

1 **Is axillary lymph node dissection necessary for positive preoperative aspiration cytology**
2 **lymph node results?**

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33

34 **Abstract**

35

36 *Introduction:* Based on international guidelines, axillary lymph node dissection (ALND) is
37 recommended in cases of breast cancer if preoperative examinations confirm axillary
38 metastasis. We examined which set of preoperative parameters might render ALND
39 unnecessary.

40 *Patients and methods:* Preoperative examinations (axillary ultrasound and aspiration cytology)
41 confirmed axillary metastasis in 190 cases out of 2671 patients with breast cancer; primary
42 ALN dissection was performed on these patients with or without prior neoadjuvant therapy.
43 The clinicopathological results were analysed to determine which parameter might predict the
44 presence of no more than 2 or 3 metastatic ALNs.

45 *Results:* The final histological examination confirmed 1–3 metastatic lymph nodes in ALND
46 samples in 116 cases and over 3 metastatic lymph nodes in 74 cases.

47 For patients receiving neoadjuvant therapy (59 out of the 190 cases), if the size of the primary
48 tumour was 2 cm or smaller and/or the metastatic ALN was 15 mm or smaller, then the patient
49 was likely to have no more than 3 positive ALNs (stage N0–1 disease) ($p < 0.001$). If the patient
50 did not receive neoadjuvant therapy, stage N2 or N3 disease was very likely. No correlation
51 was found between other clinicopathological characteristics of the tumour and involvement of
52 the ALNs.

53 *Conclusion:* Axillary lymph node dissection is not necessary for selected breast cancer patients
54 with axillary metastasis receiving neoadjuvant therapy. In these cases, sentinel lymph node
55 biopsy with or without radiation therapy and close follow-up may serve as adequate therapy.

56

57 **Keywords:** breast cancer, axillary lymph node dissection, neoadjuvant therapy, axillary
58 metastasis

59 **Introduction**

60 Surgical treatment of patients with breast cancer and positive axillary lymph nodes is
61 becoming less and less invasive. In the background, it would have been better to mention which
62 are known high risk features that mandate ALND: The clinically node-positive axilla,
63 confirmed by fine needle aspiration or core biopsy, in a patient for whom neoadjuvant
64 chemotherapy is not planned. Occult breast cancer presenting as axillary node metastasis. SLN
65 positive patients who fall outside the Z0011 selection criteria (i.e. >2 SLN positive, matted
66 nodes, mastectomy, or breast conservation without whole-breast radiotherapy). Inflammatory,
67 clinical stage T4, or high-risk T3 breast cancer. Failed SLN mapping. Inadequate prior ALND
68 with residual clinically suspicious nodes Sentinel or axillary nodes which remain positive after
69 neoadjuvant chemotherapy. Axillary recurrence following previous breast cancer treatment.

70 Based on results from the ACOSOG Z0011 study, axillary lymph node dissection
71 (ALND) is not required even in cases with 1 or 2 axillary sentinel lymph nodes involving
72 macrometastasis if the patient meets the inclusion criteria for the study.^{1,2} This recommendation
73 has been approved by international and Hungarian consensus conferences as well.^{3,4}

74 Patients with ALN metastasis confirmed by preoperative examinations represent a
75 separate treatment group. ALND must be performed on these patients if surgical treatment is
76 required. Axillary ultrasound is a key method for diagnosing axillary metastasis, and a positive
77 axillary ultrasound result also necessitates aspiration cytology. Sensitivity of axillary ultrasound
78 ranges from 25 to 71% depending on the immunohistochemical status of the tumour⁵;
79 sensitivity increases to approximately 70 to 80% with the addition of fine needle aspiration
80 cytology (FNAC).^{6,7,8,9}

81 In cases where a lymph node is found to be positive with aspiration cytology, systemic
82 neoadjuvant therapy is performed on some patients. Based on international results, complete
83 axillary pathological regression occurs in a significant portion of these patients.^{10,11,12}

84 Two major prospective study has investigated SLNB after NAC: the SAKK 23/16
85 TAXIS trial and the ALLIANCE A011202 trial.

86 Similarly, we know that in a portion of patients, metastasis is only present in the sentinel
87 lymph node. A study published in 2017 confirmed that axillary lymph node dissection is may
88 not necessarily indicated as the first surgery; sentinel lymph node biopsy (SLNB) is
89 recommended instead if the primary tumour is ≤ 2 cm as confirmed by a preoperative breast
90 ultrasound examination, no more than one lymph node in the axillary region is confirmed
91 positive with aspiration cytology, and the patient does not receive neoadjuvant therapy.¹³

92 Therefore, in our study, we were looking for correlations between the preoperative
93 axillary ultrasound examination and clinicopathological factors to be able to predict not only
94 the presence, but also the severity of axillary metastasis (slight or severe). A further aim of our
95 study was to decide in advance when ALND is required and in which cases SLNB is sufficient
96 based on the results of preoperative examinations.

97

98 **Patients and methods**

99 Pre- and postoperative data from 2671 cases where surgery was performed due to early
100 invasive breast tumour were evaluated in the Department of Surgery, Faculty of Medicine,
101 University of Szeged between 1 January 2007 and 31 December 2017. Mandatory items of the
102 complex breast examination included a physical examination, an ultrasound examination, a
103 mammogram and histology. This was a retrospective analysis of a prospectively maintained
104 database.

105 Both axilla examined - axilla level 1,2,3 included- during the axillary US. All the
106 enlarged and abnormal lymph nodes have to be recorded in the description. Axillary ultrasound
107 was considered positive if the eccentric or concentric cortical region of the lymph node was
108 larger than 2.5 mm, the adipose hilum was missing, the lymph node was morphologically
109 rounded, or its blood supply was increased. In cases where axillary ultrasound and aspiration
110 cytology were positive, neoadjuvant systemic therapy was also administered in some patients.
111 Neoadjuvant therapy was administered in accordance with current international practice,
112 primarily to be able to remove tumours originally found to be oncologically inoperable and to
113 be able to perform breast-conserving surgery instead of a mastectomy.

114 Surgical treatment: our goal was to provide locoregional tumour control and precise
115 locoregional staging. With an aesthetic outcome also taken into consideration, breast-
116 conserving surgery was performed whenever possible. ROLL (radio-guided occult lesion
117 localisation) and dual labelling were used to localise breast tumours and the sentinel lymph
118 node. At least 4 hours before the surgery, isotope (^{99m}Tc) labelled human colloidal albumin
119 was administered into the lesion, which was followed by lymphoscintigraphy to determine the
120 projection of the sentinel lymph node and that of the lymphatic drainage. As a first step during
121 surgery, Patentblau dye was administered around the areola, and then manual gamma probe
122 was used to remove the tumour and the sentinel lymph node(s) during the same procedure
123 approximately 10 minutes later. ALND was primarily performed with or without prior
124 neoadjuvant therapy if preoperative examinations confirmed the presence of even one axillary
125 lymph node metastasis.

126 Patients were divided into two large groups on the basis of a final histological
127 examination of the axillary lymph nodes. One group consisted of patients with no more than
128 3 positive lymph nodes (N0–1) in accordance with the TNM classification; the other group
129 consisted of patients with 4 or more positive lymph nodes (N2–3). Due to the maximum of
130 2 positive lymph nodes described in the Z0011 study, we formed an additional group with no
131 more than 2 metastatic lymph nodes (Z1) and another with 3 or more metastatic lymph nodes
132 (Z2). The clinical, radiological and histological results of these groups were analysed as well.

133 In our study, clinicopathological results (histological and immunohistochemical status,
134 tumour location, tumour size before and after surgery, size and number of abnormal lymph
135 nodes described by ultrasound examination, cytology of the axillary lymph node, neoadjuvant
136 therapy and final axillary histological lymph node status) were compared. We aimed to
137 ascertain which preoperative examination results may be used to predict the presence of a
138 maximum of only 2 or 3 metastatic lymph nodes in the axillary region.

139 *Statistics* IBM SPSS Statistics v22 software was used for statistical analysis in our study.
140 Continuous variables were presented as mean and standard deviation, while categorical
141 variables were presented as case number and percentages. The chi square test followed by
142 logistic regression was used to evaluate the cumulative effect of the variables on axillary status.
143 The difference was considered statistically significant in cases where $p < 0.05$ with 95%
144 confidence interval.

145

146 **Results**

147 Surgical intervention was performed in 2671 invasive breast tumour cases (average age:
148 59.73 years). In 260 cases, axillary ultrasound suggested a metastatic lymph node; therefore,
149 aspiration cytology sampling was performed. In 190 cases, pathology reports suggested
150 metastasis; in these cases, ALND was performed. The average number of lymph nodes removed
151 was 13.49.

152 False positive results were found in 11 (8.4%) of the 131 aspiration cytology
153 examinations in patients not receiving neoadjuvant therapy. Based on a final histological
154 examination of the ALNs, 76 (58%) patients were confirmed to be stage N0–1 and 55 (42%)
155 patients were stage N2–3.

156 No significant correlation was found between preoperatively assessable
157 clinicopathological parameters and axillary lymph node status for patients not receiving
158 neoadjuvant therapy (Tables 1 and 2).

159 Neoadjuvant therapy was administered in 59 cases, and in 23 (39%) of these cases,
160 complete axillary pathological remission was confirmed. Based on a final histological
161 examination of the axillary lymph nodes, 40 (68%) patients were in stage N0–1 and 19 (32%)
162 patients were in stage N2–3.

163 The results of the histological examinations, the immunohistochemical status and the
164 number of positive lymph nodes detected by ultrasound examination showed no correlation to
165 the final histological status of the lymph node. If preoperative ultrasound examinations find that
166 the primary breast tumour is ≤ 20 mm ($p = 0.002$) or the positive lymph node is ≤ 15 mm
167 ($p = 0.04$), the status of the axillary lymph nodes will likely be stage N0–1; therefore, a
168 maximum of 3 positive axillary lymph nodes are present (Tables 3 and 4).

169 In patients with TNBC (triple negative breast cancer) who receiving neoadjuvant
170 therapy if the size of the tumour is ≤ 20 mm based on the ultrasound examination is no more
171 than 3 metastatic lymph nodes (N1, $p < 0.001$) (Table 3).

172 We examined the likelihood of stage N0–1 in the presence of two preoperative factors:
173 ≤ 20 mm tumour size as measured by ultrasound and a ≤ 15 mm size of the lymph node
174 considered metastatic. In the patient group not receiving neoadjuvant therapy ($p = 0.948$), this
175 could not be confirmed; however, in patients receiving neoadjuvant therapy, the likelihood of
176 no more than 3 metastatic lymph nodes is very high ($p = 0.01$).

177 Logistic regression was used to examine which variables are predictive of axillary
178 status. Using the omnibus test, we found that the independent variables in the model are more
179 related to the dependent variable than we would expect due to chance ($p < 0.001$). We were
180 able to confirm that the size of the tumour (Exp (B) = 1.050, 95% CI = 1.016–1.085, $p = 0.004$)
181 is predictive of axillary status. The resulting model was statistically significant ($\chi^2 = 18.806$,
182 $df = 3$, $p < 0.001$). The proportion of cases categorized correctly was 69.4% (overall percentage)
183 with this model, leading to a more precise result compared to categorizing by chance (55.4%)
184 (Table 5).

185 Cases with no more than 2 (Z1) or 3 or more lymph nodes (Z2) were compared to the
186 preoperatively assessable factors in patients grouped by receiving or not receiving neoadjuvant
187 therapy. In patients not receiving neoadjuvant therapy, the size of the breast tumour, axillary
188 status and clinicopathological characteristics of the tumour showed no correlation to the final
189 histological status of the axilla (data not shown).

190 In patients receiving neoadjuvant therapy, the possibility of no more than 2 metastatic
191 lymph nodes is very high if the size of the tumour is ≤ 20 mm ($p = 0.008$) based on the ultrasound
192 examination and this is higher in patients with TNBC ($p = 0.002$). The joint presence of two

193 preoperatively assessable factors – ≤ 20 mm tumour size confirmed by ultrasound and a ≤ 15 mm
194 size of the lymph node considered metastatic – only increased the possibility of no more than
195 2 positive lymph nodes in patients receiving neoadjuvant therapy ($p = 0.728$ vs. $p = 0.017$)
196 (Table 6).

197 The final lymph node status of the axilla showed no relation to other clinicopathological
198 characteristics (data not shown).

199

200 **Discussion**

201 ALND has been the standard procedure in the surgical treatment of malignant breast
202 tumours for at least 100 years, with significant changes occurring in recent years. SLNB¹⁴ can
203 be used to avoid ALND in a significant proportion of patients; therefore, morbidity of surgical
204 treatment of early breast cancers can be decreased significantly.^{15,16,17} At first, if preoperative
205 examinations found no metastasis but the intraoperative or final histological examination
206 confirmed metastasis in the SLN, ALND was considered necessary. Later, clinical studies
207 confirmed that even the presence of micrometastasis or an isolated tumour cell in a lymph node
208 is sufficient to indicate SLNB.^{18,19} The result of the ACOSOG Z0011 study was a milestone.
209 This study concluded that even in cases with a maximum of two positive lymph nodes
210 containing macrometastasis, ALND may be avoided if the patient meets the inclusion criteria
211 for the study.^{1,2} Moreover, based on the results of the AMAROS study, ALND may also be
212 avoided in patients who have undergone a mastectomy and have a SLN with confirmed
213 metastasis; irradiation of the axillary region and close follow-up are sufficient.²⁰

214 Nowadays, the effort to further limit the indication area of ALND accompanied by
215 significant morbidity is completely reasonable. One way to do this is to preoperatively screen
216 patients only at stage N1 axillary status. Based on several international guidelines, a sentinel
217 lymph node biopsy should be performed in cases characterised by the presence of axillary
218 lymph nodes considered negative by preoperative examinations and aspiration cytology or core
219 biopsy should be performed with axillary lymph nodes considered positive.^{3,4,21,22} Several
220 research groups have studied which factors detected or examined during the preoperative period
221 (imaging studies, histological finding etc.) may be suitable to determine whether SLNB or
222 ALND should be performed during surgery.^{13,23,24} In the post-Z0011 period of the treatment of
223 breast tumours, not only the presence of axillary metastasis is examined, but positive cases are
224 also differentiated as mild (lymph node status N1 and 1 to 3 positive lymph nodes) and severe
225 (lymph node status N2 and 4 or more positive lymph nodes) axillary metastases. Lim et al.
226 confirmed that if the patient meets the criteria for the Z0011 study and the axillary ultrasound

227 detects 3 or more positive lymph nodes, it is very likely that there are multiple positive lymph
228 nodes in the axilla; therefore, ALND cannot be avoided.²³ This result has also been confirmed
229 by Liu et al., who found that ALND may be avoided if patients meet the Z0011 study criteria
230 and the axillary ultrasound examination confirms only one suspected metastatic lymph node²⁵.
231 If two lymph nodes are considered metastatic based on an ultrasound examination, histological
232 sampling and axillary lymph node dissection are recommended. Liang et al. highlight the
233 importance of a preoperative histological examination; the authors have shown that in cases
234 where axillary lymph nodes are found to be positive with fine needle aspiration cytology, the
235 patient is more likely to have more than 3 metastatic lymph nodes in the axilla, compared to
236 cases where the sentinel lymph node biopsy confirms 1 to 2 metastatic lymph nodes.²⁶

237 In our study, we examined which combination of preoperative parameters would allow
238 axillary lymph node dissection to be avoided if axillary lymph node involvement is confirmed
239 preoperatively. We also examined which of these clinicopathological characteristics could
240 eliminate the need for this radical surgical intervention. Based on our results, the severity of the
241 involvement of the axillary region in the tumour process could not be clearly predicted
242 preoperatively with the clinicopathological characteristics of the tumour in patients not
243 receiving neoadjuvant therapy. In contrast, a recent study confirmed that primary ALND is not
244 necessary and SLNB is recommended if the preoperative breast ultrasound confirms a 2-cm or
245 smaller primary tumour in the breast, no more than one positive lymph node is confirmed with
246 aspiration cytology, and the patient does not receive neoadjuvant therapy.¹³ In another
247 retrospective study, Lloyd et al. also found that patients are very likely to have no more than 2
248 axillary lymph nodes with macrometastasis if the preoperative ultrasound confirmed a 20-mm
249 or smaller primary tumour, histology of this tumour confirmed invasive ductal or lobular
250 carcinoma, and breast-conserving surgery was performed. Therefore, in these cases, ALND
251 represents overtreatment.²⁴

252 Based on our study, we claim that lymph node status N0–1 in patients not receiving
253 neoadjuvant therapy cannot be determined with certainty with preoperative examinations, while
254 the lymph node status of patients receiving neoadjuvant chemotherapy can be predicted with
255 great certainty based on the results of the preoperative ultrasound examination. If patients also
256 receive neoadjuvant therapy, it can be predicted with high probability whether the disease is in
257 stage N0–1 or not and whether ALND can be avoided or not based on the preoperative size of
258 the tumour (<20 mm, $p = 0.002$) and the preoperative size of the lymph node (<5 mm, $p = 0.04$).

259 In patients with breast tumours, the lymph node status of the axilla plays a key role in
260 planning local and systemic therapy. If there is a metastatic lymph node in the axilla, a

261 significant proportion of patients receive neoadjuvant systemic therapy, which resolves the
262 axillary metastatic process in approximately 40% of cases.²⁷ Following neoadjuvant therapy,
263 the standard surgical procedure in these patients was ALND even in cases with complete
264 pathological remission. Several studies have addressed the question of sentinel lymph node
265 biopsy and repeated biopsy after neoadjuvant therapy.²⁸ In the ACOSOG Z1071 study, the rate
266 of false negative sentinel lymph node biopsies was close to 10% false negative (12.6%), and
267 this value further decreased with the removal of the lymph node indicated with a marker (metal
268 clip) during axillary core biopsy. During surgical intervention, the SLN is already detected
269 using the classical dual tracer method (technetium 99m-labelled human colloidal albumin and
270 blue dye). With this technique (targeted axillary dissection, TAD), the rate of false negative
271 sentinel lymph nodes decreased to approximately 2%.^{29,30,27,31} Pilewski et al. analysed data from
272 425 patients and studied the extent to which preoperative imaging studies influence the lymph
273 node status of the axilla.^{32,33} If the examinations suggested the presence of a metastatic lymph
274 node and the patient met the criteria for the Z0011 study, axillary lymph node dissection could
275 have been avoided in 71% of cases. If aspiration cytology was positive, ALND was unnecessary
276 in 45% of cases. A Spanish study published in 2018 also investigated whether axillary lymph
277 node dissection should be performed after neoadjuvant therapy in cases where an axillary lymph
278 node is considered positive with aspiration cytology.³⁴ In cases showing a significant presence
279 of the HER2 receptor and low expression of the oestrogen receptor, there is a high chance that
280 complete pathological remission occurs, and in these cases, ALND was not recommended. Our
281 analyses confirmed the same result. Following neoadjuvant therapy, no more than 3 and no
282 more than 2 positive lymph nodes were confirmed, respectively, with the final histology in two-
283 thirds (40/59, 68%) and in over 50% (34/59, 57%) of the cases, respectively; therefore, axillary
284 lymph node dissection could have been avoided.

285 Data from 3398 patients were reviewed in a meta-analysis, and the authors aimed to see
286 whether ALND is necessary after neoadjuvant therapy in lymph node-positive breast tumour
287 cases.¹⁰ According to the analysis, the recommended treatment strategy at present is ALND.
288 However, optimizing preoperative examinations and screening the patient population may help
289 to achieve a more precise preoperative evaluation of axillary lymph node status. In the future,
290 performing SLN with the dual tracer method and labelling the positive axillary lymph node in
291 advance with a metal clip or with a radiopharmaceutical containing iodine followed by
292 removing the labelled lymph node may decrease the number of axillary lymph node dissections.
293 Based on the analysis, HER2-positive and triple receptor-negative cases by immunochemistry
294 require further research. A study published in 2017 showed that the efficacy of neoadjuvant

295 therapy did not differ in these cases, but further studies are recommended in these cases as
296 well.¹¹ Our study also showed no correlation between the lymph node status and the
297 immunochemical status of the tumour. The same result was found in a study; ALND could be
298 avoided after neoadjuvant therapy in 48% of the patients, especially in the case of HER2
299 receptor-positive and triple receptor-negative cases. The study supported performing SLNB in
300 patients with a breast tumour receiving neoadjuvant therapy and with multiple axillary lymph
301 node metastases as well. Longer studies are required to support the results.¹² A retrospective
302 study that processed data from 1944 patients confirms this result; the study concluded that if
303 patients receive neoadjuvant therapy followed by a mastectomy and if the receptor status is
304 HER2-positive and triple receptor-negative, ALND could most likely have been avoided.³⁵

305

306 **Conclusions**

307 Our results show that detecting suspicious lymph nodes by axillary ultrasound
308 examination may predict the stage of the disease; therefore, we consider a complete
309 examination of the axilla important in ruling out potential lymph node metastases. Our results
310 confirm that in patients receiving neoadjuvant therapy, in addition to the preoperative size of
311 the tumour (<20 mm, $p = 0.002$), the preoperative size of the lymph node (<15 mm, $p = 0.04$)
312 may also be used to predict that the stage of the disease is N0–1. In these cases, sentinel lymph
313 node biopsy with or without radiation therapy and close follow-up may serve as adequate
314 therapy.

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319

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523

524 **Tables**

525

526 **Table 1.**

527 Relation of preoperative imaging results to final lymph node disease burden in patients not

528 receiving neoadjuvant therapy – I – Chi square test

529

Neoadj. 0	N0–1 (n=76)	N2–3 (n=55)	p value
Tumour size on imaging	n=76	n=55	0.703
≤20mm	44 (57.9%)	30 (54.5%)	
>20mm	32 (42.1%)	25 (45.5%)	
Tumour size on imaging (TNBC)	n=19	n=12	0.981
≤20mm	11 (57.9%)	7 (58.3%)	
>20mm	8 (42.1%)	5 (41.7%)	
Lymph node size on imaging	n=68	n=48	0.979
≤15mm	31 (45.6%)	22 (45.8%)	
>15mm	37 (54.4%)	26 (54.2%)	
Lymph node size on imaging (TNBC)	n=17	n=11	0.453
≤15mm	7 (41.17%)	3 (27.27%)	
>15mm	10 (58.83%)	8 (72.73%)	
Tumour ≤20mm and lymph node ≤15mm	n=31	n=22	0.948
Number of abnormal lymph nodes on axillary ultrasound	n=76	n=55	0.338
1	65 (85.53%)	43 (78.18%)	
>1	11 (14.47%)	12(21.82%)	

530

531 **Table 2.**

532 Relation of preoperative pathological factors to final lymph node disease burden in patients
 533 not receiving neoadjuvant therapy – II – Chi square test

534

Neoadj. 0	N0–1 (n=76)	N2–3 (n=55)	p value
ER	n=76	n=55	0.281
positive	47 (61.84%)	39 (70.91%)	
negative	29 (38.16%)	16 (29.09%)	
PR	n=76	n=55	0.305
positive	43 (56.58%)	36 (65.45%)	
negative	33 (43.42%)	19 (34.55%)	
Ki67	n=76	n=55	0.845
positive	64 (84.21%)	47 (85.45%)	
negative	12 (15.79%)	8 (14.55%)	
Topoiz.	n=76	n=55	0.883
positive	60 (78.95%)	44 (80%)	
negative	16 (21.05%)	11 (20%)	
HER-2	n=76	n=55	0.883
positive	16 (21.05%)	11 (20%)	
negative	60 (78.95%)	44 (80%)	
TNBC	n=76	n=55	0.672
yes	19 (25%)	12 (21.8%)	
no	57 (75%)	43 (78.2%)	
Tumour histology on biopsy	n=76	n=55	0.871
Ductal	48 (63.16%)	33 (60%)	
Lobular	4 (5.26%)	4 (7.27%)	
Other invasive	24 (31.58%)	18 (32.73%)	
Histologic grade	n=65	n=51	0.576
I	2 (3.08%)	3 (5.88%)	
II	27 (41.54%)	24 (47.06%)	
III	36 (55.38%)	24 (47.06%)	

535

536 **Table 3.**
 537 Relation of preoperative imaging results to final lymph node disease burden in patients
 538 receiving neoadjuvant therapy – I – Chi square test
 539

Neoadj.	N0-1 (n=40)	N2-3 (n=19)	p value
Tumour size on imaging	n=40	n=19	0.002
≤20mm	28 (70%)	5 (26.32%)	
>20mm	12 (30%)	14 (73.68%)	
Tumour size on imaging (TNBC)	n=16	n=7	<0.001
≤20mm	14 (87.5%)	0 (0%)	
>20mm	2 (12.5%)	7 (100%)	
Lymph node size on imaging	n=31	n=13	0.04
≤15mm	20 (64.51%)	4 (30.77%)	
>15mm	11 (35.49%)	9 (69.23%)	
Lymph node size on imaging (TNBC)	n=12	n=6	0.737
≤15mm	5 (41.67%)	3 (50%)	
>15mm	7 (58.33%)	3 (50%)	
Tumour ≤20mm and lymph node ≤15mm	n=20	n=4	0.01
Number of abnormal lymph nodes on axillary ultrasound	n=40	n=19	0.161
1	38 (95%)	15 (78.95%)	
>1	2 (5%)	4 (21.05%)	

540

541 **Table 4.**
 542 Relation of preoperative pathological factors to final lymph node disease burden in patients
 543 receiving neoadjuvant therapy – II – Chi square test
 544

Neoadj.	N0–1 (n=40)	N2–3 (n=19)	p value
ER	n=40	n=19	0.361
positive	16 (40%)	10 (52.63%)	
negative	24 (60%)	9 (47.37%)	
PR	n=40	n=19	0.432
positive	7 (17.5%)	5 (26.32%)	
negative	33 (82.5%)	14 (73.68%)	
Ki67	n=40	n=19	0.551
positive	31 (77.5%)	16 (84.22%)	
negative	9 (22.5%)	3 (15.78%)	
Topoiz.	n=40	n=19	0.305
positive	24 (60%)	14 (73.68%)	
negative	16 (40%)	5 (26.32%)	
HER-2	n=40	n=19	0.323
positive	11 (27.5%)	3 (15.78%)	
negative	29 (72.5%)	16 (84.22%)	
TNBC	n=40	n=19	0.816
yes	16 (40%)	7 (36.84%)	
no	24 (60%)	12 (63.16%)	
Tumour histology on biopsy	n=40	n=19	0.314
Ductal	29 (72.5%)	14 (73.69%)	
Lobular	0 (0%)	1 (5.26%)	
Other invasive	11 (27.5%)	4 (21.05%)	
Histologic grade	n=32	n=18	0.157
I	5 (15.62%)	0 (0%)	
II	12 (37.5%)	6 (33.33%)	
III	15 (46.88%)	12 (66.67%)	

546 **Table 5.**

547 Result of logistic regression

548

Variables	Sig	Exp(B)	95% CI for EXP(B)	
			Lower	Upper
Tumour size	0.004	1.050	1.016	1.085

549

550 **Table 6.**

551 Relation of imaging results to modified final lymph node disease burden (Z1 or Z2) in
 552 patients receiving neoadjuvant therapy – I – Chi square test

553

Neoadj.	Z1 (n=34)	Z2 (n=25)	p value
Tumour size on imaging	n=34	n=25	0.008
≤20mm	24 (70.58%)	9 (36%)	
>20mm	10 (29.42%)	16 (64%)	
Tumour size on imaging (TNBC)	n=14	n=9	0.002
≤20mm	12 (85.71%)	2 (22.22%)	
>20mm	2 (14.29%)	7 (77.78%)	
Lymph node size on imaging	n=28	n=16	0.086
≤15mm	18 (64.29%)	6 (37.5%)	
>15mm	10 (35.71%)	10 (62.5%)	
Lymph node size on imaging (TNBC)	n=11	n=7	0.914
≤15mm	5 (45.45%)	3 (42.86%)	
>15mm	6 (54.55%)	4 (57.14%)	
Tumour ≤20mm and lymph node ≤15mm	n=18	n=6	0.017
Number of abnormal lymph nodes on axillary ultrasound	n=34	n=25	0.177
1	33 (97.06%)	20 (80%)	
>1	1 (2.94%)	5 (20%)	

554