# **Original Paper**

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# Delayed Neurovascular Dysfunction Is Alleviated by Hydrogen in Asphyxiated Newborn Pigs

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## **Key Words**

 $\label{eq:hyperbolic} Hypoxic-ischemic encephalopathy \cdot Neuroprotection \cdot Pial \\ arteriole \cdot Cerebrovascular regulation \cdot Cranial window$ 

## Abstract

Background: The neurovascular unit encompasses the functional interactions of cerebrovascular and brain parenchymal cells necessary for the metabolic homeostasis of neurons. Previous studies indicated marked but only transient (1–4 h) reactive oxygen species-dependent neurovascular dysfunction in newborn pigs after severe hypoxic/ischemic (H/I) stress contributing to the neuronal injury after birth asphyxia. **Objectives:** Our major purpose was to determine if neurovascular dysfunction would also occur later, at 24 h after a milder H/I stress. We also tested if the putative hydroxyl radical scavenger hydrogen (H<sub>2</sub>) exerted neurovascular protection. Methods: Anesthetized, ventilated piglets were assigned to three groups of 9 animals: time control, asphyxia/reventilation with air, and asphyxia/reventilation with air +2.1% H<sub>2</sub> for 4 h. Asphyxia was induced by suspending ventilation for 8 min. Cerebrovascular reactivity (CR) of pial arterioles was determined using closed cranial window/intravital microscopy 24 h after asphyxia to the endothelium-dependent cerebrovascular stimulus hypercapnia, the neuronal function-dependent stimulus N-methyl-D-aspartate (NMDA),

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norepinephrine, and sodium nitroprusside. The brains were subjected to histopathology. **Results:** Hemodynamic parameters, blood gases, and core temperature did not differ significantly among the experimental groups. In the early reventilation period, the recovery of electroencephalographic activity was significantly better in H<sub>2</sub>-treated animals. Asphyxia/reventilation severely attenuated CR to hypercapnia and NMDA; however, reactivity to norepinephrine and sodium nitroprusside were unaltered. H<sub>2</sub> fully or partially preserved CR to hypercapnia or NMDA, respectively. Histopathology revealed modest neuroprotection afforded by H<sub>2</sub>. **Conclusions:** Severe stimulus-selective delayed neurovascular dysfunction develops and persists even after mild H/I stress. H<sub>2</sub> alleviates this delayed neurovascular dysfunction that can contribute to its neuroprotective effect.

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## Introduction

Perinatal asphyxia elicits severe brain injury playing a significant role in perinatal mortality or leading to hypoxicischemic encephalopathy (HIE) in the survivors. Although moderate whole-body hypothermia provides considerable neuroprotection [1], there are also potential dangers of this treatment [2]; thus, further studies on the pathomecha-

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nisms of HIE are warranted in order to develop new therapeutic neuroprotective strategies to further alleviate neuronal damage and to improve clinical outcome.

The contribution of neurovascular unit dysfunction to the development of HIE so far has received little attention, although its role in neuronal injury has been recently widely recognized in adult stroke [3]. The term neurovascular unit describes the morphological and functional interactions of neurons, astrocytes, and various cerebromicrovascular cells collectively responsible for the local metabolic homeostasis of neurons. We and others have repeatedly shown that hypoxic/ischemic (H/I) stress in newborn piglets severely attenuated cerebrovascular reactivity (CR) to various so-called 'hypoxia-ischemia-sensitive' stimuli determined in pial arterioles 1 h after the insult indicating severe acute neurovascular unit dysfunction [4–7]. The attenuated CR showed spontaneous recovery appearing intact as early as 4 h after the H/I insult [5, 6]. These findings suggested that neurovascular unit dysfunction might play a role in neuronal damage only in the acute reoxygenation phase. However, the neuronal damage further progresses in the subacute phase coinciding with the appearance of the so-called secondary energy failure often developing ~24 h after the H/I stress [8], and chronic hypoxia is known also to elicit long-term alterations in the responses of piglet cerebral arteries [9]. Nevertheless, CR has been virtually uncharted during this period in newborn models of HIE.

Reactive oxygen species (ROS) produced in the early reoxygenation period have been shown to be responsible for acute neurovascular unit dysfunction [10]. Accordingly, various antioxidant approaches have been shown to prevent the acute attenuation of CR in the piglet. Recently, molecular hydrogen (H<sub>2</sub>) – a putative selective inhibitor of hydroxyl radicals [11] – was found to prevent acute post-asphyxic CR impairment with a concomitant neuroprotective effect in piglets [12].

The main hypotheses of the present study were to investigate if (1) significant neurovascular dysfunction develops 24 h after H/I stress in newborn piglets, and if (2)  $H_2$  could alleviate neurovascular dysfunction also in this time period.

## **Materials and Methods**

## Materials

Drug sources were Na-thiopental (Sandoz, Kundl, Austria), morphine hydrochloride, penicillin (Teva, Petah Tikva, Israel), midazolam (Torrex Pharma, Vienna, Austria), gentamicin, norepinephrine (NE; Sanofi, Paris, France),  $\alpha$ -chloralose, N-methyl-D-aspartate (NMDA), and sodium nitroprusside (SNP; SigmaAldrich, St. Louis, Mo., USA). All gas mixtures used for ventilation were obtained from the Messer Group GmbH, Bad Soden, Germany.

#### Animals

Newborn (1-2 days old, body weight 1.5-2.5 kg) male Large-White piglets (n = 27) were obtained from a local company (Pigmark Ltd Co., Szeged, Hungary). All procedures were approved by the Animal Care and Use Committee of the University of Szeged.

Anesthesia was induced with Na-thiopental (45 mg/kg, i.p.) followed by intubation through tracheotomy and artificial ventilation with medical air (21% O<sub>2</sub>, balance N<sub>2</sub>), using a pressure-controlled ventilator. The inspired gas was warmed and humidified, the ventilation rate (25–35/min) and the peak inspiratory pressure (100–125 mm H<sub>2</sub>O) were set to keep blood gases and oxygen saturation in the physiological range. The right femoral and jugular veins were catheterized to monitor pH, pCO<sub>2</sub>, glucose, and to infuse anesthetic drugs (morphine, 100 µg/kg bolus, 10 µg/kg/h; midazolam, 250 µg/kg bolus, 250 µg/kg/h), fluids (5% glucose, 0.45% NaCl, 2–5 ml/kg/h), and antibiotics (penicillin 50 mg/kg/ 12 h; gentamicin 2.5 mg/kg/12 h), respectively.

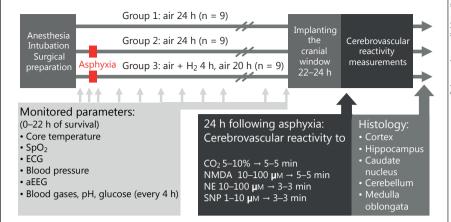
The instrumented animals were placed in a prone position into an ICU newborn resuscitation crib (SPC 78–1; Narco Air-Shields, Inc., Hatboro, Pa., USA) allowing servo-controlled temperature regulation. Oxygen saturation, heart rate, ECG, arterial blood pressure, and body temperature were monitored with a Hewlett-Packard M1094 monitor (Palo Alto, Calif., USA); the data were recorded online using a PC (MecifView, Arlington, Mass., USA). Amplitude-integrated electroencephalography (aEEG) was performed with two parietal needle electrodes coupled to an EEG amplifier (Experimetria Ltd, Balatonfüred, Hungary), the raw EEG data were converted to aEEG recording and stored on a PC using a custom software according to the specifications described previously [13].

Figure 1 shows the flowchart of the experiments. Piglets were divided into three experimental groups of 9 animals: (1) time control animals (group 1), (2) asphyxiated controls reventilated with air (group 2), and (3) asphyxiated animals reventilated for 4 h with H<sub>2</sub>-supplemented air (2.1% H<sub>2</sub>, 21% O<sub>2</sub>, balance N<sub>2</sub>, group 3).

22 h after asphyxia, the anesthesia was switched to  $\alpha$ -chloralose (30 mg/kg bolus, 3–5 mg/kg/h). The femoral artery was catheterized to measure arterial blood pressure and blood gases. The head was fixed in a stereotactic frame and a closed cranial window was inserted over the left parietal cortex. The closed cranial window was filled with artificial cerebrospinal fluid (aCSF), and CR was determined with intravital videomicroscopy as described previously [12, 14].

#### Histopathology

The common carotid arteries were catheterized in the anesthetized animals and the brain was perfused with 4°C saline. The left hemisphere of the removed brain was then immersion-fixed in 4°C 4% paraformaldehyde within 5–6 min. Tissue samples were dissected from different brain areas (fig. 1), paraffin-embedded and 4-µm sections were stained with hematoxylin and eosin. The neurons were morphologically identified (large soma, large round euchromatic nucleus and single prominent nucleolus). For each tissue sample, neuronal damage was expressed as the percentage of shrunken hyperchromatic 'red' neurons with pyknotic nuclei compared with the total number of neurons counted in  $10 \times 10$ fields of view at 20× magnification by an examiner blinded to the experimental groups as described previously [12].



**Fig. 1.** Study design: asphyxia was induced with clamping the endotracheal tube and suspending the ventilation for 8 min. After asphyxia, reventilation was started according to the experimental group. There was no need for cardiac resuscitation at this level of H/I stress [24]. 4 h following asphyxia the ventilation was continued with air in every group. In this period, FiO<sub>2</sub> was increased in some animals from 21% up to 25% to maintain satisfactory oxygenation. Vital parameters and aEEG were continuously monitored, and recorded for 10 min before the asphyxiation, during the asphyxia, for the first 10 min of reventilation and then 1–10 min from the 1st to the 22nd hours of reventilation each hour. Venous pH, blood gases, and blood glucose level were checked before the asphyxia, in the 7th minute of asphyxia and in every 4th hour of

#### Statistical Analysis

Parametric data are expressed as mean  $\pm$  SEM. Data were analyzed with a statistical software (SigmaPlot 11; Systat Software, Inc., San Jose, Calif., USA). CR and physiological parameters were analyzed with two-way repeated measures ANOVA, the morphometric data with one-way ANOVA. Student-Newman-Keuls post hoc test was used for pairwise comparisons. The aEEG scores of groups 2 and 3 were compared with the Mann-Whitney U test. p values <0.05 were considered statistically significant.

## Results

## Physiological Parameters

In group 1, the monitored physiological parameters were stable and within the physiological range (fig. 2a), furthermore the aEEG showed continuous activity throughout the 22-hour observation period. In groups 2–3, asphyxia elicited an isoelectric aEEG within 1 min. Severe hypercapnia, acidosis (fig. 2b), hypoxia and bradycardia (<65 bpm) developed by the 8th minute. Upon reventilation, the physiological parameters gradually recovered in 2–3 h (fig. 2a), and then they were not different

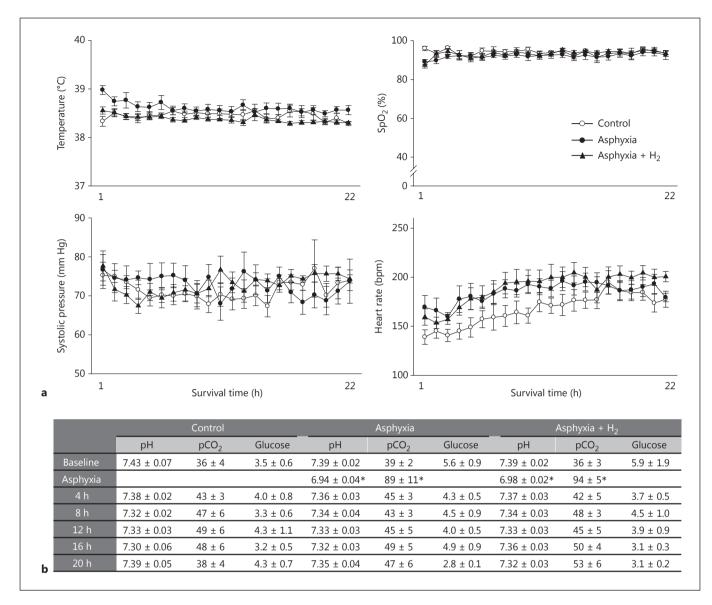
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reventilation period. CR was determined sequentially to graded hypercapnia, NMDA (10–100  $\mu$ M), NE (10–100  $\mu$ M), and SNP (1–10  $\mu$ M) 24 h after the asphyxia. Graded hypercapnia was evoked by ventilating with gas mixtures containing 5–10% CO<sub>2</sub> (21% O<sub>2</sub>, balance N<sub>2</sub>) for 5–6 min each. Ventilation was then switched back to room air, and pial arteriolar dilation was allowed to return to the baseline level. After stabilization, the cranial window was rinsed with aCSF. The drugs were then locally applied to the cerebral cortex by gently flushing the cranial window with aCSF containing increasing drug concentrations 3–5 min for each concentration. Between different stimuli the cerebral cortex was repeatedly washed with aCSF until baseline pial arteriolar dilation was restored.

from the time controls. The originally isoelectric aEEG gradually recovered to continuous activity over the observation period. Recovery was significantly faster during the  $H_2$  ventilation period in group 3 (fig. 3b).

## Assessment of CR

5-10% CO<sub>2</sub> ventilation resulted in similar increases in arterial pCO<sub>2</sub> with simultaneous pH decreases in all experimental groups (table 1). Graded hypercapnia elicited dose-dependent pial arteriolar dilation in group 1 (fig. 4a). In contrast, hypercapnia-induced vasodilation was severely attenuated 24 h after asphyxia in group 2 but was essentially unaltered in the animals reventilated with H<sub>2</sub> (group 3; fig. 4a). NMDA also induced dose-dependent vasodilation in group 1, which was virtually abolished in group 2 and significantly attenuated in group 3. Notably, CR to NMDA was significantly higher in the H<sub>2</sub>-ventilated animals (fig. 4b). The pial arteriolar constriction to NE or the dilation to SNP was not significantly affected by asphyxia (fig. 4c, d); interestingly, vasoconstriction to NE was significantly smaller in the H<sub>2</sub>-ventilated group 3 as compared with time controls.



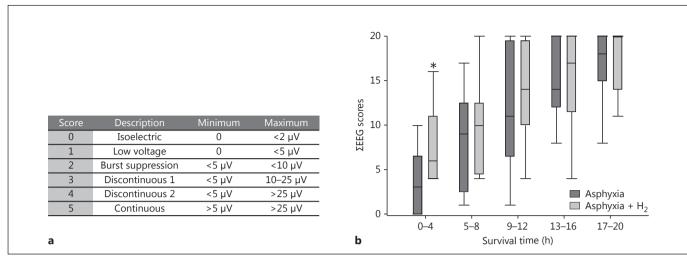
**Fig. 2. a** Rectal temperature and hemodynamic parameters in the three experimental groups over the course of 22 h after asphyxia. Body temperature was tightly regulated and did not significantly differ among the experimental groups. The oxygen saturation was gradually restored within 3 h after asphyxia and was later similar to the values obtained in time controls. Arterial blood pressure was also in the physiological range and was not different among groups. Systolic blood pressure values are plotted, because in preliminary experiments this blood pressure value was more precisely deter-

mined by the non-invasive blood pressure monitor than the diastolic or the mean arterial pressure when compared to simultaneous invasive blood pressure measurements. Asphyxia resulted in tachycardia during the early reventilation that was later restored; however, throughout the observation period the asphyxiated animals showed a tendency for elevated heart rate compared to time controls. **b** Venous blood pH, pCO<sub>2</sub>, and glucose values during the observation period. \* p < 0.05 versus respective baselines.

## Histopathology

In group 1 there was no gross histological alteration discernible in any brain regions studied except for a mild perivascular edema. Accordingly, neuronal injury was minimal (fig. 5). In group 2, asphyxia elicited statistically significant small increases in the percentage of 'red' neurons in the superficial layers of most neocortical areas, the CA1 region of the hippocampus, the cerebellum, and the medulla oblongata (fig. 5a).  $H_2$  ventilation in group 3 resulted in a modest neuroprotective effect: neuronal dam-

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**Fig. 3.** aEEG scores in groups 2 and 3 over the course of 20 h after asphyxia. **a** The aEEG background pattern was evaluated with a scoring system based on Hellstrom-Westas and Rosen [25]. The aEEG score in every animal of the time control group was 5 throughout the observation period (not illustrated). **b** aEEG scores determined each hour of survival were summated over 4-hour pe-

riods. The presented box plots show the median value (thick line), the 25–75th percentile (box), and the 10–90th percentile (error bars). The low voltage activity on the aEEG in the early reventilation gradually recovered over the observed period with large interindividual variability. The recovery was significantly faster during the H<sub>2</sub> ventilation in group 3 as compared with group 2. \* p < 0.05.

Table 1. Arterial blood pH, pCO<sub>2</sub> and pO<sub>2</sub> values during graded hypercapnia

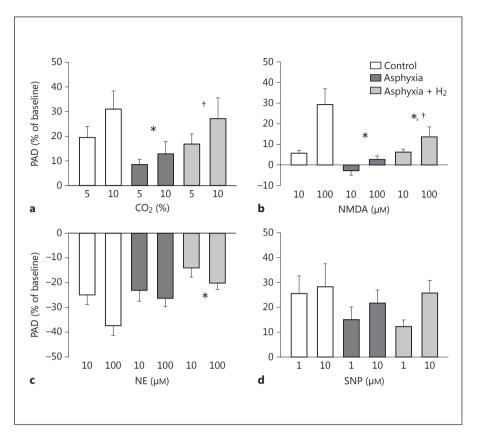
	Baseline			5% CO <sub>2</sub>			10% CO <sub>2</sub>		
	рН	pCO <sub>2</sub>	pO <sub>2</sub>	pН	pCO <sub>2</sub>	pO <sub>2</sub>	pН	pCO <sub>2</sub>	pO <sub>2</sub>
Group 1	$7.43 \pm 0.03$	35±4	91±5	$7.32 \pm 0.02$	43±3	96±4	$7.19 \pm 0.02$	60±3	99±8
Group 2	$7.42 \pm 0.03$	$36 \pm 4$	$77 \pm 5$	$7.30 \pm 0.01$	$41 \pm 4$	85±9	$7.17 \pm 0.02$	64±3	87±7
Group 3	$7.38 \pm 0.04$	$40\pm4$	$79\pm4$	$7.30 \pm 0.03$	$48\pm4$	83±5	$7.19 \pm 0.02$	69±2	89±6

age was significantly smaller in the frontal cortex (versus group 2), and there was no significant difference between groups 1 and 3 in the CA1 region, the cerebellum, and the medulla oblongata (fig. 5).

## Discussion

The first major novel finding of the present study is that severe neurovascular dysfunction is present as late as 1 day after asphyxia in the cerebrocortical microcirculation of newborn piglets. These findings significantly add to and clarify our knowledge on the contribution of altered cerebrovascular regulation to the pathophysiology of HIE. Two early studies reported only decreased CR to hypercapnia and hypotension 1 day after cerebral ischemia in newborn piglets [4, 15]. However, the interpretation of these data has been difficult. In those studies, 20 min of no-flow global cerebral ischemia was induced in unanesthetized piglets. Furthermore, hemodynamics, tissue oxygenation and body temperature were not monitored and therefore potential derangements in these parameters could contribute to the observed attenuation of CR. In contrast, in more recent studies, the attenuated CR to numerous stimuli (hypercapnia, NMDA, aprikalim and iloprost) spontaneously recovered 2–4 h after 10 min global cerebral ischemia induced in anesthetized animals [5, 6]. The question whether the attenuation of CR lasts only 1–2 h or persists as long as 24 h depending on the severity of the H/I stress (10 vs. 20 min) or on the presence of anesthesia, remained unresolved.

. KARGER AG BASEL 47 - 7/11/2013 10:40:00 AM Fig. 4. CR determined to various stimuli in the three experimental groups 24 h after asphyxia. Baseline pial arteriolar diameters were not significantly different among the experimental groups, for groups 1-3 the values were  $88 \pm 11$ ,  $99 \pm 5$ , and  $89 \pm 4 \mu m$ , respectively. PAD = Pial arteriolar dilation. a Graded hypercapnia elicited concentration-dependent vasodilation that was severely attenuated in the animals subjected to asphyxia. H<sub>2</sub> ventilation, however, preserved hypercapnia-induced vasodilation. b NMDA elicited dose-dependent vasodilation that was virtually abolished after asphyxia. NMDA-induced vasodilation was also significantly attenuated in the H2-ventilated group; however, the response was significantly larger compared to the animals reventilated with only air. c NE elicited pial arteriolar constriction that was not significantly altered by asphyxia. Notably, NE-induced vasoconstriction was significantly smaller in the H<sub>2</sub>-ventilated group. **d** SNP could dilate pial arterioles in all three experimental groups; although there was a tendency for smaller responses in the asphyxiated animals, these differences were not statistically significant. p < 0.05: \* versus time controls (group 1), <sup>†</sup> versus asphyxia (group 2).



Our present findings strongly suggest that after an initial recovery there is a second bout of neurovascular injury persisting 1 day after a H/I stress. Although we possess no direct evidence that the initial recovery of CR in the present study was similar to that determined in previous studies [5], this can be safely assumed since in the present study we used a milder H/I insult: 8 min asphyxia. Not only the hypoxic period was 20% reduced, but in this model cortical blood flow is not abruptly stopped but gradually decreases in 4–5 min to ~20% of baseline [16]. Indeed, the recovery of the aEEG and the histopathology results also confirm this notion.

In contrast to the yet minor structural brain damage, huge differences in CR between time controls and asphyxiated piglets were observed to hypercapnia and NMDA, and these differences could not be attributed to alterations in hemodynamics, blood chemistry or body temperature. Before the implantation of the closed cranial window for CR measurements, anesthesia was switched to  $\alpha$ -chloralose in order to make the results comparable to previous studies. Indeed, the magnitude of arteriolar dilation in response to these stimuli was virtually identical to those determined in previous experiments [12, 14]. Hypercapnia-induced vasodilation in the piglet is critically dependent on the function of intact microvascular endothelium [14, 17]. However, NMDA-induced vasodilation is a neuronal-vascular process independent of microvascular endothelial function [14, 18]. Thus, the delayed impairment of CR was found to involve both the neuronal and the microvascular elements of the neurovascular unit vulnerable to H/I stress.

The second major novel finding of the present study is that  $H_2$  in the early (4 h) reventilation period following asphyxia has a remarkable delayed protective effect on neurovascular unit function in the neonate.  $H_2$  has been shown previously not to directly affect CR [12]. In addition, in the present study,  $H_2$  ventilation was stopped 20 h before the CR measurements excluding the possibility of the direct involvement of  $H_2$  on the observed preservation to CR.  $H_2$  was originally described as a selective hydroxyl radical scavenger and was found neuroprotective in an adult rat stroke model [11]. However, hydroxyl radicals can be even more important determinants of oxidative stress in the neonate than in the adult [19]. In neonatal rats,  $H_2$  was found neuroprotective in the Rice-Vanucci H/I model [20], and it protected against the de-

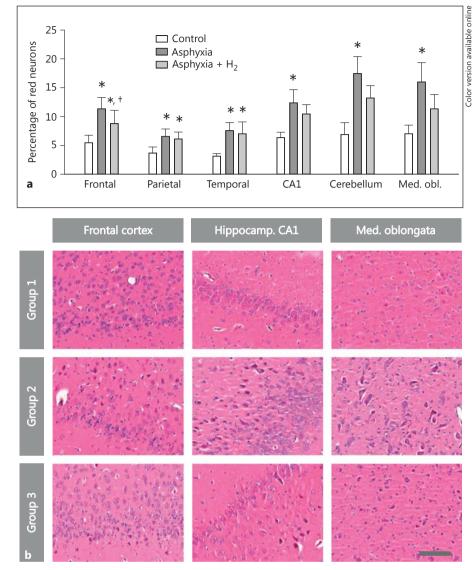


Fig. 5. a Histopathological evaluation of different brain regions in the three experimental groups 1 day after asphyxia. In time controls, damaged neurons were rare. Asphyxia elicited a modest but statistically significant increase in the frequency of damaged red neurons in most areas of the cerebral cortex, the CA1 region of the hippocampus, the cerebellum, and the medulla oblongata. There was no significantly elevated neuronal damage in the caudate nucleus, the occipital cortex, and other assessed areas of the hippocampus (CA2, CA3, and stratum granulare); these data are not plotted. In most regions (frontal cortex, CA1, cerebellum, and medulla oblongata), H<sub>2</sub> ventilation yielded a small neuroprotective effect. p < 0.05: \* versus time controls (group 1), <sup>†</sup> versus asphyxia (group 2), statistical power ranged between 0.44 and 0.64. b Representative photomicrographs of frontal cortex, CA1 region of hippocampus and medulla oblongata. Scale bar: 100 µm.

velopment of cognitive impairment, cerebral palsy, and brain atrophy 1 month after germinal matrix hemorrhage [21]. In a large animal model, our previous study first demonstrated this neuroprotective effect along with the protective effect on CR in the early reventilation period [12]. The protective effect of H<sub>2</sub> on CR in this period fully complies with its antioxidant potential, since ROS produced during the reoxygenation after H/I stress undoubtedly play a pivotal role in the early impairment of CR to NMDA [10]. Hypercapnia-induced vasodilation is also attenuated by ROS through microvascular endothelial injury [14, 17, 22].

We believe that this  $H_2$  administration regimen would be also a clinically plausible therapy for asphyxiated in-

Hydrogen Protects the Neurovascular Unit fants: starting with the resuscitation and ending in a cooling center where therapeutic hypothermia can be introduced ( $\leq 6$  h postnatally) [23]. The efficacy of early H<sub>2</sub> administration to fully (hypercapnia) or partially (NMDA) preserve neurovascular function 1 day after the insult underscores the possibility that ROS-inflicted damages to the neurovascular unit in the early reventilation period trigger the development of delayed neurovascular dysfunction perhaps similar to the delayed energy failure observed also in this period. An interesting finding of the present study was that the H/I-insensitive NE-induced vasoconstriction [15] was significantly less in the H<sub>2</sub>treated animals. This slight difference may have little biological significance, but the smaller steady-state pial arteriolar constriction in this group could represent a more agile counterregulatory mechanism to limit the repeated development of oligemia/ischemia in the cerebral cortex after H/I stress.

In summary, even a relatively mild H/I injury triggers severe delayed dysfunction of the neurovascular unit affecting both cerebrovascular and neurovascular regulatory mechanisms. This delayed functional impairment in cerebrovascular regulation thus likely develops also after clinically relevant H/I stress levels and disrupts the relationship between tissue metabolism and cortical blood flow leading to further neuronal damage during the development of HIE. Resuscitation with a H<sub>2</sub>-air mixture in the early reventilation period can alleviate delayed neurovascular damage and may offer inexpensive and valuable supplementary neuroprotection bridging the gap between resuscitation and the induction of whole-body hypothermia.

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