscores the clinical effect of kidney impairment.² Consequently, rates of CV death in the placebo group were also high at 3.40 per 100 person-years and were considerably higher than in prior DPP-4 inhibitor trials, with corresponding rates ranging from 1.45 to 2.45 per 100 person-years.8-10

To our knowledge, this is the first CV outcome trial of a glucose-lowering medication to study the effects on

^a Adverse events are classified based on MedDRA version 20.1 and include adverse events in patients treated with at least 1 dose of study drug until less than 7 days after the last intake of study medication, with the exception of pancreatitis and cancers, which include all events in patients treated with at least 1 dose of study drug until study end.

^b Based on 276 MedDRA version 20.1 preferred terms.

^c There were 2 fatal cases of pancreatitis (0.1%).

^d Requiring the assistance of another person to actively administer carbohydrate, glucagon, or other resuscitative actions.

progression of kidney disease in patients with type 2 diabetes in an adequately powered trial. The study results did not demonstrate any benefit of linagliptin for the secondary composite kidney outcome. Patients with kidney impairment commonly have long-term hyperglycemic control, consistent with the baseline HbA_{1c} of 8% in this trial. 19,20 This is likely a reflection of the reduced access to an available armamentarium of glucose-lowering therapies due to label restrictions for patients with low eGFR. $^{19-21}$ The modest HbA $_{1c}$ reductions without an increase in hypoglycemia observed with linagliptin in the present trial are therefore important for patients with established kidney disease, particularly because few glucose-lowering agents have been studied in patients with advanced chronic kidney disease. 19 The results of exploratory analyses in this study also support the hypothesis that linagliptin may reduce mean albuminuria progression compared with placebo in this population, a hypothesis that emerged from previous studies of other DPP-4 inhibitors, although an effect of glucose control cannot be excluded.22,23 The ADVANCE trial suggested that glucose lowering may have potential to reduce the risk of ESRD²⁴; however, that trial had a longer mean follow-up (5 years vs 2.2 years) and a larger glycemic difference between randomized groups (0.7% vs 0.4%).

Hospitalization for heart failure was one of numerous prespecified exploratory outcomes in this study, with all suspected events captured systematically and undergoing central adjudication, and with a formal heart failure statistical analysis plan prospectively formulated. There was no significant difference between the linagliptin and placebo groups in the risk of heart failure hospitalization. This finding is particularly relevant in view of previous reports with saxagliptin (SAVOR-TIMI 53)8,26 and alogliptin (EXAMINE)9,27 that reported significant and numerical increases in this outcome, respectively; whereas sitagliptin (TECOS) showed no effect. 10,28

Limitations

This trial has several limitations. First, the study population was at high CV and renal risk, with a lower mean eGFR than typically is studied in CV outcome trials. However, 38% of study participants did not have low eGFR, and therefore, the study results would not necessarily be applicable to individuals without the features of the overall study population. Second, glycemic control was improved with linagliptin despite attempts to maintain glucose equipoise and despite higher use of glucose-lowering medications in the placebo group. Although intensive glucose control per se has not been clearly associated with either CV mortality²⁹ or improvement in ESRD or death due to renal failure, 30 the possibility that differences in glucose control might have had a role in the exploratory outcome of albuminuria progression cannot be excluded. Third, a potential limitation in interpreting the kidney effects is the trial duration, which could have been too short to modulate kidney-related clinical outcomes like ESRD. Fourth, while information was available on introduction of therapies after baseline, information was not reported on whether use of linagliptin was related to patients reducing use of other therapies. Fifth, although adverse events were balanced across treatment groups, some adverse events occurred with numerical imbalances, but the trial was not powered to assess effects on cancer risk or individual adverse events. However, the pattern of adverse events aligns with that observed for other incretin therapies, 31,32 and further postmarketing studies and future trial data on linagliptin will be informative.³³

Conclusions

Among adults with type 2 diabetes and high CV and renal risk, linagliptin added to usual care compared with placebo added to usual care resulted in a noninferior risk of a composite CV outcome over a median 2.2 years.

ARTICLE INFORMATION

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Correction: This article was corrected on November 29, 2018, for an author name misspelling and an incorrect *P* value in a figure.

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Author Contributions: Drs Rosenstock and McGuire had full access to all of the data in the study and take responsibility for the integrity of the data and the accuracy of the data analysis. Concept and design: Rosenstock, Perkovic, Johansen, Kahn, Marx, Toto, Wanner, Zinman, Woerle, Baanstra, Meinicke, George, von Eynatten, McGuire.

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