

1 **MINIMAL ENERGY POINT SYSTEMS ON THE UNIT CIRCLE AND**
2 **THE REAL LINE**

3 MARCELL GAÁL*, BÉLA NAGY†, ZSUZSANNA NAGY-CSIHA‡, AND SZILÁRD
4 GY. RÉVÉSZ*

5 **Abstract.**

6 In this paper, we investigate discrete logarithmic energy problems in the unit circle. We study
7 the equilibrium configuration of n electrons and $n - 1$ pairs of external protons of charge $+1/2$. It
8 is shown that all the critical points of the discrete logarithmic energy are global minima, and they
9 are the solutions of certain equations involving Blaschke products. As a nontrivial application, we
10 refine a recent result of Simanek, namely, we prove that any configuration of n electrons in the unit
11 circle is in stable equilibrium (that is, they are not just critical points but are of minimal energy)
12 with respect to an external field generated by $n - 1$ pairs of protons.

13 **Key words.** Blaschke product, electrostatic equilibrium, potential theory, external fields

14 **AMS subject classifications.** 31C20, 30J10, 78A30

15 **1. Introduction and preliminaries.** The motivation of this work comes from
16 certain equilibrium questions which, in turn, have roots in rational orthogonal systems. Exploring the connection between critical points of orthogonal polynomials and equilibrium points goes back to Stieltjes. For more on this connection, see, e.g., [9], [10] and the references therein.

20 Rational orthogonal systems are widely used on the area of signal processing, and also on the field of system and control theory. These systems consist of rational functions with poles located outside the closed unit disk. A wide class of rational orthogonal systems is the so-called Malmquist-Takenaka system from which one can recover the usual trigonometric system, the Laguerre system and the Kautz system as well. In earlier works, in analogy with the discrete Fourier transform, a discretized version of the Malmquist-Takenaka system was introduced.

27 In signal processing and system identification (e.g. mechanical systems related to control theory) the rational orthogonal bases and Malmquist-Takenaka systems (e.g. discrete Laguerre and Kautz systems) are more efficient than the trigonometric system in the determination of the transfer functions. There are lots of results in this field, see e.g. [3] and the references therein, or [13] and [7].

32 In connection with potential theory, it was studied (e.g. in [14]) whether the discretization nodes satisfy certain equilibrium conditions, namely, whether they arise from critical points of a logarithmic potential energy. Such discretizations appear naturally, see e.g. [1] by Bultheel et al or [5] by Golinskii. The question whether the critical points are minima was proposed by Pap and Schipp [14, 15]. In this paper, we follow this line of research. After this introduction and statements of results, we study on the unit circle a quite general logarithmic energy which is determined by a signed measure, and prove that after inverse Cayley transform the transformed energy on the real line differs only in an additive constant. Next using a recent result of Semmler and Wegert [16] we give an affirmative answer to the question posed by

*Alfréd Rényi Institute of Mathematics, Budapest, Hungary (gaal.marcell@renyi.hu, revesz.szilard@renyi.hu).

†MTA-SZTE Analysis and Stochastics Research Group, University of Szeged, Szeged, Hungary (nbela@math.u-szeged.hu).

‡Institute of Mathematics and Informatics, University of Pécs, (ncszu@gamma.ttk.pte.hu) and Department of Numerical Analysis, Eötvös Loránd University, Budapest, Hungary .

42 Pap and Schipp concerning the critical points. Finally, as an application, we present
 43 a refinement of a result of Simanek [18].

44 First let us start with some notation and essential background material. We
 45 use the standard notations $\mathbb{D} := \{z \in \mathbb{C} : |z| < 1\}$, $\partial\mathbb{D} := \{z \in \mathbb{C} : |z| = 1\}$,
 46 $\mathbb{D}^* := \{z \in \mathbb{C} : |z| > 1\}$, $\mathbb{T} := \mathbb{R}/2\pi\mathbb{Z}$ and $\zeta^* := 1/\bar{\zeta}$ ($\zeta \neq 0$). We also use Blaschke
 47 products, defined for $a_1, \dots, a_n \in \mathbb{D}$ and χ , $|\chi| = 1$ as

$$48 \quad (1.1) \quad B(z) := \chi \prod_{k=1}^n \frac{z - a_k}{1 - \bar{a}_k z}.$$

49 In particular, when the leading coefficient $\chi = 1$, $B(z)$ is called *monic* Blaschke
 50 product.

51 We assume $B'(0) \neq 0$. In this case the well-known Walsh' Blaschke theorem (see
 52 for instance [17], p. 377) says that $B'(z) = 0$ has $2n - 2$ (not necessarily different)
 53 solutions, where $n - 1$ of them (counted with multiplicites) are in the unit disk, and
 54 if $\zeta \in \mathbb{D} \setminus \{0\}$ satisfies $B'(\zeta) = 0$, then $\zeta^* := 1/\bar{\zeta}$ is also a critical point, $B'(\zeta^*) = 0$,
 55 with the same multiplicity as ζ . It also follows that then $B'|_{\partial\mathbb{D}} \neq 0$.

56 Next, we investigate the structure of solutions of the equation

$$57 \quad (1.2) \quad B(e^{it}) = e^{i\delta},$$

58 where $B(\cdot)$ is a Blaschke product. It is standard to see that $\Im \log B(e^{it})$ can be defined
 59 continuously and it is strictly increasing on $[0, 2\pi]$ from

$$60 \quad \alpha := \Im \log B(1) = \arg B(1), \quad \alpha \in [-\pi, \pi)$$

61 to $\alpha + 2n\pi$, see, e.g. [17], pp. 373-374. Therefore (1.2) has n different solutions in
 62 $t \in [0, 2\pi)$ for any $\delta \in \mathbb{R}$. Hence it is logical to consider n -tuples of different solutions
 63 as solution vectors for (1.2).

64 Now, we are to reduce different types of symmetries among the solution vectors
 65 step-by-step. For given $\delta \in \mathbb{R}$, consider

$$66 \quad (1.3) \quad \{(\tau_1, \dots, \tau_n) \in \mathbb{R}^n : B(e^{i\tau_j}) = e^{i\delta}, j = 1, \dots, n\}.$$

67 We can restrict our attention to the reduced set $\tau_1 \leq \tau_2 \leq \dots \leq \tau_n \leq \tau_1 + 2\pi$ without
 68 loss of generality, for picking any τ_1 we can normalize mod 2π and then order the
 69 remaining τ_j . Actually, since the τ_j are different, all such solutions of (1.2) belong to
 70 the open set

$$71 \quad (1.4) \quad A := \{(\tau_1, \tau_2, \dots, \tau_n) \in \mathbb{R}^n : \tau_1 < \tau_2 < \dots < \tau_n < \tau_1 + 2\pi\}.$$

72 It is a standard step (see [17] loc. cit.) that one can define the functions $\delta \mapsto \tau_j(\delta)$
 73 such that they are continuously differentiable, strictly increasing, and $\tau_1(\delta) < \dots <$
 74 $\tau_n(\delta) < \tau_1(\delta) + 2\pi$ for all $\delta \in \mathbb{R}$, while $B(\exp(i\tau_j(\delta))) = \exp(i\delta)$ $j = 1, \dots, n$. As
 75 $B(e^{i0}) = e^{i\alpha}$, we have $0 \in \{\tau_1(\alpha), \tau_2(\alpha), \dots, \tau_n(\alpha)\}$. By relabelling again, if necessary,
 76 we may assume that

$$77 \quad (1.5) \quad \tau_1(\alpha) = 0.$$

78 Hence $T(\delta) := (\tau_1(\delta), \dots, \tau_n(\delta))$ can be viewed as a smooth arc lying in $A \subset \mathbb{R}^n$.
 79 Moreover, the graph $S_{\mathbb{R}} := \{T(\delta) : \delta \in \mathbb{R}\}$ contains all the solutions of (1.2) from A ,
 80 that is, if $\mathbf{t} := (t_1, \dots, t_n) \in A$ and $\lambda \in \mathbb{R}$ are such that $B(\exp(it_j)) = \exp(i\lambda)$, $j =$

81 $1, \dots, n$ hold, then there exists $\delta \in \mathbb{R}$ such that $\mathbf{t} = T(\delta)$. Furthermore, $\exp(i\tau_j(\delta +$
 82 $2n\pi)) = \exp(i\tau_j(\delta))$ for $j = 1, 2, \dots, n$, $\delta \in \mathbb{R}$. We introduce the set

83 (1.6)
$$S_0 := S_{\mathbb{R}} \cap [0, 2\pi)^n = \{T(\delta) : \delta \in [\alpha, \alpha + 2\pi)\}$$

84 where we used (1.5). We call the set

85 (1.7)
$$S := \{T(\delta) : \delta \in [\alpha, \alpha + 2n\pi)\}$$

86 the *solution curve*. Note that

87
$$S = S_{\mathbb{R}} \cap Q, \text{ where}$$

88
$$Q := [0, 2\pi) \times [\tau_2(\alpha), \tau_2(\alpha) + 2\pi) \times \dots \times [\tau_n(\alpha), \tau_n(\alpha) + 2\pi)$$

90 where we also used (1.5), so $[\tau_1(\alpha), \tau_1(\alpha) + 2\pi) = [0, 2\pi)$. Geometrically, S can be
 91 obtained from S_0 with reflections and translations, while $S_{\mathbb{R}}$ can be obtained from S
 92 with translations only. Another useful property of S is that for each $\beta \in [0, 2\pi)$ there
 93 is exactly one $\delta \in [\alpha, \alpha + 2n\pi)$ such that $\tau_1(\delta) = \beta$.

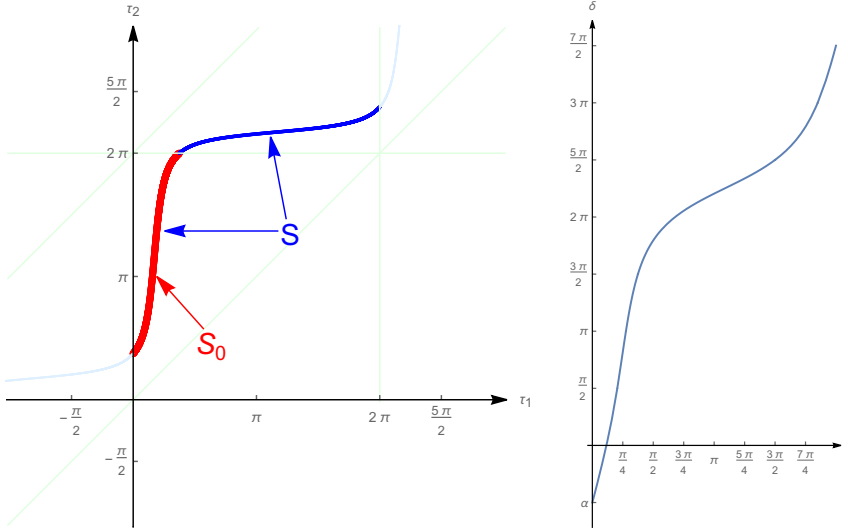


FIGURE 1. *Left: solution curve S of the monic Blaschke product with zeros at $1/2$ and $(1+i)/2$, $0 \leq \tau_1 < \tau_2 < 2\pi$, $B(e^{i\tau_1}) = B(e^{i\tau_2}) = e^{i\delta}$, $\alpha \leq \delta \leq \alpha + 2\pi$, where $\alpha = -\pi/2$ now. Right: argument of the same monic Blaschke product, $\delta = \arg B(e^{it})$.*

94 These are depicted on the left half of Figure 1 where S_0 is the thick arc and it is
 95 continued above with another arc. These two arcs together form S and describe the
 96 motions of τ_1, τ_2 together as $\exp(i\delta)$ goes around the unit circle twice (δ grows from
 97 α to $\alpha + 4\pi$). Extending these two arcs with the very thin arcs, we obtain $S_{\mathbb{R}}$, the
 98 full solution curve.

99 Now we recall the question raised by Pap and Schipp in [15]. Consider the pairs
 100 of protons, each of charge $+1/2$, at $\zeta_1, \zeta_1^*, \dots, \zeta_{n-1}, \zeta_{n-1}^*$ as the critical points of a
 101 (monic) Blaschke product of degree n , and the (doubled) discrete energy of electrons
 102 restricted to the unit circle

103 (1.8)
$$W(w_1, \dots, w_n) := \sum_{k=1}^{n-1} \sum_{j=1}^n \log |(w_j - \zeta_k)(w_j - \zeta_k^*)| - 2 \sum_{1 \leq j < k \leq n} \log |w_j - w_k|$$

104 where $|w_1| = 1, \dots, |w_n| = 1$. The set $S_{\mathbb{R}}$ connected to the same monic Blaschke
105 product yields critical configurations of electrons for each fixed δ (which corresponds to
106 fixing one of the electrons), according to e.g. [15]. In other words, for $a_1, \dots, a_n \in \mathbb{D}$,
107 using the monic Blaschke product with zeros at a_1, \dots, a_n one can construct pairs of
108 protons as solutions of $B'(z) = 0$, and, for any given $\delta \in [0, 2\pi)$, the corresponding
109 configuration of electrons as all solutions of $B(z) = e^{i\delta}$. Then according to the
110 result of Pap and Schipp, Theorem 4 from [15], these configurations of electrons are
111 critical points of W . The question posed on p. 476 of [15] is then: Are these critical
112 points (local) minima of the restricted energy function \widetilde{W} where $\widetilde{W}(\tau_1, \dots, \tau_n) :=$
113 $W(e^{i\tau_1}, \dots, e^{i\tau_n}), \tau_1, \dots, \tau_n \in \mathbb{R}$?

114 We give a positive answer to this question in general. Note that two special cases
115 were solved in [15] with different methods. Our answer is the following. There are
116 no other critical points on the unit circle (where the tangential gradient vanishes).
117 Moreover, all the points on the set $S_{\mathbb{R}}$ are global minimum points of the restricted
118 energy function \widetilde{W} .

119 **THEOREM 1.1.** *Let $a_1, \dots, a_n \in \mathbb{D}$ and $B(z)$ be the monic Blaschke product (1.1)*
120 *with zeros at a_1, \dots, a_n . Assuming $B'(0) \neq 0$, list up the critical points of B as*
121 *$\zeta_1, \dots, \zeta_{n-1} \in \mathbb{D} \setminus \{0\}$ and $\zeta_1^*, \dots, \zeta_{n-1}^* \in \mathbb{D}^*$.*

122 *Then the tangential gradient of W vanishes on the points corresponding to the set*
123 *$A \cap Q$ defined in (1.4) exactly on the set S .*

124 *More precisely, on $A \cap Q$, it holds that $\nabla \widetilde{W}(\tau_1, \dots, \tau_n) = 0$ if and only if*
125 *$(\tau_1, \dots, \tau_n) = T(\delta)$ for some $\delta \in [\alpha, \alpha + 2n\pi)$.*

126 *Furthermore, all points of $S_{\mathbb{R}}$ are global minimum points of \widetilde{W} .*

127 Let us recall here a recent result of Simanek [18, Theorem 2.1]. Briefly, he estab-
128 lished that for any configuration of electrons on the unit circle, there is an external
129 field (collection of protons) such that the electrons are in electrostatic equilibrium
130 (that is, the gradient of the energy is zero). We are going to refine this result by de-
131 termining the number of pairs of protons and their locations using the solution curve
132 defined in (1.7).

133 For the following we need some more results on Blaschke products. Namely for
134 given $z_1, z_2, \dots, z_n \in \mathbb{C}$, $|z_j| = 1$, $z_j \neq z_k$ ($j \neq k$), we need to find a Blaschke product
135 $B(\cdot)$ of degree m , such that

$$136 \quad (1.9) \quad B(z_j) = \chi \prod_{k=1}^m \frac{z_j - a_k}{1 - \bar{a}_k z_j} = 1, \quad j = 1, 2, \dots, n.$$

137 The first result of this kind was established by Cantor and Phelps in [2] (for some m)
138 and the stronger form with degree $m \leq n - 1$ was given by Jones and Ruscheweyh
139 in [11], see also a paper by Hjelle [8]. By using the results of Jones and Ruscheweyh,
140 Hjelle showed that there is a Blaschke product $B(z)$ of degree $m = n$ such that
141 (1.9) holds, see [8], p. 44. We will use this particular Blaschke product $B(z) =$
142 $B(z_1, z_2, \dots, z_n; z)$ corresponding to z_1, z_2, \dots, z_n . Note that Hjelle's Blaschke prod-
143 uct is not unique, since there is an extra interpolation condition. Observe that the
144 extra interpolation condition can be chosen so that $B'(0) \neq 0$ is satisfied.

145 **THEOREM 1.2.** *For distinct $z_1, \dots, z_n \in \partial\mathbb{D}$ fix a Blaschke product $B(z)$ so that*
146 *(1.9) holds with $m = n$ and $B'(0) \neq 0$. Denote the critical points of $B(z)$ in the unit*
147 *disk by $\zeta_1, \zeta_2, \dots, \zeta_{n-1}$.*

148 *Then the (doubled) energy function $W(w_1, \dots, w_n)$, constructed by means of these*
149 *points $\zeta_1, \zeta_2, \dots, \zeta_{n-1}$ according to (1.8), has critical point at $(w_1, \dots, w_n) = (z_1, \dots, z_n)$ ■*

150 (even regarded as a point of \mathbb{C}^n).

151 Moreover, on $(\partial\mathbb{D})^n$, $W|_{(\partial\mathbb{D})^n}$ has global minimum at (z_1, \dots, z_n) .

152 **2. Some basic propositions.** Recall that it was given in (1.8) the discrete
 153 energy of an electron configuration $w_1, \dots, w_n \in \mathbb{C}$ (with charges -1) in presence of
 154 an external field generated by pairs of fixed protons $\zeta_1, \zeta_1^*, \zeta_2, \zeta_2^*, \dots, \zeta_{n-1}, \zeta_{n-1}^*$ (with
 155 charges $+1/2$ each), where $\zeta_1, \dots, \zeta_{n-1} \in \mathbb{D}$. Note that actually W is the double of
 156 the physical energy of the system (see also [12], p. 22 where they use this form of
 157 discrete energy). We will see later on why it is more convenient to use this "doubled
 158 energy".

159 Sometimes the following exceptional set will be excluded:

$$161 \quad (2.1) \quad E := \{(w_1, \dots, w_n, \zeta_1, \dots, \zeta_{n-1}) \in \mathbb{C}^n \times \mathbb{D}^{n-1} : \\ 162 \quad \zeta_j = 0 \text{ for some } j \text{ or } w_j = w_k \text{ for some } j \neq k, \\ 163 \quad \text{or } \zeta_j = w_k \text{ or } \zeta_j^* = w_k \text{ for some } j, k\}.$$

165 This is a closed set with empty interior. Geometrically, this set covers the cases when
 166 some of the protons are at the origin, some of the electrons are at the same position
 167 or a proton and an electron are at the same position. Let us remark also that $W =$
 168 $W(w_1, \dots, w_n)$ is locally the real part of a holomorphic function when $\zeta_1, \dots, \zeta_{n-1}$ are
 169 fixed and W is considered on $(w_1, \dots, w_n) \in \mathbb{C}^n$ such that $(w_1, \dots, w_n, \zeta_1, \dots, \zeta_{n-1}) \notin$
 170 E .

171 This energy can be generalized substantially. Let μ be a signed measure on \mathbb{C} .
 172 We define the (doubled) energy in this case as

$$173 \quad W_{\mu,1} := 2 \sum_{k=1}^n \int_{\mathbb{C}} \log |w_k - \zeta| d\mu(\zeta), \quad W_{\mu,2} := \sum_{\substack{l \neq k \\ 1 \leq l, k \leq n}} \log |w_l - w_k|, \text{ and} \\ 174 \quad (2.2) \quad W_{\mu}(w_1, \dots, w_n) := W_{\mu,1} - W_{\mu,2}.$$

176 Note that in (1.8) we sum over all $l < k$ pairs and there is an extra factor 2. In (2.2),
 177 the sum is over all $l \neq k$ pairs. Later this second, symmetric expression will be more
 178 convenient.

179 Here, it may happen that $W_{\mu,1}$ or $W_{\mu,2}$ becomes infinity, so we again introduce
 180 the exceptional set as follows:

$$182 \quad (2.3) \quad E_{\mu} := \{(w_1, \dots, w_n) \in \mathbb{C}^n : w_j = w_k \text{ for some } j \neq k \\ 183 \quad \text{or } \int_{\mathbb{C}} |\log |w_j - \zeta|| d|\mu|(\zeta) = +\infty \text{ for some } j\}.$$

185 Note that finiteness of this latter integral is equivalent to the finiteness of the potentials
 186 of μ_+ and μ_- at w_j where μ_+, μ_- are the positive and negative parts of μ respectively.
 187 Observe that if $(w_1, \dots, w_n) \notin E_{\mu}$, then $W_{\mu,1}$ and $W_{\mu,2}$ are finite, and so is W_{μ} .

188 An important tool in our investigations is the Cayley transform and its inverse.
 189 Basically, it is just a transformation between a half-plane and the unit disk, though
 190 there is no widely accepted, standard form of it. We use the following form, which we
 191 call inverse Cayley transform

$$192 \quad C(z) = C_{\theta}(z) := i \frac{1 + ze^{-i\theta}}{1 - ze^{-i\theta}}$$

193 where $\theta \in \mathbb{R}$ will be specified later. It is standard to verify that $C(z)$ maps the
 194 unit disk onto the upper half-plane, $C_\theta(e^{i\theta}) = \infty$, and $C(\cdot)$ maps bijectively the unit
 195 circle (excluding $e^{i\theta}$) to the real axis. Furthermore, $C_\theta(e^{it})$ is continuous and strictly
 196 increasing from $t = \theta$ to $t = \theta + 2\pi$, $C_\theta(e^{it}) \rightarrow -\infty$ as $t \rightarrow \theta + 0$, $C_\theta(e^{it}) \rightarrow +\infty$ as
 197 $t \rightarrow \theta + 2\pi - 0$. It is easy to see that $C(z^*) = \overline{C(z)}$ and $C'(z) \neq 0$ (if $z \neq e^{i\theta}$). Later
 198 we will use the Cayley transform too:

$$199 \quad C_\theta^{-1}(u) = e^{i\theta} \frac{u - i}{u + i}.$$

200 Mapping the electrons and protons by C_θ , we define t_j with $t_j = C_\theta(w_j)$. We also
 201 write $\xi_j := C_\theta(\zeta_j)$ and accordingly, $\bar{\xi}_j = C_\theta(\zeta_j^*)$ and investigate the following new
 202 discrete energy:

$$203 \quad (2.4) \quad V(t_1, \dots, t_n) := \sum_{k=1}^{n-1} \sum_{j=1}^n \log |(t_j - \xi_k)(t_j - \bar{\xi}_k)| - 2 \sum_{1 \leq j < k \leq n} \log |t_j - t_k|.$$

204 We also define the (doubled) discrete energy on the real line when the external
 205 field is determined by a signed measure ν :

$$206 \quad V_{\nu,1} := 2 \sum_{k=1}^n \int_{\mathbb{C}} \log |t_k - \xi| d\nu(\xi), \quad V_{\nu,2} := \sum_{\substack{l \neq k \\ 1 \leq l, k \leq n}} \log |t_l - t_k| \text{ and}$$

$$207 \quad (2.5) \quad V_\nu(t_1, \dots, t_n) := V_{\nu,1} - V_{\nu,2}.$$

209 We introduce again the exceptional set corresponding to ν as follows:

$$210 \quad E_\nu := \{(t_1, \dots, t_n) \in \mathbb{C}^n : t_j = t_k \text{ for some } j \neq k$$

$$211 \quad \text{or } \int_{\mathbb{C}} |\log |t_j - \xi|| d|\nu|(\xi) = +\infty \text{ for some } j\}.$$

214 The next result gives a somewhat surprising connection how the inverse Cayley
 215 transform carries over energy. Actually, there is a cancellation in the background
 216 which makes it work.

217 **PROPOSITION 2.1.** *Fix $\theta \in \mathbb{R}$ and let μ be a signed measure on \mathbb{C} with compact*
 218 *support such that $\mu(\{0\}) = 0$, $\mu(\mathbb{C}) = n - 1$. Write $\nu := \mu \circ C_\theta^{-1}$, that is, $\nu(B) =$
 219 $\mu(C_\theta^{-1}(B))$ for every Borel set B .*

220 *Assume that $w_1, \dots, w_n \in \mathbb{C}$ and $(w_1, \dots, w_n) \notin E_\mu$ and*

$$221 \quad (2.6) \quad \int_{\mathbb{C}} \log |\zeta - e^{i\theta}| d\mu(\zeta) \text{ is finite.}$$

222 *Then with $t_1, \dots, t_n \in \mathbb{C}$ where $t_j = C_\theta(w_j)$, we know that $(t_1, \dots, t_n) \notin E_\nu$,*
 223 *$W_\mu(w_1, \dots, w_n)$ and $V_\nu(t_1, \dots, t_n)$ are finite and we can write*

$$224 \quad (2.7) \quad W_\mu(w_1, \dots, w_n) = V_\nu(t_1, \dots, t_n) + c$$

225 *where c is a finite constant, namely*

$$226 \quad (2.8) \quad c = n(n-1) \log(2) - 2n \int_{\mathbb{C}} \log |\xi + i| d\nu(\xi).$$

227 *Proof.* It is straightforward to verify that $(t_1, t_2, \dots, t_n) \notin E_\nu$. Furthermore,

228

$$229 \quad \int_{\mathbb{C}} \log |\xi + i| d\nu(\xi) = \int_{\mathbb{C}} \log |C_\theta(\zeta) + i| d\mu(\zeta) = \int_{\mathbb{C}} \log \left| i \left(1 + \frac{1 + \zeta e^{-i\theta}}{1 - \zeta e^{i\theta}} \right) \right| d\mu(\zeta)$$

$$230 \quad = \int_{\mathbb{C}} \log(2) - \log |\zeta - e^{i\theta}| d\mu(\zeta),$$

231

232 so (2.6) is equivalent to

$$233 \quad (2.9) \quad \int_{\mathbb{C}} \log |\xi + i| d\nu(\xi) \text{ is finite.}$$

234 Note that this entails the finiteness of c defined in (2.8).

235 With the notation of the Proposition,

236

$$237 \quad (2.10) \quad W_\mu(w_1, \dots, w_n) - V_\nu(t_1, \dots, t_n) = 2 \sum_{k=1}^n \int_{\mathbb{C}} \log |w_k - \zeta| d\mu(\zeta)$$

$$238 \quad - \sum_{\substack{j \neq k \\ 1 \leq j, k \leq n}} \log |w_j - w_k| - 2 \sum_{k=1}^n \int_{\mathbb{C}} \log |t_k - \xi| d\nu(\xi) + \sum_{\substack{j \neq k \\ 1 \leq j, k \leq n}} \log |t_j - t_k|$$

239

240 where we investigate the difference of the integrals and difference of the sums separately. So we write

242

$$243 \quad \int_{\mathbb{C}} \log |w_k - \zeta| d\mu(\zeta) - \int_{\mathbb{C}} \log |t_k - \xi| d\nu(\xi)$$

$$244 \quad = \int_{\mathbb{C}} \log |C_\theta^{-1}(t_k) - C_\theta^{-1}(\xi)| d\nu(\xi) - \int_{\mathbb{C}} \log |t_k - \xi| d\nu(\xi)$$

$$245 \quad = \int_{\mathbb{C}} \log \left| e^{i\theta} \left(\frac{t_k - i}{t_k + i} - \frac{\xi - i}{\xi + i} \right) \right| - \log |t_k - \xi| d\nu(\xi)$$

$$246 \quad = \int_{\mathbb{C}} \log(2) + \log \left| \frac{1}{(t_k + i)(\xi + i)} \right| d\nu(\xi)$$

$$247 \quad = \int_{\mathbb{C}} -\log |\xi + i| d\nu(\xi) + (\log(2) - \log |t_k + i|) \nu(\mathbb{C}),$$

248

249 where this last integral exists, by assumption (2.9). Similarly,

250

$$251 \quad \log |t_j - t_k| - \log |w_j - w_k| = \log |t_j - t_k| - \log |C_\theta^{-1}(t_j) - C_\theta^{-1}(t_k)|$$

$$252 \quad = \log |t_j - t_k| - \log \left| e^{i\theta} \left(\frac{t_j - i}{t_j + i} \right) - e^{i\theta} \left(\frac{t_k - i}{t_k + i} \right) \right|$$

$$253 \quad = -\log(2) + \log |t_j + i| + \log |t_k + i|.$$

254

255 Substituting into (2.10), we get

$$\begin{aligned}
256 \quad W_\mu(w_1, \dots, w_n) - V_\nu(t_1, \dots, t_n) \\
257 \quad &= 2 \sum_{k=1}^n \left(\int_{\mathbb{C}} -\log|\xi + i| d\nu(\xi) + (\log(2) - \log|t_k + i|) \nu(\mathbb{C}) \right) \\
258 \quad &\quad + \sum_{\substack{j \neq k \\ 1 \leq j, k \leq n}} (-\log(2) + \log|t_j + i| + \log|t_k + i|) \\
259 \quad &= -2\nu(\mathbb{C}) \sum_{k=1}^n \log|t_k + i| + 2n\nu(\mathbb{C}) \log(2) - 2n \int_{\mathbb{C}} \log|\xi + i| d\nu(\xi) \\
260 \quad &\quad - n(n-1) \log(2) + 2(n-1) \sum_{k=1}^n \log|t_k + i| \\
261 \quad &= n(n-1) \log(2) - 2n \int_{\mathbb{C}} \log|\xi + i| d\nu(\xi), \\
262
\end{aligned}$$

263 where we used that $\nu(\mathbb{C}) = n - 1$. \square

264 **REMARK 2.2.** *Since μ has compact support, $\text{supp } \nu$ is disjoint from $-i$, moreover,*
265 *their distance is positive. Hence the logarithm in the integral in (2.8) is bounded from*
266 *below. It is not necessarily bounded from above, but we assume (2.9) directly. Instead*
267 *of supposing (2.9), we may suppose that μ and θ (from Cayley transform) are such*
268 *that $\text{supp } \mu$ and $e^{i\theta}$ are of positive distances from each other. This would ensure that*
269 *$\text{supp } \nu$ remains bounded entailing that the logarithm in the integral in (2.9) is bounded*
270 *from above. In other words, if $\text{supp } \mu$ is compact and $e^{i\theta} \notin \text{supp } \mu$, then (2.9) holds.*

271 We note that this Proposition 2.1 extends the result of Theorem 6 in Pap, Schipp
272 [15] that we allow arbitrary signed external fields in place of discrete protons located
273 symmetrically with respect to the unit circle.

274 **PROPOSITION 2.3.** *We maintain the assumptions and notations of Proposition*
275 *2.1. Let $\ell \in \{1, \dots, n\}$ and let $w_j, j \neq \ell$ be fixed.*

276 *Assume that*

$$277 \quad (2.11) \quad e^{i\theta} \notin \text{supp } \mu$$

278 *and assume further that replacing w_ℓ by $e^{i\theta}$, we have*

$$279 \quad (2.12) \quad (w_1, \dots, e^{i\theta}, \dots, w_n) \notin E_\mu.$$

280 *If $w_\ell \rightarrow e^{i\theta}$, then $|t_\ell| = |C_\theta(w_\ell)| \rightarrow \infty$ and we get that*

$$281 \quad (2.13) \quad W_\mu(w_1, \dots, w_{\ell-1}, e^{i\theta}, w_{\ell+1}, \dots, w_n) = V_\nu(t_1, \dots, t_{\ell-1}, \infty, t_{\ell+1}, \dots, t_n) + c$$

282 *where c is the constant defined in (2.8) and*

$$\begin{aligned}
283 \quad (2.14) \quad V_\nu(t_1, \dots, t_{\ell-1}, \infty, t_{\ell+1}, \dots, t_n) &:= V_\nu(t_1, \dots, t_{\ell-1}, t_{\ell+1}, \dots, t_n) \\
284 \quad &= 2 \sum_{\substack{j=1 \\ j \neq \ell}}^n \int_{\mathbb{C}} \log|t_j - \xi| d\nu(\xi) - \sum_{\substack{1 \leq j, k \leq n \\ j \neq \ell, k \neq \ell, j \neq k}} \log|t_j - t_k|. \\
285 \\
286
\end{aligned}$$

287 *Proof.* First, we discuss why the integrals appearing here are finite. By slightly
 288 abusing the notation, $W_\mu(w_\ell) := W_\mu(w_1, \dots, w_\ell, \dots, w_n)$ is finite at $w_\ell = e^{i\theta}$, because
 289 of (2.12). Assumption (2.11) implies that there is a neighborhood U of $e^{i\theta}$ such that
 290 its closure U^- is disjoint from $\text{supp } \mu$, $U^- \cap \text{supp } \mu = \emptyset$. Therefore $W_\mu(w)$ is also
 291 finite when $w \in U$, moreover $W_\mu(\cdot)$ is continuous there. Similarly, we use $V_\nu(t) :=$
 292 $V_\nu(t_1, \dots, t_{\ell-1}, t, t_{\ell+1}, \dots, t_n)$ (abusing the notation again). Obviously, $C_\theta(U)$ is an
 293 unbounded open set on the extended complex plane \mathbb{C}_∞ and is a neighborhood of
 294 infinity. By Proposition 2.1, $V_\nu(t)$ is defined on $C_\theta(U) \setminus \{\infty\}$, has finite value and is
 295 continuous there. Moreover, $V_\nu(t)$ has finite limit as $t \rightarrow \infty$. By (2.12) and (2.11),
 296 $(w_1, \dots, w_{\ell-1}, w, w_{\ell+1}, \dots, w_n) \notin E_\mu$ for $w \in U$. Hence $(t_1, \dots, t_{\ell-1}, t, t_{\ell+1}, \dots, t_n) \notin$
 297 E_ν for $t \in C_\theta(U) \setminus \{\infty\}$. This also implies that $\int_{\mathbb{C}} \log |t_j - \xi| d\nu(\xi)$ is finite, $j = 1, \dots, n$,
 298 $j \neq \ell$, which are the integrals appearing on the right of (2.14).

299 Regarding V_ν , we write

$$\begin{aligned}
 300 \quad \lim_{t_\ell \rightarrow \infty} V_\nu(t_\ell) &= \lim_{t_\ell \rightarrow \infty} \left(2 \sum_{j=1}^n \int_{\mathbb{C}} \log |t_j - \xi| d\nu(\xi) - \sum_{\substack{1 \leq j, k \leq n \\ j \neq k}} \log |t_j - t_k| \right) \\
 301 \quad &= 2 \sum_{\substack{j=1 \\ j \neq \ell}}^n \int_{\mathbb{C}} \log |t_j - \xi| d\nu(\xi) - \sum_{\substack{1 \leq j, k \leq n \\ j \neq \ell, k \neq \ell}} \log |t_j - t_k| \\
 302 \quad &+ \lim_{t_\ell \rightarrow \infty} \left(2 \int_{\mathbb{C}} \log |t_\ell - \xi| d\nu(\xi) - \sum_{\substack{1 \leq j, k \leq n \\ k \neq j, k \neq \ell \text{ or } j = \ell}} \log |t_j - t_k| \right) \\
 303 \quad &= V(t_1, \dots, t_{\ell-1}, t_{\ell+1}, \dots, t_n), \\
 304 \quad &
 \end{aligned}$$

306 where in the last step we used the following calculation.

$$\begin{aligned}
 307 \quad \lim_{t_\ell \rightarrow \infty} &\left(2 \int_{\mathbb{C}} \log |t_\ell - \xi| d\nu(\xi) - \sum_{\substack{1 \leq j, k \leq n \\ k \neq j, k \neq \ell \text{ or } j = \ell}} \log |t_j - t_k| \right) \\
 308 \quad &= \lim_{t_\ell \rightarrow \infty} 2 \int_{\mathbb{C}} \log |t_\ell| + \log \left| 1 - \frac{\xi}{t_\ell} \right| d\nu(\xi) - 2 \sum_{\substack{1 \leq j \leq n \\ j \neq \ell}} \left(\log |t_\ell| + \log \left| 1 - \frac{t_j}{t_\ell} \right| \right) \\
 309 \quad & \\
 310 \quad &
 \end{aligned}$$

311 where $\int_{\mathbb{C}} \log |t_\ell| d\nu(\xi) = (n-1) \log |t_\ell|$ so the first term in the integral and in the sum
 312 cancel each other, by $\nu(\mathbb{C}) = n-1$. Regarding the second term in the sum, it tends
 313 to zero. The second term in the integral also tends to zero, because the support of ν
 314 is compact, hence $\log |1 + \xi/t_\ell|$ tends to 0 uniformly.

315 Using this calculation, (2.7) from Proposition 2.1 and the properties of W_μ and
 316 C_θ we get that

$$\begin{aligned}
 317 \quad W_\mu(e^{i\theta}) &= \lim_{w_\ell \rightarrow e^{i\theta}} W_\mu(w_\ell) \\
 318 \quad &= \lim_{t_\ell \rightarrow \infty} (V_\nu(t_\ell) + c) = V_\nu(t_1, \dots, t_{\ell-1}, t_{\ell+1}, \dots, t_n) + c. \quad \square \\
 319 \quad & \\
 320 \quad &
 \end{aligned}$$

321 Based on the above proposition, it is justified to extend the definition of V_ν by
 322 continuity as $V_\nu(t_1, \dots, t_{\ell-1}, \infty, t_{\ell+1}, \dots, t_n) := V_\nu(t_1, \dots, t_{\ell-1}, t_{\ell+1}, \dots, t_n)$ in case t_ℓ
 323 becomes $\pm\infty$.

324 Now we are going to relate the critical points of W_μ and V_ν when the configura-
 325 tions of the electrons are restricted to the unit circle (or to the real line).

326 When the electrons are restricted to the unit circle, that is,

$$327 \quad (2.15) \quad |w_j| = 1, \quad j = 1, \dots, n$$

328 we are going to introduce the tangential gradient as follows. In this case, in addition
 329 to supposing that μ has compact support, we assume that $\text{supp } \mu$ is disjoint from the
 330 unit circle.

331 We write

$$332 \quad (2.16) \quad w_j = e^{i\tau_j}, \quad j = 1, \dots, n, \quad \widetilde{W}_\mu(\tau_1, \dots, \tau_n) := W_\mu(e^{i\tau_1}, \dots, e^{i\tau_n}).$$

334 We call $\nabla \widetilde{W}_\mu$ the tangential gradient of W_μ . $\nabla \widetilde{W}_\mu$ of \widetilde{W}_μ has special meaning with
 335 respect to the complex derivative of W_μ : it is the tangential component of ∇W_μ with
 336 respect to the unit circle. Similar distinction also appears in [18], see the definitions
 337 of Γ -normal electrostatic equilibrium and total electrostatic equilibrium on p. 2255.
 338 This total electrostatic equilibrium appears in Theorem 2, [14] which will be used
 339 later.

340 **PROPOSITION 2.4.** *Let ν be a signed measure on \mathbb{C} with compact support. Assume*
 341 *that $\text{supp } \nu$ is disjoint from the real line and ν is symmetric with respect to the real*
 342 *line: $\nu(H) = \nu(\overline{H})$ where $H \subset \{\Im(u) > 0\}$ is a Borel set and $\overline{H} = \{\bar{u} : u \in H\}$*
 343 *denotes the complex conjugate.*

344 *Then for $u_1, \dots, u_n \in \mathbb{R}$ we have for the j -th imaginary directional derivative*
 345 *(with direction $i\mathbf{e}_j := i(0, \dots, 0, 1, 0, \dots, 0)$) that*

$$346 \quad (2.17) \quad \partial_{i\mathbf{e}_j} V_\nu(u_1, \dots, u_n)$$

$$347 \quad := \lim_{v_j \rightarrow 0} \frac{V_\nu(u_1, \dots, u_j + iv_j, \dots, u_n) - V_\nu(u_1, \dots, u_n)}{v_j} = 0.$$

350 Roughly speaking, if the external field is symmetric, then the forces moving the elec-
 351 trons will keep the electrons on the real line (all coordinates of gradient are parallel
 352 with the real line).

353 **PROPOSITION 2.5.** *Let μ be a signed measure on \mathbb{C} with compact support. Assume*
 354 *that $\text{supp } \mu$ is disjoint from the unit circle and μ is symmetric with respect to the unit*
 355 *circle: $\mu(H) = \mu(H^*)$ where $H \subset \{|w| < 1\}$ is a Borel set and $H^* = \{1/\bar{w} : w \in H\}$*
 356 *denotes the inversion of H .*

357 *Then for $|w_1| = \dots = |w_n| = 1$, we have for the j -th normal derivative (with*
 358 *direction $w_j\mathbf{e}_j$) that*

$$359 \quad (2.18) \quad \partial_{w_j\mathbf{e}_j} W_\mu(w_1, \dots, w_n)$$

$$360 \quad := \lim_{\varepsilon \rightarrow 0} \frac{W_\mu(w_1, \dots, w_j + \varepsilon w_j, \dots, w_n) - W_\mu(w_1, \dots, w_n)}{\varepsilon} = 0.$$

361 Note that because μ has compact support and is symmetric with respect to the
 362 unit circle, we necessarily have that 0 is not in $\text{supp } \mu$.

365 Roughly speaking, Proposition 2.5 states that if the measure μ is symmetric with
366 respect to the unit circle, then the gradient and the tangential gradient of W_μ are the
367 same. In other words, n electrons on the unit circle, allowed to move freely on the
368 plane in the external field generated by μ will stay on the unit circle.

369 *Proofs of Propositions 2.4 and 2.5.* To see Proposition 2.4, we fix $u_1, \dots, u_{j-1},$
370 $u_j, u_{j+1}, \dots, u_n \in \mathbb{R}$, and use here $J(\cdot)$ for the conjugation: $J(u) = \bar{u}$. Writing
371 $V(u) := V_\nu(u_1, \dots, u_{j-1}, u, u_{j+1}, \dots, u_n)$ for general complex $u = u_j + iv_j$, and using
372 that ν is symmetric to the real line, in other words, $\nu(H) = \nu(J(H))$ for Borel sets
373 H , we find

$$374 \quad V(u_1, \dots, u_{j-1}, u, u_{j+1}, \dots, u_n) = V(u_1, \dots, u_{j-1}, J(u), u_{j+1}, \dots, u_n).$$

375 Therefore,

$$\begin{aligned} 376 \quad & \partial_{ie_j} V(u_1, \dots, u_{j-1}, u_j, u_{j+1}, \dots, u_n) \\ 377 \quad &= \frac{\partial V(u_1, \dots, u_{j-1}, u_j + iv_j, u_{j+1}, \dots, u_n)}{\partial v_j} \Big|_{(u_1, \dots, u_{j-1}, u_j, u_{j+1}, \dots, u_n)} \\ 378 \quad &= \frac{\partial V(u_1, \dots, u_{j-1}, u_j - iv_j, u_{j+1}, \dots, u_n)}{\partial v_j} \Big|_{(u_1, \dots, u_{j-1}, u_j, u_{j+1}, \dots, u_n)} \\ 379 \quad &= \frac{\partial V(u_1, \dots, u_{j-1}, u_j + iv_j, u_{j+1}, \dots, u_n)}{\partial(-v_j)} \Big|_{(u_1, \dots, u_{j-1}, u_j, u_{j+1}, \dots, u_n)} \\ 380 \quad &= -\partial_{ie_j} V(u_1, \dots, u_{j-1}, u_j, u_{j+1}, \dots, u_n) \end{aligned}$$

382 showing that Proposition 2.4 holds.

383 To see Proposition 2.5, we use that the inverse Cayley transform is a conformal
384 mapping, hence it is locally orthogonal. \square

385 **3. The case of finitely many pairs of protons.** In this section, we specialize
386 the propositions of the previous section. Most of the results here simply follow from
387 those statements.

388 We consider the case when $\text{supp } \mu$ is a finite set with $2n - 2$ elements, which are
389 symmetric with respect to the unit circle and the support is disjoint from the unit
390 circle and the origin:

$$\begin{aligned} 391 \quad & \text{supp } \mu = \{\zeta_1, \zeta_2, \dots, \zeta_{n-1}, \zeta_1^*, \zeta_2^*, \dots, \zeta_{n-1}^*\}, \\ 392 \quad & 0 < |\zeta_j| < 1, \quad \mu(\{\zeta_j\}) = \mu(\{\zeta_j^*\}) = 1/2, \quad j = 1, 2, \dots, n-1, \\ 393 \quad & \zeta_j \neq \zeta_k, \quad j, k = 1, 2, \dots, n-1, \quad j \neq k. \end{aligned}$$

395 Recall that $\zeta^* = 1/\bar{\zeta}$.

396 The restriction $\zeta_j \neq 0$ is essential for the following reasons. Although $0^* = \infty$
397 may be introduced, definition of discrete energy W cannot be meaningfully defined.
398 Note that the usefulness of symmetrization of external fields lies in that the normal
399 component of the field generated by the symmetrized proton configuration identically
400 vanishes on the unit circle. However, when there is a proton at the origin, there is
401 no complementing system of protons $\omega_1, \dots, \omega_m$ (for no m) such that the total sys-
402 tem $\{\zeta_1, \dots, \zeta_n, \omega_1, \dots, \omega_m\}$ would generate a field with identically vanishing normal
403 component on the unit circle.

404 Furthermore, the protons at the origin contribute to the electrostatic field of
405 all protons only with identically zero tangential component all over the unit circle.

406 Therefore, studying equilibrium and energy minima on the circle, protons at the
 407 origin have no contribution, hence can be dropped from the configuration. However,
 408 then the total charge of the system will drop below -1 . There are results in this
 409 essentially different case, too, see e.g. [6] or [4], Theorem 4.1 but those necessarily
 410 involve assumptions on locations of electrons.

411 The below Proposition 3.1 follows directly from the more general Proposition 2.1.
 412 Roughly speaking, it expresses how the energy functions are mapped to one another
 413 via the inverse Cayley transform in this special case. We use here the exceptional set
 414 E introduced in (2.1).

415 PROPOSITION 3.1. Fix $\theta \in \mathbb{R}$ and let $\zeta_j \in \mathbb{D}$, $j = 1, \dots, n-1$. Consider the
 416 parameters ζ_j, ζ_j^* as well as the parameters $\xi_j = C_\theta(\zeta_j)$, $\bar{\xi}_j = C_\theta(\zeta_j^*)$.

417 Assume that $w_1, \dots, w_n \in \mathbb{C}$ are such that $(w_1, \dots, w_n, \zeta_1, \dots, \zeta_{n-1}) \notin E$, and
 418 $w_j \neq e^{i\theta}$ ($j = 1, \dots, n$).

419 With $t_1, \dots, t_n \in \mathbb{C}$ where $t_j = C_\theta(w_j)$, we can write

$$420 \quad (3.1) \quad W(w_1, \dots, w_n) = V(t_1, \dots, t_n) + c$$

421 where c is a constant,

$$422 \quad (3.2) \quad c = n(n-1) \log(2) - n \sum_{k=1}^{n-1} \log |(\xi_k + i)(\bar{\xi}_k + i)|.$$

423 If $(w_1, \dots, w_n, \zeta_1, \dots, \zeta_{n-1}) \in E$, then W , V or c is infinite.

424 Next we formulate the following special case of Proposition 2.3.

425 PROPOSITION 3.2. Let $\ell \in \{1, \dots, n\}$ and let w_j , $j \neq \ell$ be fixed such that $w_j \neq e^{i\theta}$
 426 for all $j \neq \ell$. If $w_\ell = e^{i\theta}$, then $t_\ell = C_\theta(w_\ell) = \infty$ and we get that

$$427 \quad (3.3) \quad W(w_1, \dots, w_{\ell-1}, e^{i\theta}, w_{\ell+1}, \dots, w_n) = V(t_1, \dots, t_{\ell-1}, \infty, t_{\ell+1}, \dots, t_n) + c$$

428 where c is defined in (3.2) and similarly to (2.14)

$$429 \quad (3.4) \quad V(t_1, \dots, t_{\ell-1}, \infty, t_{\ell+1}, \dots, t_n) := V(t_1, \dots, t_{\ell-1}, t_{\ell+1}, \dots, t_n)$$

$$430 \quad = \sum_{k=1}^{n-1} \sum_{\substack{j=1 \\ j \neq \ell}}^n \log |(t_j - \xi_k)(t_j - \bar{\xi}_k)| - 2 \sum_{\substack{1 \leq j < k \leq n \\ j \neq \ell, k \neq \ell}} \log |t_j - t_k|.$$

433 In Figure 2, particular sets of electrons and protons are shown along with the
 434 transformed configuration on the real axis. Namely, the zeros of the monic Blaschke
 435 product $B(\cdot)$ are $1/2$, $(1+i)/2$, $2/3i$, $-3/4i$ and $-7/10+6/10i$. The protons are at the
 436 critical points of this monic Blaschke product $B'(\cdot) = 0$: $0.38 - 2.21i$, $1.69 + 1.13i$,
 437 $0.68 + 1.86i$, $-0.99 + 0.94i$, $-0.53 + 0.51i$, $0.17 + 0.47i$, $0.41 + 0.27i$, $0.08 - 0.44i$
 438 (here and in the remaining part of this paragraph the numbers are rounded to two
 439 decimal digits). The electrons are at the solutions of $B(\cdot) = 1$, and their arguments
 440 are: -2.87 , -1.19 , 0.41 , 1.28 , 2.33 . For the inverse Cayley transform, $\theta = -2.87$, that
 441 is, the first electron is mapped to infinity.

442 In the next proposition we point out, how the critical points of the original and
 443 the transformed energy function correspond to each other.

444 PROPOSITION 3.3. Let $\zeta_j \in \mathbb{D}$, $j = 1, \dots, n-1$ and $w_j \in \mathbb{C}$, $j = 1, \dots, n$. Assume
 445 that w_j 's are restricted to the unit circle, i.e. (2.15) and (2.16) hold. We also assume
 446 that $(w_1, \dots, w_n, \zeta_1, \dots, \zeta_{n-1}) \notin E$.

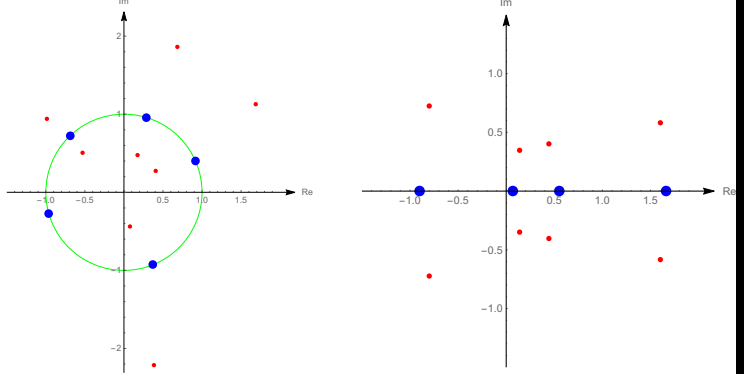


FIGURE 2. Equilibrium configurations of five electrons on the unit circle and the transformed configuration, with one electron transferred to ∞ .

447 Fix w_1 and $\tau_1 \in \mathbb{R}$ and assume that $(\tau_1, \tau_2, \dots, \tau_n) \in A$. Consider the inverse
 448 Cayley mapping $C_{\tau_1}(\cdot)$ and also the points $\xi_j := C_{\tau_1}(\zeta_j)$, $\bar{\xi}_j = C_{\tau_1}(\zeta_j^*)$ and $t_j =$
 449 $C_{\tau_1}(e^{i\tau_j})$.

450 Then $\tau_2 < \dots < \tau_n$ from the interval $(\tau_1, \tau_1 + 2\pi)$ is a (real) critical point of \widetilde{W}
 451 if and only if $t_2 < \dots < t_n$ is a (real) critical point of $V = V(t_2, \dots, t_n)$.

452 *Proof.* Basically, we use the chain rule to show that the critical points correspond
 453 to each other under the diffeomorphism given by the inverse Cayley transform.

454 Let $\psi(\tau) := e^{i\tau}$. It is standard to see

$$455 \quad C_\theta(\psi(\tau)) = i \frac{1 + e^{i(\tau-\theta)}}{1 - e^{i(\tau-\theta)}} = -\cot \frac{\tau - \theta}{2}, \quad \frac{d}{d\tau} C_\theta(\psi(\tau)) = \frac{1}{\sin^2 \frac{\tau - \theta}{2}}$$

456 where we used real differentiation with respect to τ . We write $\Psi(\tau_2, \dots, \tau_n) :=$
 457 $(\psi(\tau_2), \dots, \psi(\tau_n))$ and $K(z_2, \dots, z_n) := (C_\theta(z_2), \dots, C_\theta(z_n))^T$, where \cdot^T denotes trans-
 458 pose. Hence $K \circ \Psi$ maps from \mathbb{R}^{n-1} to \mathbb{R}^{n-1} and $\widetilde{W} = W \circ \Psi = V \circ K \circ \Psi + c$, by
 459 Proposition 2.3. The derivative of $K \circ \Psi$ as a real mapping is the diagonal matrix
 460 $D := \text{diag}(\sin^{-2}(\frac{\tau_2 - \theta}{2}), \dots, \sin^{-2}(\frac{\tau_n - \theta}{2}))$. This is an invertible matrix, because
 461 $\theta = \tau_1 < \tau_2 < \dots < \tau_n < \tau_1 + 2\pi$. Because of chain rule,

$$462 \quad \nabla_{\tau_2, \dots, \tau_n} \widetilde{W} = \nabla_{t_2, \dots, t_n} V|_{K \circ \Psi} \cdot D,$$

463 or by coordinates

$$464 \quad \frac{\partial \widetilde{W}(\tau_2, \dots, \tau_n)}{\partial \tau_j} = \frac{\partial V(t_2, \dots, t_n)}{\partial t_j} \Big|_{K \circ \Psi} \cdot \frac{1}{\sin^2 \left(\frac{\tau_j - \theta}{2} \right)}, \quad j = 2, \dots, n,$$

465 which immediately implies the assertion. \square

466 4. Proofs of the two main theorems.

467 *Proof of Theorem 1.1.* We have that τ_j 's are different, and $a_1, \dots, a_n \in \mathbb{D}$ is a
 468 sequence with $\zeta_j \neq 0$. These imply that $(\exp(i\tau_1(\delta)), \dots, \exp(i\tau_n(\delta)), \zeta_1, \dots, \zeta_{n-1})$ is
 469 not in E (see (2.1)). We also use the parametrization of the solution curve S defined
 470 in (1.7), and the strict monotonicity and continuity of $\delta \mapsto \tau_1(\delta)$. Hence for any w_1 ,

471 $w_1 = e^{i\beta}$ where $\beta \in [0, 2\pi)$, the respective points on the solution curve S are uniquely
 472 determined: $w_j = w_j(w_1)$, more precisely, $w_j = \exp(i\tau_j(\tau_1^{-1}(\beta)))$, $j = 2, \dots, n$.

473 Fix w_1 , or, equivalently, $\beta \in [0, 2\pi)$. Now we want to show that

$$474 \quad (\tau_2, \tau_3, \dots, \tau_n) \mapsto \widetilde{W}(\beta, \tau_2, \tau_3, \dots, \tau_n)$$

475 (assuming $\beta < \tau_2 < \dots < \tau_n < \beta + 2\pi$) has only one critical point, namely the point
 476 with $\tau_j = \tau_j(\tau_1^{-1}(\beta))$ for $j = 2, 3, \dots, n$, which happens to be the unique minimum
 477 point in $(\tau_2, \tau_3, \dots, \tau_n)$.

478 To this end, we are going to transform the question to the upper half-plane, as
 479 we want to use Lemma 6 from [16]. We apply first the inverse Cayley transform
 480 $C(\cdot) = C_\beta(\cdot)$ which maps w_1 to ∞ . Hence we have $n - 1$ pairs of fixed protons,
 481 $\xi_j = C(\zeta_j)$, $\bar{\xi}_j = C(\zeta_j^*)$, $j = 1, \dots, n - 1$ and $n - 1$ free electrons on the real axis,
 482 $t_j = C(e^{i\tau_j})$, $j = 2, \dots, n$. We know that $\beta < \tau_2 < \dots < \tau_n < \beta + 2\pi$, and
 483 $t_2 < t_3 < \dots < t_n$ are equivalent. (If any two of the τ 's were equal, then the
 484 corresponding t 's would be equal too and $\widetilde{W}(\tau_2, \dots, \tau_n) = V(t_2, \dots, t_n) = +\infty$, but
 485 we assumed that $(w_1, \dots, w_n, \zeta_1, \dots, \zeta_{n-1}) \notin E$ so that all w_j 's have to be different.)
 486 Again, since we are outside E , we know that $\xi_j \neq -i$ and $\bar{\xi}_j \neq -i$, which, in turn,
 487 implies that c is finite in (3.2). Thus, we can apply Proposition 3.2 (for $\ell = 1$) to
 488 relate the energy \widetilde{W} on the unit circle and the energy V on the real axis:

$$489 \quad \widetilde{W}(\beta, \tau_2, \dots, \tau_n) = W(e^{i\beta}, e^{i\tau_2}, \dots, e^{i\tau_n}) = V(t_2, \dots, t_n) + c.$$

490 Introducing $U := \{(t_2, \dots, t_n) \in \mathbb{R}^{n-1} : t_2 < t_3 < \dots < t_n\}$, Lemma 6 from
 491 [16] gives that there is exactly one critical point $(\tilde{t}_2, \dots, \tilde{t}_n)$ of V in U (gradient of
 492 V vanishes), which is the global minimum point in U . In view of Proposition 3.3,
 493 the corresponding $(\tilde{\tau}_2, \dots, \tilde{\tau}_n)$ with $\beta < \tilde{\tau}_2 < \dots < \tilde{\tau}_n < \beta + 2\pi$ and $\exp(i\tilde{\tau}_2) =$
 494 $C_\beta^{-1}(\tilde{t}_2), \dots, \exp(i\tilde{\tau}_n) = C_\beta^{-1}(\tilde{t}_n)$, is the only critical point of $\widetilde{W} = \widetilde{W}(\beta, \tau_2, \dots, \tau_n)$,
 495 restricted to the simplex Δ_β of points of the form $(\beta, \tau_2, \dots, \tau_n)$ under the condition
 496 $\beta < \tau_2 < \tau_3 < \dots < \tau_n < \beta + 2\pi$. Note that $\Delta_\beta = Z_\beta \cap A$ with Z_β denoting the
 497 hyperplane $\{\beta\} \times \mathbb{R}^{n-1}$. Furthermore, applying Proposition 3.2, we get that this is
 498 the unique global minimum point of \widetilde{W} on Δ_β .

499 Let us define $\varphi : [0, 2\pi) \rightarrow \mathbb{R}^n$ by putting $\varphi(\beta) := (\beta, \tilde{\tau}_2, \tilde{\tau}_3, \dots, \tilde{\tau}_n)$.

500 As S is a continuous curve lying in A , there exists a point \mathbf{t} of $S \cap Z_\beta$, which
 501 necessarily belongs to $S \cap Z_\beta \cap A = S \cap \Delta_\beta$, too. However – as it was shown in
 502 Theorem 4 in [15] – $\nabla \widetilde{W} \equiv \mathbf{0}$ on S , therefore \mathbf{t} is also a critical point of $\widetilde{W}|_{\Delta_\beta}$.
 503 Whence $\mathbf{t} = \varphi(\beta)$, the unique critical point of $\widetilde{W}|_{\Delta_\beta}$, which is, as said above, the
 504 global minimum point of $\widetilde{W}|_{\Delta_\beta}$, too.

505 It is easy to see that $\Phi := W \circ \varphi$ is continuous on $[0, 2\pi)$ and with $\Phi(2\pi) :=$
 506 $W(\varphi(0))$ is continuously extensible onto $[0, 2\pi]$. Thus $\Phi = W \circ \varphi$ has a global mini-
 507 mum on $[0, 2\pi)$, let it be β^* . Obviously, $\varphi(\beta^*)$ is also on the solution curve S , and
 508 $\widetilde{W}(\tau_1, \dots, \tau_n)$ has a global minimum in $\varphi(\beta^*)$. Since S is a smooth arc, and $\nabla \widetilde{W} \equiv \mathbf{0}$
 509 on S , we get that $\widetilde{W}|_S \equiv \text{const}$. That is, we find $\widetilde{W}|_S \equiv \varphi(\beta^*)$, the global minimum
 510 of the discrete energy function $\widetilde{W} = \widetilde{W}(\tau_1, \dots, \tau_n)$.

511 Finally, we show that all points of $S_{\mathbb{R}}$ are global minimum points of $\widetilde{W}(\cdot)$. Us-
 512 ing that $\widetilde{W}(\cdot)$ is $(2\pi, \dots, 2\pi)$ -periodic, that is $\widetilde{W}(\tau_1, \tau_2, \dots, \tau_n) = \widetilde{W}(\tau_1 + 2\pi, \tau_2 +$
 513 $2\pi, \dots, \tau_n + 2\pi)$ and that for each j , $\tau_j(\delta + 2n\pi) = \tau_j(\delta) + 2\pi$, we obtain that
 514 $\widetilde{W}(\tau_1(\delta), \dots, \tau_n(\delta))$ is actually $2n\pi$ periodic in δ . This, expressed with S and $S_{\mathbb{R}}$,
 515 implies that all points of $S_{\mathbb{R}}$ are global minimum points of $\widetilde{W}(\cdot)$. \square

516 Note that the above provides a positive answer to the question raised in [15],
517 p. 476: the discrete energy function $\widetilde{W} = \widetilde{W}(\tau_1, \dots, \tau_n)$ attains global minimum at
518 every point of the full solution curve $S_{\mathbb{R}}$. Moreover, these are the only critical points
519 of \widetilde{W} .

520 We collect the following set of "bad" configurations:

521

$$522 \quad (4.1) \quad X := \{(z_1, z_2, \dots, z_n) \in (\partial\mathbb{D})^n : z_j = z_k \text{ for some } j \neq k, \text{ or } B'(0) = 0\}.$$

524 *Proof of Theorem 1.2.* Let $(z_1, \dots, z_n) \in (\partial\mathbb{D})^n \setminus X$ be given. Denote their argu-
525 ments by $t_j := \Im \log(z_j)$, $j = 1, 2, \dots, n$. Without loss of generality, we may assume
526 that $t_1, t_2, \dots, t_n \in [0, 2\pi)$ and $t_1 < t_2 < \dots < t_n$.

527 We use the above cited result of Hjelle providing a Blaschke product $B(z) =$
528 $B(z_1, \dots, z_n; z)$ with degree n , satisfying (1.9). Denote the leading coefficient of $B(\cdot)$
529 by χ where $\chi = e^{i\delta_0}$; note that δ_0 is determined only mod 2π by this choice. Let us
530 define $B_1(z) := \chi^{-1}B(z)$ which is the monic Blaschke product with the same zeros.
531 We use α , T , S_0 , S and $S_{\mathbb{R}}$ defined for $B_1(\cdot)$. Now we fix the value of δ_0 so that
532 $-\delta_0 \in [\alpha, \alpha + 2\pi)$; observe that this does not change the value of χ and does not
533 cause circular dependence. Note that the sets $S_{\mathbb{R}}$ defined for B and B_1 are the same,
534 because multiplying the Blaschke product with a constant is just a translation of
535 variable. More precisely $\tau_j(B; \delta) = \tau_j(B_1; \delta - \delta_0)$ for all $j = 1, 2, \dots, n$, $\delta \in \mathbb{R}$.

536 Hjelle's result means that $\tau_j(B; 0) = t_j$, hence $\tau_j(B_1; -\delta_0) = t_j$. By the choice of
537 δ_0 , we immediately see that $(t_1, t_2, \dots, t_n) = T(-\delta_0)$, that is, (t_1, t_2, \dots, t_n) is on S_0
538 defined in (1.6) for the monic Blaschke product $B_1(\cdot)$.

539 We use the description from Theorem 1.1. This way we obtain that $\widetilde{W}(\cdot)$ has
540 global minimum at the points $T(\delta)$, $\delta \in [\alpha, \alpha + 2\pi)$ (defined by $B_1(\cdot)$). Observe
541 that when the parameter δ changes continuously further on in $[\alpha, \alpha + 2n\pi)$, the curve
542 $T(\delta)$ recovers (mod 2π) the same set of arguments (t_1, \dots, t_n) n times, in each cyclic
543 permutations of them, while the corresponding z_1, \dots, z_n is repeated n times (in each
544 cyclic order of the values) always determining the same Blaschke product.

545 We remark, that according to Proposition 2.5, the energy function $W(\cdot)$ has
546 critical point in (z_1, z_2, \dots, z_n) not just with restriction to the unit circle, but also in
547 the total electrostatic equilibrium sense. This was also observed in [14], see Theorem
548 2. \square

549 Roughly speaking, the union of solution curves for different a_1, a_2, \dots, a_n covers
550 the whole $A \cap Q$, and considering as electrons on the unit circle, the whole space
551 $(z_1, z_2, \dots, z_n) \in (\partial\mathbb{D})^n \setminus X$.

552 This last result, when compared with Theorem 1.1, shows a direct relation be-
553 tween the location of electrons, z_1, z_2, \dots, z_n and the location of pairs of protons,
554 $\zeta_1, \zeta_1^*, \zeta_2, \zeta_2^*, \dots, \zeta_{n-1}, \zeta_{n-1}^*$.

555 **COROLLARY 4.1.** *If $(z_1, \dots, z_n) \in (\partial\mathbb{D})^n \setminus X$ is given, then the points $\zeta_1, \dots, \zeta_{n-1} \in$*
556 *$\mathbb{D} \setminus \{0\}$ in Theorem 1.2 are the critical points of the Blaschke product satisfying (1.9).*

557 **Acknowledgments.** The authors gratefully acknowledge their indebtedness to
558 Margit Pap and Ferenc Schipp for calling their attention to the problem and for useful
559 suggestions and discussions.

560 This research was partially supported by the DAAD-TKA Research Project "Har-
561 monic Analysis and Extremal Problems" # 308015.

562 Marcell Gaál was supported by the National, Research and Innovation Office
563 NKFIH Reg. No.'s K-115383 and K-128972, and also by the Ministry for Innovation

564 and Technology, Hungary throughout Grant TUDFO/47138-1/2019-ITM.

565 Béla Nagy was supported by the ÚNKP-18-4 New National Excellence Program
566 of the Ministry of Human Capacities.

567 Zsuzsanna Nagy-Csiha was supported by the ÚNKP-19-3 New National Excel-
568 lence Program of the Ministry for Innovation and Technology.

569 Szilárd Gy. Révész was supported in part by Hungarian National Research, De-
570 velopment and Innovation Fund projects # K-119528 and K-132097.

571 The authors are grateful to the anonymous referees for their thorough work,
572 precise corrections and useful suggestions.

573 The authors are thankful to Gunter Semmler for his interest and constructive
574 remarks.

575

REFERENCES

- 576 [1] A. BULTHEEL, P. GONZÁLEZ-VERA, E. HENDRIKSEN, AND O. NJÅSTAD, *Orthogonal ra-*
577 *tional functions*, vol. 5 of Cambridge Monographs on Applied and Computational
578 Mathematics, Cambridge University Press, Cambridge, 1999, [https://doi.org/10.1017/](https://doi.org/10.1017/CBO9780511530050)
579 [CBO9780511530050](https://doi.org/10.1017/CBO9780511530050), <https://doi.org/10.1017/CBO9780511530050>.
- 580 [2] D. G. CANTOR AND R. R. PHELPS, *An elementary interpolation theorem*, Proc. Amer. Math.
581 Soc., 16 (1965), pp. 523–525, <https://doi.org/10.2307/2034689>.
- 582 [3] H. G. FEICHTINGER AND M. PAP, *Hyperbolic wavelets and multiresolution in the Hardy*
583 *space of the upper half plane*, in Blaschke products and their applications, vol. 65 of
584 Fields Inst. Commun., Springer, New York, 2013, pp. 193–208, [https://doi.org/10.1007/](https://doi.org/10.1007/978-1-4614-5341-3.11)
585 [978-1-4614-5341-3.11](https://doi.org/10.1007/978-1-4614-5341-3.11).
- 586 [4] P. J. FORRESTER AND J. B. ROGERS, *Electrostatics and the zeros of the classical polynomials*,
587 SIAM J. Math. Anal., 17 (1986), pp. 461–468, <https://doi.org/10.1137/0517035>.
- 588 [5] L. GOLINSKII, *Quadrature formula and zeros of para-orthogonal polynomials on the unit circle*,
589 Acta Math. Hungar., 96 (2002), pp. 169–186, <https://doi.org/10.1023/A:1019765002077>,
590 <https://doi.org/10.1023/A:1019765002077>.
- 591 [6] F. A. GRÜNBAUM, *Variations on a theme of Heine and Stieltjes: an electrostatic interpretation*
592 *of the zeros of certain polynomials*, in Proceedings of the VIIIth Symposium on Orthogonal
593 Polynomials and Their Applications (Seville, 1997), vol. 99, 1998, pp. 189–194, [https://doi.org/10.1016/S0377-0427\(98\)00156-3](https://doi.org/10.1016/S0377-0427(98)00156-3).
594
- 595 [7] P. S. C. HEUBERGER, P. M. J. VAN DEN HOF, AND B. WAHLBERG, eds., *Modelling and iden-*
596 *tification with rational orthogonal basis functions*, Springer-Verlag London, Ltd., London,
597 2005, <https://doi.org/10.1007/1-84628-178-4>.
- 598 [8] G. A. HJELLE, *Constructing interpolating Blaschke products with given preimages*, Comput.
599 Methods Funct. Theory, 7 (2007), pp. 43–54, <https://doi.org/10.1007/BF03321630>.
- 600 [9] M. E. H. ISMAIL, *An electrostatics model for zeros of general orthogonal polynomials*, Pacific
601 J. Math., 193 (2000), pp. 355–369, <https://doi.org/10.2140/pjm.2000.193.355>, <https://doi.org/10.2140/pjm.2000.193.355>.
602
- 603 [10] M. E. H. ISMAIL, *More on electrostatic models for zeros of orthogonal polynomials*, in Pro-
604 ceedings of the International Conference on Fourier Analysis and Applications (Kuwait,
605 1998), vol. 21, 2000, pp. 191–204, <https://doi.org/10.1080/01630560008816948>, <https://doi.org/10.1080/01630560008816948>.
606
- 607 [11] W. B. JONES AND S. RUSCHEWEYH, *Blaschke product interpolation and its application to the*
608 *design of digital filters*, Constr. Approx., 3 (1987), pp. 405–409, [https://doi.org/10.1007/](https://doi.org/10.1007/BF01890578)
609 [BF01890578](https://doi.org/10.1007/BF01890578).
- 610 [12] A. MARTINEZ-FINKELSHTEIN, P. MARTINEZ-GONZÁLEZ, AND R. ORIVE, *Asymptotics of poly-*
611 *nomial solutions of a class of generalized Lamé differential equations*, Electron. Trans.
612 Numer. Anal., 19 (2005), pp. 18–28.
- 613 [13] W. MI, T. QIAN, AND F. WAN, *A fast adaptive model reduction method based on Takenaka-*
614 *Malmquist systems*, Systems Control Lett., 61 (2012), pp. 223–230, [https://doi.org/10.](https://doi.org/10.1016/j.sysconle.2011.10.016)
615 [1016/j.sysconle.2011.10.016](https://doi.org/10.1016/j.sysconle.2011.10.016).
- 616 [14] M. PAP AND F. SCHIPP, *Malmquist-Takenaka systems and equilibrium conditions*, Math. Pan-
617 non., 12 (2001), pp. 185–194.
- 618 [15] M. PAP AND F. SCHIPP, *Equilibrium conditions for the Malmquist-Takenaka systems*, Acta Sci.
619 Math. (Szeged), 81 (2015), pp. 469–482, <https://doi.org/10.14232/actasm-015-765-6>.
- 620 [16] G. SEMMLER AND E. WEGERT, *Finite Blaschke products with prescribed critical points, Stieltjes*

- 621 *polynomials, and moment problems*, Anal. Math. Phys., 9 (2019), pp. 221–249, <https://doi.org/10.1007/s13324-017-0193-5>.
622
623 [17] T. SHEIL-SMALL, *Complex polynomials*, vol. 75 of Cambridge Studies in Advanced
624 Mathematics, Cambridge University Press, Cambridge, 2002, [https://doi.org/10.1017/](https://doi.org/10.1017/CBO9780511543074)
625 [CBO9780511543074](https://doi.org/10.1017/CBO9780511543074).
626 [18] B. SIMANEK, *An electrostatic interpretation of the zeros of paraorthogonal polynomials on*
627 *the unit circle*, SIAM J. Math. Anal., 48 (2016), pp. 2250–2268, [https://doi.org/10.1137/](https://doi.org/10.1137/151005415)
628 [151005415](https://doi.org/10.1137/151005415).